

Legislative Appropriations

Request for Fiscal Years

2018 and 2019

Submitted to the
Office of the Governor, Budget Division
and the Legislative Budget Board

by

University of Houston-Clear Lake

Date of 2nd Submission
October 17, 2016

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**University of Houston-Clear Lake
Legislative Appropriations Request 2018 and 2019**

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| | | |
|---|---|---------------|
| Agency Code: | Agency Name: | Date: |
| 759 | University of Houston-Clear Lake | October, 2016 |
| <p>For the schedules identified below, the University of Houston-Clear Lake either has no information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the University of Houston-Clear Lake Legislative Appropriations Request 2016-17 biennium.</p> | | |
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Administrator's Statement

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UNIVERSITY OVERVIEW:

The University of Houston-Clear Lake (UHCL) was authorized in 1971 by House Bill 199 in the 62nd Texas Legislature. The measure came in the aftermath of a 1968 report by the Texas Higher Education Coordinating Board, Texas College and University System, calling for a second University of Houston campus to offer upper-level and graduate programs for students in the Houston metropolitan area. UHCL is situated on 524 acres midway between downtown Houston and the historic port of Galveston. The campus is adjacent to the Lyndon B. Johnson Space Center and Armand Bayou Nature Center. UHCL serves an advanced technology economy by providing undergraduate, graduate, and professional programs for over 8,900 students. UHCL offers 40 bachelor's, 45 master's, and three doctoral degrees. Since its inception in 1974, over 60,000 degrees have been awarded, with over 2,500 awarded in 2015-2016.

UHCL primarily serves the Houston-Galveston metropolitan area of Texas, which includes the Gulf Coast Community College Consortium consisting of Alvin Community College, Brazosport College, College of the Mainland, Galveston College, Houston Community College System, Lee College, Lone Star College System, San Jacinto College District, and Wharton County Junior College.

An important asset of UHCL is the Bay Area Houston region itself. UHCL is the intellectual cornerstone of this highly educated and fast-growing region in Texas. Through its strong partnerships with the community, UHCL provides advanced educational programs, innovative training, and research opportunities to the region. The Bay Area economic base rests on key industries including aerospace, petrochemical, international trade, healthcare, tourism, boating and recreation.

In the 2011 session of the Texas Legislature, the University of Houston-Clear Lake secured legislative authority for downward expansion in order to offer freshman and sophomore level courses. UHCL has admitted freshmen and sophomores since Fall 2014. In response to requests from area school districts, UHCL as a four-year university will work closely with both area school districts and community colleges to enhance the K-16 pipeline to increase the college-going and college graduation rates in our region. Special attention will be focused on first-time-in-college students as well as under-represented groups including Hispanic and African-American students. The University of Houston-Clear Lake will work collaboratively with other institutions in the University of Houston System to enhance student access and success.

MISSION STATEMENT:

The University of Houston-Clear Lake is a student-centered, community-minded, partnership-oriented university that offers bachelor's, master's and selected doctoral programs to enhance the educational, economic and cultural environment of the Houston-Galveston metropolitan region. UH-Clear Lake serves a diverse student body with special emphasis on undergraduate transfer, graduate and international students. The university offers the highest quality instruction and nationally accredited academic programs designed to develop the critical thinking, creative, quantitative, leadership and communication skills of students. The university conducts applied and basic research and engages in community and professional service that support both the economic development and the quality of life of the area. The university is committed to community engagement through partnerships with educational institutions, businesses, government agencies and nonprofit organizations.

2018-2019 Baseline Request and Restoration of the 4% Reduction

In compliance with the Policy Letter, UHCL's baseline request for 2018-2019 reflects a 4% reduction of the approved 2016-2017 biennial General Revenue Funds. The effect of this reduction in our funding for Institutional Enhancement will limit the extent we can serve our students with enhanced services for student retention. This

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funding is especially critical as UHCL serves an increasing number of first-time-in-college students with a large percentage from under-represented groups. These students are often the first person in their families to attend college. UHCL also serves a large number of community college transfer students who often balance school with work. Many of these students attend school part-time; but do so year round including fall, spring, and summer sessions. This reduction in funding will impact our ability to offer a summer course schedule to meet the needs of these students. UH-Clear Lake requests that the 4% reduction, which was \$501,015 for the university, be restored in the FY18/FY19 budget.

10% BIENNIAL BASE REDUCTION

UHCL's FY2018/FY2019 budget reduction would be \$1,202,436. The majority of this reduction would come from two items---Downward Expansion and Institutional Enhancement. The impact of this level of reduction on UH-Clear Lake would be very significant given that UHCL only recently in Fall 2014 transitioned from an upper-level to a four-year university via downward expansion. For Fall 2017, UHCL will have its fourth class of incoming freshmen. The impact of the reduction in institutional enhancement funds would impact UHCL's continuing efforts to increase the retention and graduation rates for those who are first-time-in-college students as well as transfer students from area community colleges. Approximately 50% of UHCL's students are community college transfers.

FUNDING REQUESTS FOR FISCAL YEARS 2018 AND 2019:

> Formula Funding

The ability of the state's universities to fund their operations (particularly instruction), maintain affordability, increase student success, and provide the highly skilled workforce Texas needs to succeed depends upon the Legislature's commitment to fund higher education through base formula funding. The University of Houston-Clear Lake, as a first priority, requests an increase in the current level of funding in order to improve formula funding for core instructional and academic support operations. Our request is for the legislature to restore funding for the instruction and operations formula to the 2010-2011 level when the base weighted semester credit hour rate was 62.19. These funds would assist the university in increasing the college-going and college-graduation rates for the region served by UH-Clear Lake. The funds are especially critical given the changing demographics of the region and the number of first-generation college students.

> Hazlewood Exemptions

The University of Houston-Clear Lake is dedicated to supporting veterans and their families by expanding opportunities for them to earn a college degree. The Hazlewood Act is a crucial part of this equation. For UH-Clear Lake, Hazelwood exemptions increased from \$992,533 in fiscal year 2014 to \$1,508,278 in fiscal year 2016. Absent full state coverage for Hazlewood, UH-Clear Lake must fund these exemptions through other institutional resources, including tuition paid by other students. We recommend that the state appropriate resources to cover all Hazlewood exemptions.

> Exceptional Items

- 1) Center for Autism and Developmental Disabilities

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In March 2016, the Centers for Disease Control and Prevention (CDC) released its newest estimate of autism prevalence among the nation's children with findings that 1 in 68 children have autism. In 2007 at the time of the first CDC prevalence report the finding was 1 in 150 children. Overall, less than half the children identified with autism (43 percent) had received comprehensive developmental evaluations by age 3. The report also found that African-American and Hispanic children continue to receive developmental evaluations later than white children and continue to be diagnosed with autism at lower rates.

The Center for Autism and Developmental Disabilities (CADD) at the University of Houston-Clear Lake (UHCL) was established in March 2008. CADD currently provides a variety of services including (1) individualized intervention services to children between the ages of 3 and 15 who are diagnosed with autism spectrum disorder, (2) assessment and treatment services to individuals of all ages who engage in severe problem behavior, (3) individualized services, in both Spanish and English, to individuals of all ages and diagnoses who have language or social skills deficits, (4) vocational assessments and short-term intervention services for individuals diagnosed with autism spectrum disorder ages 16 years and older who have difficulty obtaining or maintaining employment, (5) behavioral consultation services to children and teachers in area school districts and (6) family needs assessment and therapy services for parents and family members of children with autism spectrum disorder. For many of these services, CADD has significant waiting lists for children and parents seeking assistance. For example, for intervention services for children ages 3 to 15, there are currently 84 families on the waiting list.

In the 2015 session of the Texas Legislature, UHCL received \$24.6 million dollars for the construction of a Health Sciences and Classroom Building at the UHCL Pearland Campus which is the result of a public-public partnership between UHCL and the City of Pearland, Texas. The overall objective for this new Health Sciences and Classroom Building is to expand the number of health-related degree programs and services to the community. One option under consideration is to create a UHCL Pearland Campus Satellite Clinic for the Center for Autism and Developmental Disabilities to serve children and families in Pearland and the surrounding area. In addition, the operation of the clinic would help reduce the backlog or waiting list for families seeking services. This location would also be more convenient for those referred by CADD's partners in the Texas Medical Center including Texas Children's Hospital and UT Health.

We propose to use state funds to develop a Satellite Clinic for UHCL's Center for Autism and Developmental Disabilities at the UHCL Pearland Campus. The establishment of the clinic would require start-up funding for a board-certified behavior analyst, graduate assistants, limited support staff, video-audio equipment, and computers/laptops.

2) Houston Partnership for Environmental Studies

The Environmental Institute of Houston, which is located at the University of Houston-Clear Lake, addresses regional issues of environmental concern. EIH conducts research in a variety of areas including water resources, wetlands, fisheries, and wildlife conservation and management.

EIH research and technical services provided to the agencies and citizens of Texas directly meets the goals of many federal and state environmental regulatory programs and policies such as the Galveston Bay Plan, Texas Coastal Zone Management and the Clean Water Act. EIH has an established reputation of scientific credibility and objectivity in research on and resolution of environmental issues.

Communities and agencies have identified the need for additional infrastructure to enhance research, technical support and education in the areas of environmental analysis to address critical regional issues including disaster preparation, sustainability, resiliency, water quality, and conservation of water and other natural resources.

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Attainment of NELAC certification is required for practically all environmental contracts and grants administered by EPA and TCEQ that involve the collection of environmental data. Currently, EIH cannot pursue these funding opportunities and support the applied research needs of the local community due to the lack of appropriate approved instrumentation, methodology, and quality control. The end result is that EIH must contract a significant portion of this work to expensive commercial labs.

Increased funding for the Environmental Institute of Houston would be used to hire a lead lab manager and analyst to coordinate application for NELAC certification. The individual would supervise the operation and maintenance of the analytical laboratory, develop continuing external technical education programs for industry and government, and develop and provide an internal training program for staff and students. Requests to EIH for such services have already been received from the Houston Galveston Area Council, the Galveston Bay Estuary Program, and the Galveston County Health Department. The funding of this request is expected to increase grants and contracts to EIH up to \$300,000 per year at the minimum. This investment will also increase the capability of EIH to compete for much larger regional and national grants.

