How is the Landscape upon which Life **Evolves Selected?** Spontaneous Emergence of Modularity





A short personal tour of biological systems reveals the flavor and variety of biological questions amenable to illumination by mathematical analysis.

Michael W. Deere is a professor of physics and astronomy and the John W. Cax Professor in Biochemical and Genetic Engineering Res. University in Houston, Texas,

re, reflect my ownresearch interests. But a ako elief that some of the unresolved issues in math-logy are related to the diversity nandomness, d correlations in biology. With luck, physics-aches may shed further light on those issues. r belief that are

feature article

thematical focus of the research questions I pro-ements the publichealth focus of the 14 Gaard in Gobal Health announced in October 2003.¹ ges have realigned health-related research pri-sly those of the Bill & Melinda Gates Founda-

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on the cell to the organiz

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within a cell

Many of the molecules of life, a enzymes, are present at exce from 1 to 100 molecules percel the traditional apply and the stochastic microses to the math.

The experiments shown in figure 1 could be such a theory, in combination with a descript

tiatics of the random extra-One might generally ask of b or individuals ma resistant strains of bacteria evolve, as pathogens are e

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Michael W. Deem **Rice University**



Outline

- Prevalence of modular structures
- Is modularity inevitable?
- Spontaneous emergence of modularity
- Evidence from Nature

M. W. Deem and D. J. Earl, *PNAS* **101** (2004) 11531 J. Sun and M. W. Deem, *PRL* **99** (2007) 228107 J. He, J. Sun, and M. W. Deem, submitted



Keck Hall, Rice University

Modular Structures

- Is it true that evolution of a modular molecular structure allows life to evolve at a rapid rate, such that we can exist?
- A modular structure to the molecules of life allows for biological information to be stored in pieces
- Evolution can proceed not just by changing one base of the genetic code or movement of one atom or amino acid at a time, but rather by exchange of these functional chunks among living organisms

Proteins are Modular

- Proteins are composed of structurally-distinct, smaller modules
- Why is modularity and hierarchy so prevalent in biology?



ribulose bisphosphate carboxylase 1RCX

Modularity and Evolution

- Proteins are often made up of almost independent modules, which may be exchanged through evolution
- Identifiable elements at the levels of atoms, amino acids, secondary structures, domains, proteins, multi-protein complexes, pathways, organelles, cells, organs, individuals, species, and so on

Genes are Modular

- DNA pieces that encode distinct protein modules become organized and concatenated in the course of evolution
- Evolution of E(Pc)-like protein in yeast (Y), fly (F), and vertebrate (V) to peregrin protein in fly and human (H)





Modularity is Common

- Top) Expansion of human chromatin proteins due to insertion of modules
- Bottom) Expansion of the number and type of modular domains present in regulatory proteins
- Biological systems have evolved through the organization and concatenation of pieces of DNA that encode distinct protein modules
- Is a hierarchical organization inevitable?



Network Modularity

- Often the topology of the interaction network is of interest to biologists
- Network often robust to changes in the detailed values of equilibrium and kinetic constants associated with the interactions between the molecules of the network *Nature* **406** (2000) 188
- The characteristic structures that arise in these interaction networks also seem modular



Science 295 (2002) 1669

Regulatory Networks



- Dictionary of constituent parts, or network motifs, for transcriptional interactions in bacteria
- Shown here is the entire transcriptional regulation network of *E. coli*
- Nodes represent collections of genes, and the lines represent regulation of these genes
- The dictionary of network motifs

Advantage of Hierarchy

- Can evolutionary potential of a set of mutational events be quantified?
- Hierarchy and modular structure fundamental to evolution?
- What general statements can be made?
- Mathematically?

$DXR[X] = hRi_X \frac{1}{4}R[X_0]$

The Fossil Record

- Evolution does seem to speed up over time
- Fossil records indicate that save for mass extinctions, the speed and complexity of evolution increases over time
 - The first, single-cell life forms evolved 3.5-4 billion years ago, only 0.5-1 billion years after the formation of earth
 - It took another 2.5-3 billion years for multicellular organisms to appear
 - It took a final one billion years for all of the multicellular species to evolve into being

Why Does Life Evolve to Evolve?

- What is the underlying pressure for evolution to speed up over time, say by the emergence of modularity and hierarchy?
- Whatever the selective force for rapid evolution is, it must be consistent with causality
- It seems likely that a changing environment selects for adaptable evolutionary frameworks Earl and Deem, *PNAS* 101 (2004) 11531
- Competition different evolutionary frameworks leads to selection for the most efficient dynamics

Is Modularity Inevitable?

