



University  
of Houston  
Clear Lake

Annual Certification of Compliance  
International Collaboration Disclosure

NAME \_\_\_\_\_

DATE \_\_\_\_\_

The following questions apply to your situation as it currently exists. **If there are any changes during the current fiscal year (September 1 through the following August 31) you must resubmit this fully signed form with the new information.**

The questions below must be answered for each relationship/entity involving a foreign person, institution, or organization. Attach additional copies of this sheet for each foreign entity with which you collaborate.

1. Name of the foreign entity: \_\_\_\_\_

Check one:  Individual Citizenship: \_\_\_\_\_

Institution/Organization Country: \_\_\_\_\_

2. Approximate time frame of interaction during the current fiscal year:

Academic Year # of Weeks: \_\_\_\_\_

Summer # of Weeks: \_\_\_\_\_

3. What is the nature of the collaboration with the foreign entity? **Check all that apply** and provide an explanation. Attach additional sheets if more space is needed.

Sharing research results

*Explanation:*

Sharing or accepting scientific items (e.g., biologics, model systems, technology, etc.)

*Explanation:*

Co-authoring or co-presenting

*Explanation:*

Visiting or other academic position (with or without compensation)

*Explanation:*

Access to research space at a foreign institution

*Explanation:*

Travel expenses paid or reimbursed by foreign entity

*Explanation:*

Living expenses paid or reimbursed by foreign entity

*Explanation:*

Other forms of collaboration

*Explanation:*

## CERTIFICATION

### STEP 1: INVESTIGATOR SIGNATURE

I hereby certify that the above is true and complete to the best of my knowledge. If the above relationship is determined to be export-controlled, I agree to work with the Office of Research and Sponsored Programs and Office of the Provost to determine licensing requirements and create a Technology Control Plan.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

PRINTED NAME \_\_\_\_\_

### STEP 2: EXECUTIVE DIRECTOR, OFFICE OF RESEARCH AND SPONSORED PROGRAMS

- The above relationship does not appear to be export-controlled.
- The above relationship appears to be export-controlled and further action is necessary.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

**A. Glen Houston, Ph.D.**

**Interim Executive Director, Office of Research and Sponsored Programs**

### STEP 3: PROVOST REVIEW AND SIGNATURE

Steps taken to mitigate need for export and/or apply for appropriate license:

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

**Christopher Maynard, Ph.D.**

**Senior Vice President for Academic Affairs and Provost**