3) High Technologies Laboratory

Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats. Senate Bill 1597 required that each state agency submit a security plan to the Texas Department of Information Resources (DIR) by October 15 of each even-numbered year. Senate Bill 1134 further required that DIR develop strategies and a framework for the securing of cyber infrastructure by state agencies. That framework was outlined in Texas Administration Code, TAC 202, as the Texas Information Security Standards with which agencies and educational institutions must comply.

Successful execution of legislation and the associated administrative codes hinges on ample supplies of computer and network professionals, especially those with up-to-date cybersecurity knowledge and skills. Difficulty in filling cybersecurity positions is a nation-wide issue facing employers, including those in the State of Texas. The complexity of securing a network system is amplified by challenges including the underlying cyber technologies and the cyber attackers' tactics which continue to evolve, and the threat and mitigation information which may not be effectively shared among organizations and agencies.

The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region. From the beginning, the intention has been to develop the institute with input from major industries including aerospace, healthcare, energy, transportation/shipping, and government. UHCL's CSI has worked closely with the Bay Area Houston Economic Partnership and Bay Tech to successfully implement the Wagner-Peyser workforce development grant in cybersecurity.

We propose to use state funds to deliver a number of programs and services to individuals and organizations in the greater Houston region. These activities would include:

1. Expand cybersecurity professional training in key areas including network management and security, digital forensics and incident response, and virtualization

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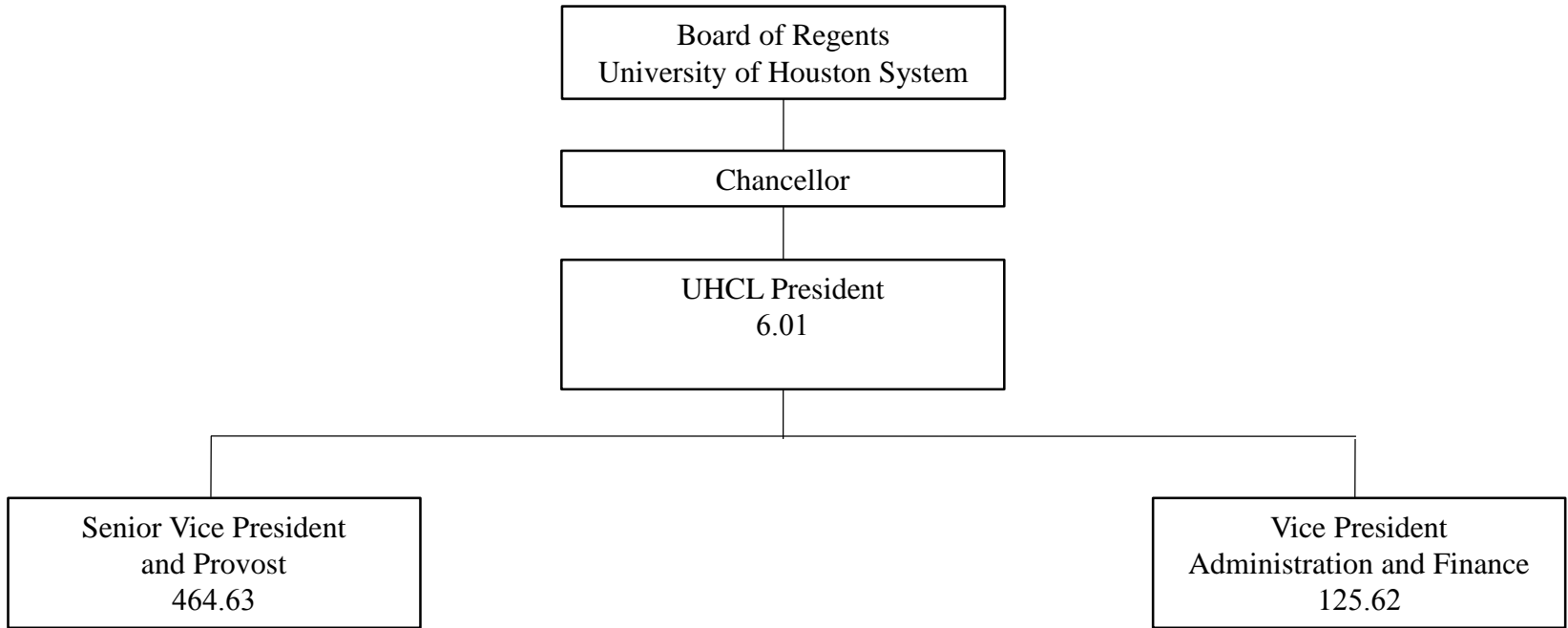
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security.

2. Collaborate with the Bay Area Houston Economic Partnership and Bay Tech to offer public seminars and workshops to raise awareness of business owners on issues and good practices related to securing the cyber space.
3. Mentor small businesses to help them set up their cybersecurity practices by working with area chambers of commerce and economic development organizations.
4. Develop and offer undergraduate and graduate cybersecurity degree programs.
5. Offer cybersecurity summer camps for students from area school districts.

University of Houston-Clear Lake Organizational Chart



Budget Overview - Biennial Amounts
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Appropriation Years: 2018-19

| | GENERAL REVENUE FUNDS | | GR DEDICATED | | FEDERAL FUNDS | | OTHER FUNDS | | ALL FUNDS | | EXCEPTIONAL ITEM FUNDS | |
|--|-----------------------|-------------------|-------------------|------------------|---------------|---------|--------------|--------------|-------------------|-------------------|------------------------------|------------------|
| | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2016-17 | 2018-19 | 2018-19 | |
| Goal: 1. Provide Instructional and Operations Support | | | | | | | | | | | | |
| 1.1.1. Operations Support | 56,372,528 | | 23,273,650 | | | | | | 79,646,178 | | | |
| 1.1.3. Staff Group Insurance Premiums | | | 4,341,117 | 3,763,668 | | | | | 4,341,117 | 3,763,668 | | |
| 1.1.4. Workers' Compensation Insurance | 140,587 | 386,908 | 48,949 | | | | | | 189,536 | 386,908 | | |
| 1.1.6. Texas Public Education Grants | | | 2,745,221 | 2,810,229 | | | | | 2,745,221 | 2,810,229 | | |
| Total, Goal | 56,513,115 | 386,908 | 30,408,937 | 6,573,897 | | | | | 86,922,052 | 6,960,805 | | |
| Goal: 2. Provide Infrastructure Support | | | | | | | | | | | | |
| 2.1.1. E&G Space Support | 203,390 | | 4,545,519 | | | | | | 4,748,909 | | | |
| Total, Goal | 203,390 | | 4,545,519 | | | | | | 4,748,909 | | | |
| Goal: 3. Provide Special Item Support | | | | | | | | | | | | |
| 3.1.1. Downward Expansion | | 6,500,000 | | | | | | | | | 6,500,000 | |
| 3.2.1. High Technologies Laboratory | 83,728 | 83,728 | | | | | | | 83,728 | 83,728 | 200,000 | |
| 3.2.2. Environmental Studies Partnership | 604,736 | 604,736 | | | | | | | 604,736 | 604,736 | 200,000 | |
| 3.2.3. Center For Autism | 400,000 | 400,000 | | | | | | | 400,000 | 400,000 | 200,000 | |
| 3.4.1. Institutional Enhancement | | 4,048,989 | | | | | 5,034 | 5,034 | 5,034 | 4,054,023 | | |
| 3.5.1. Exceptional Item Request | | | | | | | | | | | | 501,015 |
| Total, Goal | 1,088,464 | 11,637,453 | | | | | 5,034 | 5,034 | 1,093,498 | 11,642,487 | | 1,101,015 |
| Goal: 6. Research Funds | | | | | | | | | | | | |
| 6.3.1. Comprehensive Research Fund | 209,756 | | | | | | | | 209,756 | | | |
| Total, Goal | 209,756 | | | | | | | | 209,756 | | | |
| Total, Agency | 58,014,725 | 12,024,361 | 34,954,456 | 6,573,897 | | | 5,034 | 5,034 | 92,974,215 | 18,603,292 | | 1,101,015 |
| Total FTEs | | | | | | | | | 596.3 | 596.3 | | 10.0 |

2.A. Summary of Base Request by Strategy

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| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|---------------------|---------------------|---------------------|--------------------|--------------------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | |
| 1 OPERATIONS SUPPORT (1) | 33,440,885 | 39,225,249 | 40,420,929 | 0 | 0 |
| 3 STAFF GROUP INSURANCE PREMIUMS | 1,750,979 | 2,473,315 | 1,867,802 | 1,877,141 | 1,886,527 |
| 4 WORKERS' COMPENSATION INSURANCE | 77,998 | 74,536 | 115,000 | 193,454 | 193,454 |
| 6 TEXAS PUBLIC EDUCATION GRANTS | 1,275,934 | 1,382,066 | 1,363,155 | 1,390,851 | 1,419,378 |
| TOTAL, GOAL 1 | \$36,545,796 | \$43,155,166 | \$43,766,886 | \$3,461,446 | \$3,499,359 |
| 2 Provide Infrastructure Support | | | | | |
| 1 <i>Provide Operation and Maintenance of E&G Space</i> | | | | | |
| 1 E&G SPACE SUPPORT (1) | 2,599,519 | 2,667,968 | 2,080,941 | 0 | 0 |
| TOTAL, GOAL 2 | \$2,599,519 | \$2,667,968 | \$2,080,941 | \$0 | \$0 |
| 3 Provide Special Item Support | | | | | |
| 1 <i>Instructional Support Special Item Support</i> | | | | | |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

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| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|------------------|------------------|------------------|--------------------|--------------------|
| 1 DOWNWARD EXPANSION | 0 | 0 | 0 | 3,250,000 | 3,250,000 |
| <u>2</u> <i>Research Special Item Support</i> | | | | | |
| 1 HIGH TECHNOLOGIES LABORATORY | 41,947 | 41,864 | 41,864 | 41,864 | 41,864 |
| 2 ENVIRONMENTAL STUDIES PARTNERSHIP | 299,636 | 302,368 | 302,368 | 302,368 | 302,368 |
| 3 CENTER FOR AUTISM | 0 | 200,000 | 200,000 | 200,000 | 200,000 |
| <u>4</u> <i>Institutional Support Special Item Support</i> | | | | | |
| 1 INSTITUTIONAL ENHANCEMENT | 0 | 2,517 | 2,517 | 2,027,012 | 2,027,011 |
| <u>5</u> <i>Exceptional Item Request</i> | | | | | |
| 1 EXCEPTIONAL ITEM REQUEST | 0 | 0 | 0 | 0 | 0 |
| TOTAL, GOAL 3 | \$341,583 | \$546,749 | \$546,749 | \$5,821,244 | \$5,821,243 |