- Is modularity of structure a typical or special case?
- That is, what is the probability that a modular structure will occur in a general evolutionary system?
- By asking whether modularity is inevitable, and thus what is the probability that life will evolve to evolve via a hierarchy of mutational events, we may understand the structure that we observe today in biology without the need to resort to the anthropic or intelligent design argument
- By way of analogy to another area of physics, one of the questions contemplated by string theorists is the following: Given an enormous number of possible universes, is the universe that we inhabit reasonably likely?
- An analogy with thermodynamics illustrates the type of answer that we are seeking, albeit in a system that seems simpler than biology
 - In thermodynamics the observed value of energy or density or pressure for a large system is equal to the quantity's value in the most likely state of the system

The Argument for Modularity

- By being modular, a system may be more robust to perturbations and more evolvable
 - H. A. Simon, *Proc. Amer. Phil. Soc.* **106** (1962) 467
 - G. P. Wagner and L. Altenberg, *Evolution* 50 (1996) 967
 - M. E. Csete and J. C. Doyle, Science 295 (2002) 1664
 - H. Kitano, Nature Rev. Gen. 5 (2004) 826
 - P. Oikonomou and P. Cluzel, *Nature Phys.* **2** (2006) 532
- There is an implicit selective pressure for evolvability in a changing environment
 - D. J. Earl and M. W. Deem, PNAS 101 (2004) 11531
- Modularity increases evolvability in the presence of large genetic moves (HGT, recombination, super/co-infection)
 - J. A. Shapiro, *BioEssays* 27 (2005) 122; *Gene* 345 (2005) 91
 - N. Goldenfeld and C. Woese, Nature 445 (2007) 369
 - L. D. Bogarad and M. W. Deem, *PNAS* **96** (1999) 2591
- Thus, a changing environment should implicitly select for modularity
 - H. Lipson et al., Evolution 56 (2002) 1549; A. Gardener and W. Zuidema, Evolution 57 (2003) 1448
 - E. A. Variano, J. H. McCoy and H. Lipson, PRL 92 (2004) 188701 (stability)
 - M. W. Deem, *Physics Today*, January 2007, 42-47

Genetic Moves Conjugate to Modular Protein Structure Enhance Evolvability

- Regulation (yeast 6000, human 21 000 genes)
- Timing of protein expression is basis for dog breed diversity (synteny)
- Alternative splicing
- VDJ recombination in immune system
- Exon shuffling (Walter Gilbert)
- Transposons and retrotransposons
- Horizontal transfer

N. Goldenfeld and C. Woese, Nature 445 (2007) 369

J. A. Shapiro, *BioEssays* 27 (2005) 122; *Gene* 345 (2005) 91

Modular Genetic Moves Efficient

• Experimentally

- W. P. C. Stemmer, *Nature* **370** (1994) 389: fucosidase -> galactosidase
- J. C. Moore, H-M. Lin, O. Kuchner, and F. H. Arnold, *J. Mol. Biol.* 272 (1997) 336: cP450 functionality

Theoretically

– L. D. Bogarad and M. W. Deem, PNAS 96 (1999) 2591

Evolution method	Starting energy	Evolved energy	Achieved binding constant
Amino acid substitution	-17.00	-23.18	1
DNA shuffling	-17.00	-23.83	100
Swapping	0	-24.52	$1.47 imes 10^4$
Mixing	0	-24.88	$1.81 imes 10^5$
Multipool swapping	0	-25.40*	$8.80 imes10^{6^*}$

Table 1. Results of Monte Carlo simulation of the evolution protocols

Simplified Model of Evolution

- Population of individuals
- Each replicates at rate r_i
- Changes also by mutation at rate μ_{ij}
- Hierarchy of structure implies r_i partially linearly decomposable according to domains
- Hierarchy of mutation implies µ_{ij} connects i and j related by a change of a domain
- Evolution is efficient if mutational events are complementary to domain structure

Spontaneous Emergence of Modularity in a Population of Evolving Individuals

Spin glass form of replication rate (fitness)

$$H = \frac{1}{2\sqrt{N_D}} \sum_{i \neq j} \sigma_{i,j}(s_i, s_j) \bullet \Delta_{i,j}$$

- $1 \le i \le N$, N=120 is the size of genome
- s_i is the sequence (amino acid, allele, etc) at position i
- $\sigma_{\!i,j}(s_{i},s_{j})\,$ is the interaction matrix, representing the environment
- $\Delta_{i,j}$ is the connection (adjacency) matrix of 0 or 1, reflecting the structure of the interactions; $\Delta_{i,i} = O \ \Delta_{i,i\pm 1} = 1$

• $N_D = \sum_{i>j+1} \Delta_{i,j} = 346$ is the fixed, total number of connections of each of the D=300 structures