6 Research Funds

1 *Research Development Fund*

| | | | | | |
|------------------------------------|---------|---|---|---|---|
| 1 RESEARCH DEVELOPMENT FUND | 120,568 | 0 | 0 | 0 | 0 |
|------------------------------------|---------|---|---|---|---|

2.A. Summary of Base Request by Strategy

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| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|--|---------------------|---------------------|---------------------|--------------------|--------------------|
| <u>3</u> <i>Comprehensive Research Fund</i> | | | | | |
| 1 COMPREHENSIVE RESEARCH FUND | 0 | 104,878 | 104,878 | 0 | 0 |
| TOTAL, GOAL 6 | \$120,568 | \$104,878 | \$104,878 | \$0 | \$0 |
| TOTAL, AGENCY STRATEGY REQUEST | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST* | | | | \$0 | \$0 |
| GRAND TOTAL, AGENCY REQUEST | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |

2.A. Summary of Base Request by Strategy

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| Goal / Objective / STRATEGY | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---|---------------------|---------------------|---------------------|--------------------|--------------------|
| <u>METHOD OF FINANCING:</u> | | | | | |
| General Revenue Funds: | | | | | |
| 1 General Revenue Fund | 24,403,459 | 29,037,109 | 28,977,616 | 6,012,181 | 6,012,180 |
| SUBTOTAL | \$24,403,459 | \$29,037,109 | \$28,977,616 | \$6,012,181 | \$6,012,180 |
| General Revenue Dedicated Funds: | | | | | |
| 704 Bd Authorized Tuition Inc | 2,015,176 | 1,764,321 | 1,545,683 | 0 | 0 |
| 770 Est Oth Educ & Gen Inco | 13,188,831 | 15,670,814 | 15,973,638 | 3,267,992 | 3,305,905 |
| SUBTOTAL | \$15,204,007 | \$17,435,135 | \$17,519,321 | \$3,267,992 | \$3,305,905 |
| Other Funds: | | | | | |
| 802 License Plate Trust Fund No. 0802 | 0 | 2,517 | 2,517 | 2,517 | 2,517 |
| SUBTOTAL | \$0 | \$2,517 | \$2,517 | \$2,517 | \$2,517 |
| TOTAL, METHOD OF FINANCING | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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| Agency code: 759 | | Agency name: University of Houston - Clear Lake | | | | |
|---|-----------------------------|--|---------------------|---------------------|--------------------|--------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE</u> | | | | | | |
| <u>1</u> General Revenue Fund | | | | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | | | | | | |
| | | \$24,403,504 | \$0 | \$0 | \$0 | \$0 |
| Regular Appropriations from MOF Table (2016-17 GAA) | | | | | | |
| | | \$0 | \$29,037,109 | \$28,977,616 | \$0 | \$0 |
| Regular Appropriations (2018-2019) | | | | | | |
| | | \$0 | \$0 | \$0 | \$6,012,181 | \$6,012,180 |
| <i>LAPSED APPROPRIATIONS</i> | | | | | | |
| Lapsed Appropriation | | | | | | |
| | | \$(45) | \$0 | \$0 | \$0 | \$0 |
| Comments: Research Development Fund | | | | | | |
| TOTAL, | General Revenue Fund | \$24,403,459 | \$29,037,109 | \$28,977,616 | \$6,012,181 | \$6,012,180 |
| TOTAL, ALL | GENERAL REVENUE | \$24,403,459 | \$29,037,109 | \$28,977,616 | \$6,012,181 | \$6,012,180 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2016 4:27:05PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
|---------------------|----------|----------|----------|----------|----------|
|---------------------|----------|----------|----------|----------|----------|

GENERAL REVENUE FUND - DEDICATED

704 GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-2015 GAA)

| | | | | |
|-------------|-----|-----|-----|-----|
| \$2,539,400 | \$0 | \$0 | \$0 | \$0 |
|-------------|-----|-----|-----|-----|

Regular Appropriations from MOF Table (2016-17 GAA)

| | | | | |
|-----|-------------|-------------|-----|-----|
| \$0 | \$2,049,150 | \$2,049,150 | \$0 | \$0 |
|-----|-------------|-------------|-----|-----|

BASE ADJUSTMENT

Revised Revenue Receipts

| | | | | |
|-------------|-------------|-------------|-----|-----|
| \$(524,224) | \$(284,829) | \$(503,467) | \$0 | \$0 |
|-------------|-------------|-------------|-----|-----|

TOTAL, GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704

| | | | | |
|--------------------|--------------------|--------------------|------------|------------|
| \$2,015,176 | \$1,764,321 | \$1,545,683 | \$0 | \$0 |
|--------------------|--------------------|--------------------|------------|------------|

770 GR Dedicated - Estimated Other Educational and General Income Account No. 770

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2014-2015 GAA)

| | | | | |
|--------------|-----|-----|-----|-----|
| \$10,436,341 | \$0 | \$0 | \$0 | \$0 |
|--------------|-----|-----|-----|-----|

Regular Appropriations from MOF Table (2016-17 GAA)

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2016 4:27:05PM

| Agency code: 759 | Agency name: University of Houston - Clear Lake | | | | |
|---|--|---------------------|---------------------|--------------------|--------------------|
| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>GENERAL REVENUE FUND - DEDICATED</u> | | | | | |
| | \$0 | \$13,897,805 | \$14,114,160 | \$0 | \$0 |
| Regular Appropriations (2018-2019) | \$0 | \$0 | \$0 | \$3,267,992 | \$3,305,905 |
| <i>BASE ADJUSTMENT</i> | | | | | |
| Revised Revenue Receipts | \$4,732,216 | \$2,015,831 | \$623,413 | \$0 | \$0 |
| Adjustment to Expended | \$(1,979,726) | \$(242,822) | \$1,236,065 | \$0 | \$0 |
| TOTAL, GR Dedicated - Estimated Other Educational and General Income Account No. 770 | \$13,188,831 | \$15,670,814 | \$15,973,638 | \$3,267,992 | \$3,305,905 |
| TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770 | \$15,204,007 | \$17,435,135 | \$17,519,321 | \$3,267,992 | \$3,305,905 |
| TOTAL, ALL GENERAL REVENUE FUND - DEDICATED | \$15,204,007 | \$17,435,135 | \$17,519,321 | \$3,267,992 | \$3,305,905 |
| TOTAL, GR & GR-DEDICATED FUNDS | \$39,607,466 | \$46,472,244 | \$46,496,937 | \$9,280,173 | \$9,318,085 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2016 4:27:05PM

| Agency code: 759 | | Agency name: University of Houston - Clear Lake | | | | |
|----------------------------|--|--|---------------------|---------------------|--------------------|--------------------|
| METHOD OF FINANCING | | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| <u>OTHER FUNDS</u> | | | | | | |
| 802 | License Plate Trust Fund Account No. 0802 | | | | | |
| | <i>REGULAR APPROPRIATIONS</i> | | | | | |
| | Regular Appropriations from MOF Table (2016-17 GAA) | \$0 | \$0 | \$0 | \$0 | \$0 |
| | Regular Appropriation (2018-2019) | \$0 | \$0 | \$0 | \$2,517 | \$2,517 |
| | <i>RIDER APPROPRIATION</i> | | | | | |
| | Art III, Spec. Prov. Higher Ed., Sec 60, Texas Collegiate License Plate Scholarships(2016-2017 GA. | \$0 | \$2,517 | \$2,517 | \$0 | \$0 |
| TOTAL, | License Plate Trust Fund Account No. 0802 | \$0 | \$2,517 | \$2,517 | \$2,517 | \$2,517 |
| TOTAL, ALL | OTHER FUNDS | \$0 | \$2,517 | \$2,517 | \$2,517 | \$2,517 |
| GRAND TOTAL | | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |

2.B. Summary of Base Request by Method of Finance
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2016 4:27:05PM

| Agency code: 759 | Agency name: University of Houston - Clear Lake | | | | |
|---|--|-----------------|-----------------|-----------------|-----------------|
| METHOD OF FINANCING | Exp 2015 | Est 2016 | Bud 2017 | Req 2018 | Req 2019 |
| FULL-TIME-EQUIVALENT POSITIONS | | | | | |
| REGULAR APPROPRIATIONS | | | | | |
| Regular Appropriations from MOF Table (2014-15 GAA) | 505.7 | 0.0 | 0.0 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table (2016-17 GAA) | 0.0 | 489.0 | 489.0 | 0.0 | 0.0 |
| Regular Appropriations (2018-2019) | 0.0 | 0.0 | 0.0 | 596.3 | 596.3 |
| UNAUTHORIZED NUMBER OVER (BELOW) CAP | | | | | |
| Unauthorized Amount over (below) cap | (17.9) | 83.4 | 107.3 | 0.0 | 0.0 |
| TOTAL, ADJUSTED FTES | 487.8 | 572.4 | 596.3 | 596.3 | 596.3 |
| NUMBER OF 100% FEDERALLY FUNDED FTES | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

2.C. Summary of Base Request by Object of Expense

10/17/2016 4:27:05PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

| OBJECT OF EXPENSE | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|-------------------------------------|---------------------|---------------------|---------------------|--------------------|--------------------|
| 1001 SALARIES AND WAGES | \$13,680,833 | \$16,199,432 | \$17,606,633 | \$1,042,982 | \$1,042,982 |
| 1002 OTHER PERSONNEL COSTS | \$594,995 | \$415,739 | \$595,211 | \$0 | \$0 |
| 1005 FACULTY SALARIES | \$20,815,582 | \$24,188,537 | \$24,437,859 | \$2,700,000 | \$2,700,000 |
| 2003 CONSUMABLE SUPPLIES | \$14,175 | \$168 | \$0 | \$0 | \$0 |
| 2004 UTILITIES | \$1,193,027 | \$998,140 | \$284,227 | \$0 | \$0 |
| 2007 RENT - MACHINE AND OTHER | \$7,526 | \$5,412 | \$0 | \$0 | \$0 |
| 2009 OTHER OPERATING EXPENSE | \$3,243,031 | \$4,586,311 | \$3,545,667 | \$5,539,708 | \$5,577,620 |
| 5000 CAPITAL EXPENDITURES | \$58,297 | \$81,022 | \$29,857 | \$0 | \$0 |
| OOE Total (Excluding Riders) | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |
| OOE Total (Riders) | | | | | |
| Grand Total | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2016 4:27:06PM

759 University of Houston - Clear Lake

| Goal/ Objective / Outcome | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|----------|----------|----------|---------|---------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 Provide Instructional and Operations Support | | | | | |
| 16 Percent of Semester Credit Hours Completed | | | | | |
| | 94.50% | 94.20% | 93.20% | 93.20% | 93.20% |
| KEY 17 Certification Rate of Teacher Education Graduates | | | | | |
| | 99.20% | 95.60% | 95.60% | 95.60% | 95.60% |
| KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates | | | | | |
| | 45.70% | 36.20% | 36.20% | 36.20% | 36.20% |
| KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions) | | | | | |
| | 0.76 | 0.71 | 0.80 | 0.83 | 0.85 |
| 31 External or Sponsored Research Funds As a % of State Appropriations | | | | | |
| | 220.86% | 206.00% | 231.98% | 239.12% | 255.82% |
| 32 External Research Funds as Percentage Appropriated for Research | | | | | |
| | 461.00% | 416.00% | 463.00% | 476.00% | 500.00% |
| KEY 33 Percent of Transfer Students Who Graduate within 4 Years | | | | | |
| | 68.80% | 72.70% | 72.30% | 72.30% | 72.30% |
| 34 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 4 Yrs | | | | | |
| | 70.70% | 74.20% | 73.50% | 73.50% | 73.50% |
| 35 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 4 Yrs | | | | | |
| | 70.80% | 73.70% | 73.30% | 73.30% | 73.30% |
| 36 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 4 Yrs | | | | | |
| | 60.70% | 65.20% | 64.50% | 64.50% | 64.50% |
| 37 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 4 Yrs | | | | | |
| | 61.80% | 65.30% | 64.60% | 64.60% | 64.60% |
| KEY 38 Percent of Transfer Students Who Graduate within 2 Years | | | | | |
| | 28.20% | 32.40% | 32.10% | 32.10% | 32.10% |

2.D. Summary of Base Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/17/2016 4:27:06PM

759 University of Houston - Clear Lake

| <i>Goal/ Objective / Outcome</i> | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|---|-----------------|-----------------|-----------------|----------------|----------------|
| 39 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 2 Yrs | 28.80% | 34.50% | 34.10% | 34.10% | 34.10% |
| 40 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 2 Yrs | 27.00% | 27.60% | 27.30% | 27.30% | 27.30% |
| 41 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 2 Yrs | 26.00% | 24.70% | 24.50% | 24.50% | 24.50% |
| 42 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 2 Yrs | 29.50% | 33.80% | 33.50% | 33.50% | 33.50% |
| KEY 43 Persistence Rate-1st/Full-Time, Degree-Seeking Transfers after 1 Year | 83.30% | 84.20% | 84.70% | 84.70% | 84.70% |
| 44 Persistence-1st/Full-Time, Degree-seeking White Transfers after 1Yr | 84.10% | 84.90% | 85.20% | 85.20% | 85.20% |
| 45 Persistence-1st/Full-Time, Degree-seeking Hisp Transfers after 1 Year | 82.80% | 86.10% | 86.40% | 86.40% | 86.40% |
| 46 Persistence - 1st/Full-Time, Degree-seeking Black Transfers after 1Yr | 83.10% | 76.20% | 76.60% | 76.60% | 76.60% |
| 47 Persistence - 1st/Full-Time, Degree-seeking Other Transfers after 1Yr | 81.90% | 83.20% | 83.50% | 83.50% | 83.50% |
| 48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year | 20.00% | 0.00% | 0.00% | 0.00% | 0.00% |
| 49 Average No Months Endowed Chairs Remain Vacant | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

2.E. Summary of Exceptional Items Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2016
 TIME : 4:27:06PM

Agency code: 759

Agency name: University of Houston - Clear Lake

| Priority | Item | 2018 | | | 2019 | | | Biennium | | |
|---|-----------------------------|------------------------|------------------|-------------|---------------------|------------------|-------------|---------------------|--------------------|--|
| | | GR and GR/GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | |
| 1 | Restoration of 4% Reduction | \$250,507 | \$250,507 | 5.0 | \$250,508 | \$250,508 | 5.0 | \$501,015 | \$501,015 | |
| 2 | Center for Autism | \$100,000 | \$100,000 | 3.0 | \$100,000 | \$100,000 | 3.0 | \$200,000 | \$200,000 | |
| 3 | High Tech Lab | \$100,000 | \$100,000 | 0.0 | \$100,000 | \$100,000 | 0.0 | \$200,000 | \$200,000 | |
| 4 | Environmental Studies | \$100,000 | \$100,000 | 2.0 | \$100,000 | \$100,000 | 2.0 | \$200,000 | \$200,000 | |
| Total, Exceptional Items Request | | \$550,507 | \$550,507 | 10.0 | \$550,508 | \$550,508 | 10.0 | \$1,101,015 | \$1,101,015 | |
| Method of Financing | | | | | | | | | | |
| | General Revenue | \$550,507 | \$550,507 | | \$550,508 | \$550,508 | | \$1,101,015 | \$1,101,015 | |
| | General Revenue - Dedicated | | | | | | | | | |
| | Federal Funds | | | | | | | | | |
| | Other Funds | | | | | | | | | |
| | | \$550,507 | \$550,507 | | \$550,508 | \$550,508 | | \$1,101,015 | \$1,101,015 | |
| Full Time Equivalent Positions | | | | 10.0 | | | | 10.0 | | |
| Number of 100% Federally Funded FTEs | | | | 0.0 | | | | 0.0 | | |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2016

TIME : 4:27:07PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| <i>1 Provide Instructional and Operations Support</i> | | | | | | |
| 1 OPERATIONS SUPPORT | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3 STAFF GROUP INSURANCE PREMIUMS | 1,877,141 | 1,886,527 | 0 | 0 | 1,877,141 | 1,886,527 |
| 4 WORKERS' COMPENSATION INSURANCE | 193,454 | 193,454 | 0 | 0 | 193,454 | 193,454 |
| 6 TEXAS PUBLIC EDUCATION GRANTS | 1,390,851 | 1,419,378 | 0 | 0 | 1,390,851 | 1,419,378 |
| TOTAL, GOAL 1 | \$3,461,446 | \$3,499,359 | \$0 | \$0 | \$3,461,446 | \$3,499,359 |
| 2 Provide Infrastructure Support | | | | | | |
| <i>1 Provide Operation and Maintenance of E&G Space</i> | | | | | | |
| 1 E&G SPACE SUPPORT | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL, GOAL 2 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2016

TIME : 4:27:07PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|--|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 3 Provide Special Item Support | | | | | | |
| 1 <i>Instructional Support Special Item Support</i> | | | | | | |
| 1 DOWNWARD EXPANSION | \$3,250,000 | \$3,250,000 | \$0 | \$0 | \$3,250,000 | \$3,250,000 |
| 2 <i>Research Special Item Support</i> | | | | | | |
| 1 HIGH TECHNOLOGIES LABORATORY | 41,864 | 41,864 | 100,000 | 100,000 | 141,864 | 141,864 |
| 2 ENVIRONMENTAL STUDIES PARTNERSHIP | 302,368 | 302,368 | 100,000 | 100,000 | 402,368 | 402,368 |
| 3 CENTER FOR AUTISM | 200,000 | 200,000 | 100,000 | 100,000 | 300,000 | 300,000 |
| 4 <i>Institutional Support Special Item Support</i> | | | | | | |
| 1 INSTITUTIONAL ENHANCEMENT | 2,027,012 | 2,027,011 | 0 | 0 | 2,027,012 | 2,027,011 |
| 5 <i>Exceptional Item Request</i> | | | | | | |
| 1 EXCEPTIONAL ITEM REQUEST | 0 | 0 | 250,507 | 250,508 | 250,507 | 250,508 |
| TOTAL, GOAL 3 | \$5,821,244 | \$5,821,243 | \$550,507 | \$550,508 | \$6,371,751 | \$6,371,751 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2016

TIME : 4:27:07PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 6 Research Funds | | | | | | |
| 1 <i>Research Development Fund</i> | | | | | | |
| 1 RESEARCH DEVELOPMENT FUND | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 3 <i>Comprehensive Research Fund</i> | | | | | | |
| 1 COMPREHENSIVE RESEARCH FUND | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL, GOAL 6 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, AGENCY STRATEGY REQUEST | \$9,282,690 | \$9,320,602 | \$550,507 | \$550,508 | \$9,833,197 | \$9,871,110 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST | | | | | | |
| GRAND TOTAL, AGENCY REQUEST | \$9,282,690 | \$9,320,602 | \$550,507 | \$550,508 | \$9,833,197 | \$9,871,110 |

2.F. Summary of Total Request by Strategy
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/17/2016

TIME : 4:27:07PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Goal/Objective/STRATEGY | Base 2018 | Base 2019 | Exceptional 2018 | Exceptional 2019 | Total Request 2018 | Total Request 2019 |
|---|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| General Revenue Funds: | | | | | | |
| 1 General Revenue Fund | \$6,012,181 | \$6,012,180 | \$550,507 | \$550,508 | \$6,562,688 | \$6,562,688 |
| | \$6,012,181 | \$6,012,180 | \$550,507 | \$550,508 | \$6,562,688 | \$6,562,688 |
| General Revenue Dedicated Funds: | | | | | | |
| 704 Bd Authorized Tuition Inc | 0 | 0 | 0 | 0 | 0 | 0 |
| 770 Est Oth Educ & Gen Inco | 3,267,992 | 3,305,905 | 0 | 0 | 3,267,992 | 3,305,905 |
| | \$3,267,992 | \$3,305,905 | \$0 | \$0 | \$3,267,992 | \$3,305,905 |
| Other Funds: | | | | | | |
| 802 License Plate Trust Fund No. 0802 | 2,517 | 2,517 | 0 | 0 | 2,517 | 2,517 |
| | \$2,517 | \$2,517 | \$0 | \$0 | \$2,517 | \$2,517 |
| TOTAL, METHOD OF FINANCING | \$9,282,690 | \$9,320,602 | \$550,507 | \$550,508 | \$9,833,197 | \$9,871,110 |
| FULL TIME EQUIVALENT POSITIONS | 596.3 | 596.3 | 10.0 | 10.0 | 606.3 | 606.3 |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2016
 Time: 4:27:07PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | | |
| 16 Percent of Semester Credit Hours Completed | | | | | | |
| | 93.20% | 93.20% | | | 93.20% | 93.20% |
| KEY 17 Certification Rate of Teacher Education Graduates | | | | | | |
| | 95.60% | 95.60% | | | 95.60% | 95.60% |
| KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates | | | | | | |
| | 36.20% | 36.20% | | | 36.20% | 36.20% |
| KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions) | | | | | | |
| | 0.83 | 0.85 | | | 0.83 | 0.85 |
| 31 External or Sponsored Research Funds As a % of State Appropriations | | | | | | |
| | 239.12% | 255.82% | | | 239.12% | 255.82% |
| 32 External Research Funds as Percentage Appropriated for Research | | | | | | |
| | 476.00% | 500.00% | | | 476.00% | 500.00% |
| KEY 33 Percent of Transfer Students Who Graduate within 4 Years | | | | | | |
| | 72.30% | 72.30% | | | 72.30% | 72.30% |
| 34 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 4 Yrs | | | | | | |
| | 73.50% | 73.50% | | | 73.50% | 73.50% |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2016
 Time: 4:27:07PM