 $E^{1}(T_{3}) = Sum (H^{1}_{i}) - - - E^{300}(T_{3}) = Sum (H^{300}_{i})$

Top 5% Δ 's selected based on their E, and reproduced Δ 's undergo mutation

Dynamics of Evolution

- D=300 structures, $\Delta_{i,j}^{\alpha}$, each with 1000 associated sequences
- Environment, represented by $\sigma_{i,j}(s_i, s_j)$, changes with magnitude p and period T₂
- Three different time scales: T₁=1 (rapid sequence evolution), T₂ (moderate environmental change), and T₃=10000 T₂ (slow evolution of the structure of the connections)
- Dynamics
 - Sequence: point mutation and horizontal gene transfer
 - Environment: random change
 - Structure: point mutation construction/destruction of connections

Definition of Modularity

Definition of Modularity

$$M^{\alpha} = \underset{i>j+1,k}{\sum} \Delta^{\alpha}_{i+10k,j+10k}$$
 , $M = \frac{1}{D} \sum_{\alpha} M^{\alpha}$

$$\label{eq:constraint} \begin{split} 1 \leq i, \, j \leq 10 \\ k \text{ denotes the } k^{\text{th}} \\ \text{diagonal block} \\ \text{in the } \Delta^{\alpha}_{i,j} \\ \text{connection} \\ \text{matrix} \end{split}$$



Environmental Change and Selection

- Population of 1000 proteins
- After evolution we select the 50% most viable proteins and repeat
- System evolves for T₂ rounds of selection and we then impose an environmental change (frequency = 1/T₂)
- Magnitude of environmental change characterized by parameter p (probability to change random matrix elements)
- Study for different frequency and magnitude of environmental change

Schematic



Spontaneous Emergence of Modularity in a Model of Evolving Individuals

Modularity: Details of the Computation



Energy Dynamics for Given Δ^{α}

 Energy rises with environmental change and evolves within one environment

• e.g.
$$p = 0.4$$
, $T_2 = 20$



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Spontaneous Symmetry Breaking and Emergence of Modularity

- Generate Δ^{α} randomly $M_0=22$, no net modularity
- Spontaneous emergence of modularity
- $\delta M = M M_0 \neq 0$

A symmetry breaking event (permutation symmetry)



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Control Experiment

- If p=0, modularity does not increase
- If no HGT, modularity does not increase



Scale-Free Initial Network

- Use a random, correlated adjacency matrix
- Barabassi method: γ=3
- Result identical to random network



Cumulative Fitness Increases

- Selection is for replication rate (fitness)
- Fitness increases over time due to emergence of modularity



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Increase of Evolvability

- Selection is for replication rate (fitness)
- Implicit selection feedback
- Evolvability characterized by response function: -ΔE/(10⁴ T₂)
- Evolvability increases



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Fitness Change within One Environment

- Within one environment, sequence mutation and selection lead to increased fitness
- Environmental change then destroys some of these gains
- Gains are enhanced due to emergence of modularity over (long) time



Incommensurate, Random Swapping Leads to Modularity

- If HGT occurs at a random position, with a random length, modularity also arises
- Average lengths of 10,20,40,5
- Modularity measured as before
- Fixed position and length HGT is biologically motivated: non-coding DNA >> exons



Modularity Is a Function of Magnitude of Environmental Change

- Modularity increases in a changing environment
- Insufficient environmental change leads to decay of modularity
- Velocity of modularity growth depends on magnitude



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Modularity is a Function of Frequency of Environmental Change

- The velocity of modularity growth depends on the frequency (f=1/T₂) of the environment change
- At high frequency (1/T₂>1/5), modularity decays
- Modularity growth linear in frequency at low frequency (1/T₂ < 1/10)



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Steady-State Modularity

- Modularity evolves to a finite value:
 22 ≤ M ≤ 346
- There is a competition between the implicit selection for modularity due to the changing environment and the destruction of modularity due to random mutation



A Model Evolving System

- Model described at the individual level
- Or, it is a coarse-grained model of an arbitrary evolving system: amino acids, secondary structures, domains, proteins, multiprotein complexes, pathways, organelles, cells, organs, individuals, species, and so on



Conjecture

- Axioms
 - Fitness landscape has many local optima (Evolution occurs slowly)
 - Environment is changing
 - Horizontal gene transfer exists
- Conjecture
 - Under these conditions, modularity will spontaneously develop

$$PE \ i \ P_0 = \frac{1}{R}$$
e.g. $p_E = p/T_2$
R = density of local optima
M' = dM / dt
$$R = \frac{1}{R}$$

-

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Spontaneous Emergence of Modularity in a Model of Evolving Individuals

Modularity



 T_2 and T_3 are the sequence and structure selection times

Research article

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Environmental variability and modularity of bacterial metabolic networks Merav Parter, Nadav Kashtan and Uri Alon*

Address: Molecular Cell Biology Department, Weizmann Institute of Science, Rehovot 76100, Israel

Email: Merav Parter - merav.parter@weizmann.ac.il; Nadav Kashtan - nadav.kashtan@weizmann.ac.il; Uri Alon* - uri.alon@weizmann.ac.il * Corresponding author

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Relation between environmental variability and modularity. Normalized modularity measure (Q_m) of bacterial metabolic networks versus the environmental class of the organism. Environments are ordered according to their variability ranging from O (obligate), the least variable to T (terrestrial), the most variable. Mean and standard error of Q_m are presented for each environmental class.