Agency code: 759

Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 35 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 4 Yrs | 73.30% | 73.30% | | | 73.30% | 73.30% |
| 36 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 4 Yrs | 64.50% | 64.50% | | | 64.50% | 64.50% |
| 37 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 4 Yrs | 64.60% | 64.60% | | | 64.60% | 64.60% |
| KEY 38 Percent of Transfer Students Who Graduate within 2 Years | 32.10% | 32.10% | | | 32.10% | 32.10% |
| 39 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 2 Yrs | 34.10% | 34.10% | | | 34.10% | 34.10% |
| 40 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 2 Yrs | 27.30% | 27.30% | | | 27.30% | 27.30% |
| 41 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 2 Yrs | 24.50% | 24.50% | | | 24.50% | 24.50% |
| 42 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 2 Yrs | 33.50% | 33.50% | | | 33.50% | 33.50% |
| KEY 43 Persistence Rate-1st/Full-Time, Degree-Seeking Transfers after 1 Year | 84.70% | 84.70% | | | 84.70% | 84.70% |

2.G. Summary of Total Request Objective Outcomes
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/17/2016

Time: 4:27:07PM

Agency code: 759

Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

| | BL 2018 | BL 2019 | Excp 2018 | Excp 2019 | Total Request 2018 | Total Request 2019 |
|---|------------|------------|--------------|--------------|--------------------------|--------------------------|
| 44 Persistence-1st/Full-Time, Degree-seeking White Transfers after 1Yr | 85.20% | 85.20% | | | 85.20% | 85.20% |
| 45 Persistence-1st/Full-Time, Degree-seeking Hisp Transfers after 1 Year | 86.40% | 86.40% | | | 86.40% | 86.40% |
| 46 Persistence - 1st/Full-Time, Degree-seeking Black Transfers after 1Yr | 76.60% | 76.60% | | | 76.60% | 76.60% |
| 47 Persistence - 1st/Full-Time, Degree-seeking Other Transfers after 1Yr | 83.50% | 83.50% | | | 83.50% | 83.50% |
| 48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year | 0.00% | 0.00% | | | 0.00% | 0.00% |
| 49 Average No Months Endowed Chairs Remain Vacant | 0.00 | 0.00 | | | 0.00 | 0.00 |

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|------------------------------------|--|-----------|-----------|-----------|------------------------|------------------------|
| Output Measures: | | | | | | |
| | 1 Number of Undergraduate Degrees Awarded | 1,298.00 | 1,280.00 | 1,294.00 | 1,309.00 | 1,323.00 |
| | 2 Number of Minority Graduates | 784.00 | 805.00 | 837.00 | 869.00 | 901.00 |
| | 6 Number of Two-Year College Transfers Who Graduate | 869.00 | 892.00 | 905.00 | 918.00 | 932.00 |
| Efficiency Measures: | | | | | | |
| KEY 1 | Administrative Cost As a Percent of Operating Budget | 11.44 % | 11.17 % | 11.00 % | 10.90 % | 10.80 % |
| KEY 2 | Avg Cost of Resident Undergraduate Tuition and Fees for 15 SCH | 3,566.00 | 3,737.00 | 3,965.00 | 4,094.00 | 4,094.00 |
| Explanatory/Input Measures: | | | | | | |
| | 1 Student/Faculty Ratio | 16.00 | 16.00 | 16.20 | 16.40 | 16.70 |
| | 2 Number of Minority Students Enrolled | 3,158.00 | 3,284.00 | 3,408.00 | 3,546.00 | 3,684.00 |
| | 3 Number of Community College Transfers Enrolled | 3,679.00 | 3,765.00 | 3,859.00 | 3,898.00 | 3,937.00 |
| | 4 Number of Semester Credit Hours Completed | 72,466.00 | 76,620.00 | 75,485.00 | 77,062.00 | 78,687.00 |
| | 5 Number of Semester Credit Hours | 77,026.00 | 80,904.00 | 79,702.00 | 81,410.00 | 83,169.00 |
| | 6 Number of Students Enrolled as of the Twelfth Class Day | 8,665.00 | 8,906.00 | 8,766.00 | 8,897.00 | 9,033.00 |
| KEY 7 | Average Student Loan Debt | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| KEY 8 | Percent of Students with Student Loan Debt | 0.00 % | 0.00 % | 0.00 % | 0.00 % | 0.00 % |
| KEY 9 | Average Financial Aid Award Per Full-Time Student | 9,684.00 | 9,471.00 | 9,500.00 | 9,500.00 | 9,500.00 |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

3.A. Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|---|---------------------|---------------------|---------------------|------------------------|------------------------|
| KEY 10 | Percent of Full-Time Students Receiving Financial Aid | 75.40 % | 76.80 % | 76.00 % | 76.00 % | 76.00 % |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$11,915,974 | \$14,228,389 | \$15,316,937 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$587,442 | \$414,983 | \$595,211 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$20,805,278 | \$24,178,255 | \$24,437,859 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$9,983 | \$0 | \$0 | \$0 | \$0 |
| 2004 | UTILITIES | \$4,541 | \$0 | \$0 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$2,176 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$87,573 | \$348,600 | \$41,065 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$27,918 | \$55,022 | \$29,857 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$33,440,885 | \$39,225,249 | \$40,420,929 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$23,333,751 | \$28,130,322 | \$28,242,206 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$23,333,751 | \$28,130,322 | \$28,242,206 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 704 | Bd Authorized Tuition Inc | \$2,015,176 | \$1,764,321 | \$1,545,683 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|-------------------------|---------------------|---------------------|---------------------|------------------------|------------------------|
| 770 | Est Oth Educ & Gen Inco | \$8,091,958 | \$9,330,606 | \$10,633,040 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$10,107,134 | \$11,094,927 | \$12,178,723 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$33,440,885 | \$39,225,249 | \$40,420,929 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 443.5 | 519.1 | 541.8 | 541.8 | 541.8 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support. The funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

(1)

(1)

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|----------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$79,646,178 | \$0 | \$(79,646,178) | \$(79,646,178) | Formula funded strategies are not requested in 2018-2019 because amounts are not determined by institutions. |
| | | | \$(79,646,178) | Total of Explanation of Biennial Change |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$1,750,979 | \$2,473,315 | \$1,867,802 | \$1,877,141 | \$1,886,527 |
| TOTAL, OBJECT OF EXPENSE | | \$1,750,979 | \$2,473,315 | \$1,867,802 | \$1,877,141 | \$1,886,527 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$1,750,979 | \$2,473,315 | \$1,867,802 | \$1,877,141 | \$1,886,527 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$1,750,979 | \$2,473,315 | \$1,867,802 | \$1,877,141 | \$1,886,527 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$1,877,141 | \$1,886,527 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$1,750,979 | \$2,473,315 | \$1,867,802 | \$1,877,141 | \$1,886,527 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy is to provide proportional share of staff group insurance premiums paid from Other Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|-----------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | <u>CHANGE</u> | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$4,341,117 | \$3,763,668 | \$(577,449) | \$(577,449) | Change in costs. |
| | | | \$(577,449) | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 4 Workers' Compensation Insurance

Service Categories:
 Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|-----------------|-----------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$77,998 | \$74,536 | \$115,000 | \$193,454 | \$193,454 |
| TOTAL, OBJECT OF EXPENSE | | \$77,998 | \$74,536 | \$115,000 | \$193,454 | \$193,454 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$54,707 | \$54,287 | \$86,300 | \$193,454 | \$193,454 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$54,707 | \$54,287 | \$86,300 | \$193,454 | \$193,454 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$23,291 | \$20,249 | \$28,700 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$23,291 | \$20,249 | \$28,700 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$193,454 | \$193,454 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | | | | \$193,454 | \$193,454 |
| FULL TIME EQUIVALENT POSITIONS: | | | | | | |

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 4 Workers' Compensation Insurance Service: 06 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy funds the Worker's Compensation payments related to Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$189,536 | \$386,908 | \$197,372 | \$197,372 | Variance in claims vs. appropriation. |
| | | | \$197,372 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:
 Service: 20 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$1,275,934 | \$1,382,066 | \$1,363,155 | \$1,390,851 | \$1,419,378 |
| TOTAL, OBJECT OF EXPENSE | | \$1,275,934 | \$1,382,066 | \$1,363,155 | \$1,390,851 | \$1,419,378 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$1,275,934 | \$1,382,066 | \$1,363,155 | \$1,390,851 | \$1,419,378 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$1,275,934 | \$1,382,066 | \$1,363,155 | \$1,390,851 | \$1,419,378 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$1,390,851 | \$1,419,378 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$1,275,934 | \$1,382,066 | \$1,363,155 | \$1,390,851 | \$1,419,378 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy represents tuition set aside for the Texas Public Education Grants program as required by Section 56.033 of the Texas Education Code.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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 Automated Budget and Evaluation System of Texas (ABEST)

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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:
 Service: 20 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$2,745,221 | \$2,810,229 | \$65,008 | \$65,008 | Due to change in tuition revenue. |
| | | | \$65,008 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

STRATEGY: 1 Educational and General Space Support

Service Categories:

Service: 10

Income: A.2

Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|--------------------------------------|--------------------|--------------------|--------------------|------------------------|------------------------|
| Efficiency Measures: | | | | | | |
| 1 | Space Utilization Rate of Classrooms | 34.00 | 41.00 | 41.40 | 41.80 | 41.40 |
| 2 | Space Utilization Rate of Labs | 21.00 | 25.00 | 25.30 | 25.50 | 25.20 |
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,409,220 | \$1,502,246 | \$1,796,714 | \$0 | \$0 |
| 1002 | OTHER PERSONNEL COSTS | \$6,805 | \$0 | \$0 | \$0 | \$0 |
| 2004 | UTILITIES | \$1,181,575 | \$991,175 | \$284,227 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$1,919 | \$148,547 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$0 | \$26,000 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$2,599,519 | \$2,667,968 | \$2,080,941 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$552,850 | \$203,390 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$552,850 | \$203,390 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 770 | Est Oth Educ & Gen Inco | \$2,046,669 | \$2,464,578 | \$2,080,941 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | | \$2,046,669 | \$2,464,578 | \$2,080,941 | \$0 | \$0 |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|--|-------------|--------------------|--------------------|--------------------|------------------------|------------------------|
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$2,599,519 | \$2,667,968 | \$2,080,941 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 34.6 | 41.7 | 41.7 | 41.7 | 41.7 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Infrastructure Support formula distributes funding associated with plant-related formulas and utilities. This formula is driven by the predicted square feet for universities' educational and general activities produced by the Coordinating Board Space Projection Model. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 1 Educational and General Space Support

Service Categories:
 Service: 10 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 ⁽¹⁾ | BL 2019 ⁽¹⁾ |
|------|-------------|----------|----------|----------|------------------------|------------------------|
|------|-------------|----------|----------|----------|------------------------|------------------------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|---------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$4,748,909 | \$0 | \$(4,748,909) | \$(4,748,909) | Formula funded strategies are not requested in 2018-2019 because amounts are not determined by institutions. |
| | | | \$(4,748,909) | Total of Explanation of Biennial Change |

(1) - Formula funded strategies are not requested in 2018-19 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support

OBJECTIVE: 1 Instructional Support Special Item Support

STRATEGY: 1 Downward Expansion

Service Categories:

Service: 19

Income: A.2

Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|----------------------|------------|------------|------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$0 | \$0 | \$0 | \$550,000 | \$550,000 |
| 1005 | FACULTY SALARIES | \$0 | \$0 | \$0 | \$2,700,000 | \$2,700,000 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$0 | \$0 | \$3,250,000 | \$3,250,000 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$0 | \$0 | \$3,250,000 | \$3,250,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$0 | \$0 | \$3,250,000 | \$3,250,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$3,250,000 | \$3,250,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$0 | \$0 | \$3,250,000 | \$3,250,000 |

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 1 Instructional Support Special Item Support Service Categories:
 STRATEGY: 1 Downward Expansion Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

During the 82nd Legislature (2011), UHCL received authority to offer lower division courses to freshman and sophomore students. This authority for downward expansion was a key component to expanding access to higher education and enhancing student success, which are key goals in the state's higher education plan Closing the Gaps by 2015. UHCL joined our UH System sister institutions, starting in fall 2015, by providing an additional entry point for access to higher education. As a system of institutions we will be better able to meet the needs of our students in the greater Houston region and recruit students from the local high schools and offer scholarship programs to attract high caliber students.

Universities throughout the state that have expanded to 4 year found it necessary to seek additional state operating funds to provide this new access point to students within their regions. Upper level institutions do not have the structure in place to provide the support for this endeavor which starts with a small number of students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | BIENNIAL CHANGE | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|--------------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$0 | \$6,500,000 | \$6,500,000 | \$6,500,000 | Expenditures for FY2016-2017 are reported in Operations Support. |
| | | | \$6,500,000 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 1 High Technologies Laboratory

Service Categories:

Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$30,311 | \$30,255 | \$41,864 | \$41,864 | \$41,864 |
| 1005 | FACULTY SALARIES | \$10,304 | \$10,282 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$168 | \$168 | \$0 | \$0 | \$0 |
| 2004 | UTILITIES | \$72 | \$71 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$1,092 | \$1,088 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$41,947 | \$41,864 | \$41,864 | \$41,864 | \$41,864 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$41,947 | \$41,864 | \$41,864 | \$41,864 | \$41,864 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$41,947 | \$41,864 | \$41,864 | \$41,864 | \$41,864 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$41,864 | \$41,864 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$41,947 | \$41,864 | \$41,864 | \$41,864 | \$41,864 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.8 | 1.5 | 1.5 | 1.5 | 1.5 |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 1 High Technologies Laboratory Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The lab was created in FY83 as a project of the university and funded in FY84 as a special item. The mission is to integrate research and development activities in computer technology. Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly, in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats. The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$83,728 | \$83,728 | \$0 | \$0 | There is no biennial change for this strategy. |
| | | | \$0 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 2 Houston Partnership for Environmental Studies

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|--------------------------|------------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$266,332 | \$268,745 | \$302,368 | \$302,368 | \$302,368 |
| 1002 | OTHER PERSONNEL COSTS | \$748 | \$756 | \$0 | \$0 | \$0 |
| 2004 | UTILITIES | \$6,839 | \$6,894 | \$0 | \$0 | \$0 |
| 2007 | RENT - MACHINE AND OTHER | \$5,350 | \$5,412 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$20,367 | \$20,561 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$299,636 | \$302,368 | \$302,368 | \$302,368 | \$302,368 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$299,636 | \$302,368 | \$302,368 | \$302,368 | \$302,368 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$299,636 | \$302,368 | \$302,368 | \$302,368 | \$302,368 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$302,368 | \$302,368 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$299,636 | \$302,368 | \$302,368 | \$302,368 | \$302,368 |
| FULL TIME EQUIVALENT POSITIONS: | | 6.2 | 6.2 | 6.2 | 6.2 | 6.2 |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 2 Houston Partnership for Environmental Studies Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

The mission of the Environmental Institute of Houston (EIH) is to advance understanding of the environment through interdisciplinary research, education, and outreach. EIH addresses regional issues of environmental concern and has become a leader in building partnerships in research, education and outreach. EIH conducts research on environmental issues with an emphasis on developing and implementing real-world solutions that incorporate community resiliency, waste minimization, energy conservation, and reduces the loss of natural resource services. EIH research activities are focused on urban land use, water resources, flood control, and fish and wildlife conservation, human health and resiliency. EIH provides experience and training for upcoming scientists by incorporating student researchers and promotes multi-disciplinary collaboration through partnerships with a diverse group of agencies, private companies and non-profits. EIH research and technical services provided meets the research and information needs, goals and requirements of multiple environmental regulatory programs. EIH provides technical and professional development for educators emphasizing environmental and science education. Educator workshops and credit courses are offered for area public and private schools, higher education, nature centers, parks, and other education providers. EIH provides technical knowledge through seminars and training workshops to citizens and professional audiences.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$604,736 | \$604,736 | \$0 | \$0 | There is no biennial change for this strategy. |
| | | | \$0 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 3 Center for Autism and Developmental Disabilities

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------|------------------|------------------|------------------|------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$0 | \$169,797 | \$148,750 | \$148,750 | \$148,750 |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$30,203 | \$51,250 | \$51,250 | \$51,250 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$200,000 | \$200,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| FULL TIME EQUIVALENT POSITIONS: | | 0.0 | 3.9 | 5.1 | 5.1 | 5.1 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Behavior Analysis, School Psychology, and Family Therapy graduate programs. CADD's goals are to support research on autism and developmental disabilities, train current and future professionals, and provide services to children and their families through partnerships with school districts and community organizations.

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 3 Center for Autism and Developmental Disabilities

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|----------------------------|---------------------------------------|---|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$400,000 | \$400,000 | \$0 | \$0 | There is no biennial change for this strategy. |
| | | | \$0 | Total of Explanation of Biennial Change |

3.A. Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2016 4:27:08PM

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support
 STRATEGY: 1 Institutional Enhancement

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-----------------------------------|------------|----------------|----------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 1005 | FACULTY SALARIES | \$0 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$2,517 | \$2,517 | \$2,027,012 | \$2,027,011 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$2,517 | \$2,517 | \$2,027,012 | \$2,027,011 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$0 | \$0 | \$2,024,495 | \$2,024,494 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$0 | \$0 | \$2,024,495 | \$2,024,494 |
| Method of Financing: | | | | | | |
| 802 | License Plate Trust Fund No. 0802 | \$0 | \$2,517 | \$2,517 | \$2,517 | \$2,517 |
| SUBTOTAL, MOF (OTHER FUNDS) | | \$0 | \$2,517 | \$2,517 | \$2,517 | \$2,517 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$2,027,012 | \$2,027,011 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | | | | \$0 | \$2,027,011 |
| FULL TIME EQUIVALENT POSITIONS: | | 0.0 | | | | |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support Service Categories:
 STRATEGY: 1 Institutional Enhancement Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

STRATEGY DESCRIPTION AND JUSTIFICATION:

This item was first created by the 76th Legislature and has been adjusted numerous times over the years. Institutional Enhancement funds have become operating dollars for state universities, comparable in terms of use to what is received through the Operations Support formula and support academic programs and instruction.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Special Item information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$5,034 | \$4,054,023 | \$4,048,989 | \$4,048,989 | Expenditures for FY2016-2017 are reported in Operations Support. |
| | | | \$4,048,989 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 5 Exceptional Item Request
 STRATEGY: 1 Exceptional Item Request

Service Categories:
 Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------|------------|------------|------------|------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$0 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$0 | \$0 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request
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 Automated Budget and Evaluation System of Texas (ABEST)

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759 University of Houston - Clear Lake

GOAL: 3 Provide Special Item Support

OBJECTIVE: 5 Exceptional Item Request

STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$0 | \$0 | \$0 | \$0 | There is no biennial change for this strategy. |
| | | | \$0 | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 6 Research Funds
 OBJECTIVE: 1 Research Development Fund
 STRATEGY: 1 Research Development Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------------|------------|------------|------------|------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$58,996 | \$0 | \$0 | \$0 | \$0 |
| 2003 | CONSUMABLE SUPPLIES | \$4,024 | \$0 | \$0 | \$0 | \$0 |
| 2009 | OTHER OPERATING EXPENSE | \$27,169 | \$0 | \$0 | \$0 | \$0 |
| 5000 | CAPITAL EXPENDITURES | \$30,379 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$120,568 | \$0 | \$0 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$120,568 | \$0 | \$0 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$120,568 | \$0 | \$0 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$120,568 | \$0 | \$0 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | 1.7 | 0.0 | 0.0 | 0.0 | 0.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Research Development Fund (RDF) is distributed among eligible institutions based on the average amount of restricted research funds expended by each institution per year for the three preceding fiscal years. FY2015 is the final year of existence for the RDF. The purpose of these funds is to promote research capacity.