The evolution of modularity in bacterial metabolic networks

Anat Kreimer*, Elhanan Borenstein¹⁴, Uri Gophna⁵, and Eytan Ruppin¹¹

School of Mathematical Science, ⁸Department of Molecular Microbiology and Biotechnology, Faculty of Life Sciences, and ⁸School of Computer Science and School of Medicine, Tel Aviv University, Tel Aviv 69978, brael; ^{}Department of Biological Sciences, Stanford University, Stanford, CA 94305-5020; and ^{*}Santa Fe Institute, Santa Fe, NM 87501

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Three main determinants of modularity:

- 1. network size is an important topological determinant of network modularity.
- several environmental factors influence network modularity; even among the pathogens, those that alternate between two distinct niches, such as insect and mammal, tend to have relatively high metabolic network modularity.
- 3. HGT is an important force that contributes significantly to metabolic modularity.

Modularity Growth in Protein-Protein Interaction Network

 Network of protein-protein interactions in E. coli and S. cerevisiae

$$TOM_{ij} = \frac{k a_{ik} a_{kj} + a_{ij}}{min(k_i; k_j) + 1_i a_{ij}}$$

 Network reordered by hierarchical clustering algorithm



Growth of Modularity

- Modularity grows with time!



J. He, J. Sun, and M. W. Deem, submitted

Modularity vs. Banded Localization

- Define modules along diagonal by when the interaction decays to 0.2 of maximal value (average module size ~ constant)
- Measure a_{ii} in these modules
- Modularity so-measured grows



Network Properties

- There are more proteins at younger ages
- Networks formed by randomly chosen proteins do not display modularity growth





Random network



E. coli, 12.2 ca

Modularity in Domain-Domain Interaction Network

- Consider the domain-domain interaction network, rather than the protein-protein interaction network
- Modularity grows



Newman's Modularity

• Define

$M = \max_{P} M(P) = \max_{P} \sum_{i=1}^{N} \frac{I_i}{L}_i \frac{P}{2L} \frac{d_i}{2L}$ R. Girvan, M. E. J. Newman, *Phys. Rev. E* 69 (2004) 026113 i = 1

- Where L is the number of links in the network, I_i is the number of links in module i, d_i is the sum of degrees of the nodes in module i, and m is the number of modules in partition P
- Han's data: 1376 proteins



Domain Modularity: Another Definition

 Measure fraction of domains in protein A with which other proteins interact





Rate of Evolution

- dN/dS is conventional measure of rate of evolution due to point mutation
- Yeast genes
- New genes are evolving more rapidly than old genes
- $R^2 = 0.81$



Higher Order Modularity: Hierarchy

Banded Modularity

25

20

- Identify modules, then construct adjacency matrix of modules, weighted or binary
- Note yeast appeared at about 12.1 ca





Experimental Tests of Spontaneous Emergence of Modularity



- Single, positive stranded RNA virus; in vitro and in vivo rhinovirus³
 - Defective interfering (DI) particles
 - Do they bank diversity?
 - Is that transmitted to infectious particles (virus)?
 - Vary immune pressure; does DI/I ratio increase with variation?
- Supra-genome effect in prokaryotic model
 - Population level banking of diversity
 - Hosts: mice (S. pneumoniae) or chinchilla (H. Influenza)
 - Vary host immune system
 - Measure fraction of diversity not in an individual (degree of supra-genome effect)
- Gnotobiotic miniature pigs; VDJ recombination
 - Measure naïve B cell diversity
 - As a function of exposure to controlled environmental antigens, e.g. viruses and bacteria
 - Increased VDJ recombination ≡ modularity development
- Regulatory networks evolving under changing conditions (Tim Cooper, UH)

Conclusions

- Spontaneous emergence of modularity in a population of individuals in a changing environment
- The velocity of modularity increase depends on the magnitude (p) and frequency (f) of the environment change
- Selection in a changing environment generically leads to modularity in the presence of horizontal gene transfer
- A symmetry breaking event
- Beautiful hierarchical structures observed in nature may be a result of selection for evolvability
- Need not necessarily rely on intelligent design or the anthropic principle



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