759 University of Houston - Clear Lake

GOAL: 6 Research Funds
 OBJECTIVE: 1 Research Development Fund Service Categories:
 STRATEGY: 1 Research Development Fund Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL CHANGE</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|---|------------------------|---------------------------------------|--|
| <u>Base Spending (Est 2016 + Bud 2017)</u> | <u>Baseline Request (BL 2018 + BL 2019)</u> | | <u>\$ Amount</u> | <u>Explanation(s) of Amount (must specify MOFs and FTEs)</u> |
| \$0 | \$0 | \$0 | \$0 | There is no biennial change for this strategy. |
| | | | <u>\$0</u> | Total of Explanation of Biennial Change |

759 University of Houston - Clear Lake

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|--|-------------------------|------------|------------------|------------------|------------|------------|
| Objects of Expense: | | | | | | |
| 2009 | OTHER OPERATING EXPENSE | \$0 | \$104,878 | \$104,878 | \$0 | \$0 |
| TOTAL, OBJECT OF EXPENSE | | \$0 | \$104,878 | \$104,878 | \$0 | \$0 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$0 | \$104,878 | \$104,878 | \$0 | \$0 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$0 | \$104,878 | \$104,878 | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$0 | \$0 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$0 | \$104,878 | \$104,878 | \$0 | \$0 |
| FULL TIME EQUIVALENT POSITIONS: | | | 0.0 | 0.0 | 0.0 | 0.0 |

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Comprehensive Research Fund provides funding to promote increased research capacity at eligible general academic teaching institutions including those other than The University of Texas at Austin, Texas A&M University or any institution designated as an emerging research university under the Higher Education Coordinating Board's (THECB) accountability system. Funding is to be expended for the support and maintenance of educational and general activities, including research and student services, that promote increased research capacity. A legislatively determined amount of funding is allocated based on the average amount of restricted research funds expended by each institution per year for the three preceding state fiscal years as reported to THECB.

759 University of Houston - Clear Lake

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2015 | Est 2016 | Bud 2017 | BL 2018 | BL 2019 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

| <u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u> | | <u>BIENNIAL</u> | <u>EXPLANATION OF BIENNIAL CHANGE</u> | |
|--|--------------------------------------|-----------------|---------------------------------------|--|
| Base Spending (Est 2016 + Bud 2017) | Baseline Request (BL 2018 + BL 2019) | CHANGE | \$ Amount | Explanation(s) of Amount (must specify MOFs and FTEs) |
| \$209,756 | \$0 | \$(209,756) | \$(209,756) | Funding is not requested for 2018-2019 because amounts are not determined by institutions. |
| | | | \$(209,756) | Total of Explanation of Biennial Change |

SUMMARY TOTALS:

| | | | | | |
|---|---------------------|---------------------|---------------------|--------------------|--------------------|
| OBJECTS OF EXPENSE: | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |
| METHODS OF FINANCE (INCLUDING RIDERS): | | | | \$9,282,690 | \$9,320,602 |
| METHODS OF FINANCE (EXCLUDING RIDERS): | \$39,607,466 | \$46,474,761 | \$46,499,454 | \$9,282,690 | \$9,320,602 |
| FULL TIME EQUIVALENT POSITIONS: | 487.8 | 572.4 | 596.3 | 596.3 | 596.3 |

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
85th Regular Session, Agency Submission, Version 1

| Agency Code: 759 | | Agency: Houston-Clear Lake | | | University of | Prepared By: Jean Carr | | | | | |
|---------------------|------------------------|-------------------------------|--|---------|---|---------------------------|-------------------|-------------------|-------------------------|---------------------|---------|
| Date: | | | | | | 16-17 Base | Requested 2018 | Requested 2019 | Biennial Total 18-19 | Biennial Difference | |
| Goal | Goal Name | Strategy | Strategy Name | Program | Program Name | | | | | \$ | % |
| A | Instruction/Operations | A.1.1. | Operations Support | | Formula Funding - Instruction and Operations Support | \$66,852,706 | \$0 | \$0 | \$0 | (\$66,852,706) | -100.0% |
| A | Instruction/Operations | A.1.2. | Teaching Experience Supplement | | Formula Funding - Teaching Experience Supplement | \$1,338,006 | \$0 | \$0 | \$0 | (\$1,338,006) | -100.0% |
| A | Instruction/Operations | A.1.3. | Staff Group Insurance Premiums | | Staff Group Insurance Premiums | \$4,341,117 | \$1,877,141 | \$1,886,527 | \$3,763,668 | (\$577,449) | -13.3% |
| A | Instruction/Operations | A.1.4. | Workers' Compensation Insurance | | Workers' Compensation Insurance | \$189,536 | \$193,454 | \$193,454 | \$386,908 | \$197,372 | 104.1% |
| A | Instruction/Operations | A.1.5. | Texas Public Education Grants | | Texas Public Education Grants | \$2,745,221 | \$1,390,851 | \$1,419,378 | \$2,810,229 | \$65,008 | 2.4% |
| | | | | | | | | | \$0 | \$0 | |
| B | Infrastructure Support | B.1.1. | E & G Space Support | | Formula Funding - Educational and General Support | \$4,748,909 | \$0 | \$0 | \$0 | (\$4,748,909) | -100.0% |
| B | Infrastructure Support | B.1.2. | Small Institution Supplement | | Formula Funding - Small Institution Supplement | \$400,500 | \$0 | \$0 | \$0 | (\$400,500) | -100.0% |
| | | | | | | | | | \$0 | \$0 | |
| C | Special Item Support | C.1.1. | Downward Expansion | | Downward Expansion | \$6,500,000 | \$3,250,000 | \$3,250,000 | \$6,500,000 | \$0 | 0.0% |
| C | Special Item Support | C.2.1. | High Technologies Laboratory | | High Technologies Laboratory | \$83,728 | \$41,864 | \$41,864 | \$83,728 | \$0 | 0.0% |
| C | Special Item Support | C.2.1. | Exceptional Item - High Technologies Laboratory | | Exceptional Item - High Technologies Laboratory | \$0 | \$100,000 | \$100,000 | \$200,000 | \$200,000 | |
| C | Special Item Support | C.2.2. | Environmental Studies Partnership | | Environmental Studies Partnership | \$604,736 | \$302,368 | \$302,368 | \$604,736 | \$0 | 0.0% |
| C | Special Item Support | C.2.2. | Exceptional Item - Environmental Studies Partnership | | Exceptional Item - Environmental Studies Partnership | \$0 | \$100,000 | \$100,000 | \$200,000 | \$200,000 | |
| C | Special Item Support | C.2.3. | Center for Autism | | Center for Autism and Developmental Disabilities | \$400,000 | \$200,000 | \$200,000 | \$400,000 | \$0 | 0.0% |
| C | Special Item Support | C.2.3. | Exceptional Item - Center for Autism | | Exceptional Item - Center for Autism and Developmental Disabilities | \$0 | \$100,000 | \$100,000 | \$200,000 | \$200,000 | |
| C | Special Item Support | C.3.1. | Institutional Enhancement | | Institutional Enhancement | | | | | | |
| | | | | | Operations Support | \$4,554,966 | \$2,024,495 | \$2,024,494 | \$4,048,989 | (\$505,977) | -11.1% |
| | | | | | License Plate Scholarships | \$5,034 | \$2,517 | \$2,517 | \$5,034 | \$0 | 0.0% |
| C | Special Item Support | C | Restoration of 4% Reduction | | Restoration of 4% Reduction | \$0 | \$250,507 | \$250,508 | \$501,015 | \$501,015 | |
| D | Research Funds | D.1.1. | Comprehensive Research Fund | | Comprehensive Research Fund | \$209,756 | \$0 | \$0 | \$0 | (\$209,756) | -100.0% |

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2016
 TIME: 2:32:54PM

Agency code: 759

Agency name:
University of Houston - Clear Lake

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|--|-----------|-----------|
| | Item Name: Restoration of the 4% Reduction Item Priority: 1 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|-------------------------|------------------|------------------|
| 2009 | OTHER OPERATING EXPENSE | 250,507 | 250,508 |
| TOTAL, OBJECT OF EXPENSE | | \$250,507 | \$250,508 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 250,507 | 250,508 |
| TOTAL, METHOD OF FINANCING | | \$250,507 | \$250,508 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|------|------|
| 5.00 | 5.00 |
|------|------|

DESCRIPTION / JUSTIFICATION:

In compliance with the Policy Letter, UHCL's baseline request for 2018-2019 reflects a 4% reduction of the approved 2016-2017 biennial General Revenue Funds. The effect of this reduction in our funding for Institutional Enhancement will limit the extent we can serve our students with enhanced services for student retention. This funding is especially critical as UHCL serves an increasing number of first-time-in-college students with a large percentage from under-represented groups. These students are often the first person in their families to attend college. UHCL also serves a large number of community college transfer students who often balance school with work. Many of these students attend school part-time; but do so year round including fall, spring, and summer sessions. This reduction in funding will impact our ability to offer a summer course schedule to meet the needs of these students. UH-Clear Lake requests that the 4% reduction, which was \$501,015 for the university, be restored in the FY18/FY19 budget.

EXTERNAL/INTERNAL FACTORS:

Additional information for External/Internal Factors is available in Schedule 9, Special Item Information.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

To cover costs associated with this Special Item.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-----------|-----------|-----------|
| \$250,508 | \$250,508 | \$250,508 |

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2016
 TIME: 2:32:54PM

Agency code: 759

Agency name:
University of Houston - Clear Lake

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|---|-----------|-----------|
| | Item Name: Center for Autism and Developmental Disabilities Item Priority: 2 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-02-03 Center for Autism and Developmental Disabilities | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|--------------------|------------------|------------------|
| 1001 | SALARIES AND WAGES | 100,000 | 100,000 |
| TOTAL, OBJECT OF EXPENSE | | \$100,000 | \$100,000 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 100,000 | 100,000 |
| TOTAL, METHOD OF FINANCING | | \$100,000 | \$100,000 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|------|------|
| 3.00 | 3.00 |
|------|------|

DESCRIPTION / JUSTIFICATION:

The UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Behavior Analysis, School Psychology, and Family Therapy graduate programs. CADD's goals are to support research on autism and developmental disabilities, train current and future professionals, and provide services to children and their families through partnerships with school districts and community organizations.

EXTERNAL/INTERNAL FACTORS:

Additional information for External/Internal Factors is available in Schedule 9, Special Item Information.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

To cover costs associated with this Special Item.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-----------|-----------|-----------|
| \$300,000 | \$300,000 | \$300,000 |

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2016
 TIME: 2:32:54PM

Agency code: 759

Agency name:
University of Houston - Clear Lake

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|---|-----------|-----------|
| | Item Name: Houston Partnership for Environmental Studies Item Priority: 3 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-02-02 Houston Partnership for Environmental Studies | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|--------------------|------------------|------------------|
| 1001 | SALARIES AND WAGES | 100,000 | 100,000 |
| TOTAL, OBJECT OF EXPENSE | | \$100,000 | \$100,000 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 100,000 | 100,000 |
| TOTAL, METHOD OF FINANCING | | \$100,000 | \$100,000 |

FULL-TIME EQUIVALENT POSITIONS (FTE):

| | |
|------|------|
| 2.00 | 2.00 |
|------|------|

DESCRIPTION / JUSTIFICATION:

The mission of the Environmental Institute of Houston (EIH) is to advance understanding of the environment through interdisciplinary research, education, and outreach. EIH addresses regional issues of environmental concern and has become a leader in building partnerships in research, education and outreach. EIH conducts research on environmental issues with an emphasis on developing and implementing real-world solutions that incorporate community resiliency, waste minimization, energy conservation, and reduces the loss of natural resource services. EIH research activities are focused on urban land use, water resources, flood control, and fish and wildlife conservation, human health and resiliency. EIH provides experience and training for upcoming scientists by incorporating student researchers and promotes multi-disciplinary collaboration through partnerships with a diverse group of agencies, private companies and non-profits. EIH research and technical services provided meets the research and information needs, goals and requirements of multiple environmental regulatory programs. EIH provides technical and professional development for educators emphasizing environmental and science education. Educator workshops and credit courses are offered for area public and private schools, higher education, nature centers, parks, and other education providers. EIH provides technical knowledge through seminars and training workshops to citizens and professional audiences.

EXTERNAL/INTERNAL FACTORS:

Additional information for External/Internal Factors is available in Schedule 9, Special Item Information.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

To cover costs associated with this Special Item.

4.A. Exceptional Item Request Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2016
TIME: 2:32:54PM

Agency code: 759

Agency name:
University of Houston - Clear Lake

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|-------------|-----------|-----------|
|------|-------------|-----------|-----------|

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-----------|-----------|-----------|
| \$402,368 | \$402,368 | \$402,368 |

4.A. Exceptional Item Request Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2016
 TIME: 2:32:54PM

Agency code: 759

Agency name:
University of Houston - Clear Lake

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|---|-----------|-----------|
| | Item Name: High Technologies Laboratory Item Priority: 4 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-02-01 High Technologies Laboratory | | |

OBJECTS OF EXPENSE:

| | | | |
|---------------------------------|-------------------------|------------------|------------------|
| 2009 | OTHER OPERATING EXPENSE | 100,000 | 100,000 |
| TOTAL, OBJECT OF EXPENSE | | \$100,000 | \$100,000 |

METHOD OF FINANCING:

| | | | |
|-----------------------------------|----------------------|------------------|------------------|
| 1 | General Revenue Fund | 100,000 | 100,000 |
| TOTAL, METHOD OF FINANCING | | \$100,000 | \$100,000 |

DESCRIPTION / JUSTIFICATION:

The lab was created in FY83 as a project of the university and funded in FY84 as a special item. The mission is to integrate research and development activities in computer technology. Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly, in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats. The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region.

EXTERNAL/INTERNAL FACTORS:

Additional information for External/Internal Factors is available in Schedule 9, Special Item Information.

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

To cover costs associated with this Special Item.

4.A. Exceptional Item Request Schedule
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2016
TIME: 2:32:54PM

Agency code: 759

Agency name:
University of Houston - Clear Lake

| CODE | DESCRIPTION | Excp 2018 | Excp 2019 |
|------|-------------|-----------|-----------|
|------|-------------|-----------|-----------|

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

| 2020 | 2021 | 2022 |
|-----------|-----------|-----------|
| \$141,864 | \$141,864 | \$141,864 |

4.B. Exceptional Items Strategy Allocation Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2016**
 TIME: **2:32:54PM**

Agency code: **759** Agency name: **University of Houston - Clear Lake**

| Code | Description | Excp 2018 | Excp 2019 |
|---|-------------------------|------------------|------------------|
| Item Name: Restoration of the 4% Reduction | | | |
| Allocation to Strategy: 3-5-1 Exceptional Item Request | | | |
| OBJECTS OF EXPENSE: | | | |
| 2009 | OTHER OPERATING EXPENSE | 250,507 | 250,508 |
| TOTAL, OBJECT OF EXPENSE | | \$250,507 | \$250,508 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 250,507 | 250,508 |
| TOTAL, METHOD OF FINANCING | | \$250,507 | \$250,508 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 5.0 | 5.0 |

Agency code: 759 Agency name: University of Houston - Clear Lake

| Code | Description | Excp 2018 | Excp 2019 |
|---|----------------------|------------------|------------------|
| Item Name: Center for Autism and Developmental Disabilities | | | |
| Allocation to Strategy: 3-2-3 Center for Autism and Developmental Disabilities | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 100,000 | 100,000 |
| TOTAL, OBJECT OF EXPENSE | | \$100,000 | \$100,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 100,000 | 100,000 |
| TOTAL, METHOD OF FINANCING | | \$100,000 | \$100,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 3.0 | 3.0 |

Agency code: 759 Agency name: University of Houston - Clear Lake

| Code | Description | Excp 2018 | Excp 2019 |
|--|----------------------|------------------|------------------|
| Item Name: Houston Partnership for Environmental Studies | | | |
| Allocation to Strategy: 3-2-2 Houston Partnership for Environmental Studies | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 100,000 | 100,000 |
| TOTAL, OBJECT OF EXPENSE | | \$100,000 | \$100,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 100,000 | 100,000 |
| TOTAL, METHOD OF FINANCING | | \$100,000 | \$100,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 2.0 | 2.0 |

Agency code: 759 Agency name: University of Houston - Clear Lake

| Code | Description | Excp 2018 | Excp 2019 |
|---|-------------------------|------------------|------------------|
| Item Name: High Technologies Laboratory | | | |
| Allocation to Strategy: 3-2-1 High Technologies Laboratory | | | |
| OBJECTS OF EXPENSE: | | | |
| 2009 | OTHER OPERATING EXPENSE | 100,000 | 100,000 |
| TOTAL, OBJECT OF EXPENSE | | \$100,000 | \$100,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 100,000 | 100,000 |
| TOTAL, METHOD OF FINANCING | | \$100,000 | \$100,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 0.0 | 0.0 |

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2016
TIME: 4:27:17PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 2 Research Special Item Support
 STRATEGY: 1 High Technologies Laboratory

Service Categories:
 Service: 21 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|----------------------------------|------------------|------------------|
| OBJECTS OF EXPENSE: | | |
| 2009 OTHER OPERATING EXPENSE | 100,000 | 100,000 |
| Total, Objects of Expense | \$100,000 | \$100,000 |
| METHOD OF FINANCING: | | |
| 1 General Revenue Fund | 100,000 | 100,000 |
| Total, Method of Finance | \$100,000 | \$100,000 |

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

High Technologies Laboratory

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2016
TIME: 4:27:17PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Special Item Support

OBJECTIVE: 2 Research Special Item Support

STRATEGY: 2 Houston Partnership for Environmental Studies

Service Categories:

Service: 21 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|------------------|------------------|
| 1001 SALARIES AND WAGES | 100,000 | 100,000 |
| Total, Objects of Expense | \$100,000 | \$100,000 |

METHOD OF FINANCING:

| | | |
|---------------------------------|------------------|------------------|
| 1 General Revenue Fund | 100,000 | 100,000 |
| Total, Method of Finance | \$100,000 | \$100,000 |

FULL-TIME EQUIVALENT POSITIONS (FTE): 2.0 2.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Houston Partnership for Environmental Studies

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2016
TIME: 4:27:17PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Special Item Support

OBJECTIVE: 2 Research Special Item Support

STRATEGY: 3 Center for Autism and Developmental Disabilities

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|------------------|------------------|
| 1001 SALARIES AND WAGES | 100,000 | 100,000 |
| Total, Objects of Expense | \$100,000 | \$100,000 |

METHOD OF FINANCING:

| | | |
|---------------------------------|------------------|------------------|
| 1 General Revenue Fund | 100,000 | 100,000 |
| Total, Method of Finance | \$100,000 | \$100,000 |

FULL-TIME EQUIVALENT POSITIONS (FTE): 3.0 3.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Center for Autism and Developmental Disabilities

4.C. Exceptional Items Strategy Request
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2016
TIME: 4:27:17PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Special Item Support

OBJECTIVE: 5 Exceptional Item Request

STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2018 | Exp 2019 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|------------------|------------------|
| 2009 OTHER OPERATING EXPENSE | 250,507 | 250,508 |
| Total, Objects of Expense | \$250,507 | \$250,508 |

METHOD OF FINANCING:

| | | |
|---------------------------------|------------------|------------------|
| 1 General Revenue Fund | 250,507 | 250,508 |
| Total, Method of Finance | \$250,507 | \$250,508 |

FULL-TIME EQUIVALENT POSITIONS (FTE): 5.0 5.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of the 4% Reduction

6.A. Historically Underutilized Business Supporting Schedule
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/17/2016**
 Time: **4:27:17PM**

Agency Code: **759** Agency: **University of Houston - Clear Lake**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2014 - 2015 HUB Expenditure Information

| Statewide HUB Goals | Procurement Category | % Goal | HUB Expenditures FY 2014 | | | Total Expenditures FY 2014 | | HUB Expenditures FY 2015 | | | Total Expenditures FY 2015 | |
|------------------------|---------------------------|--------|--------------------------|------|--------------------|----------------------------------|----------|--------------------------|-----------|--------------------|----------------------------------|------|
| | | | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff |
| 11.2% | Heavy Construction | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | |
| 21.1% | Building Construction | 9.3 % | 9.3% | 0.0% | \$15,957 | \$171,215 | 0.0 % | 0.0% | 0.0% | \$0 | \$165,370 | |
| 32.9% | Special Trade | 39.7 % | 39.7% | 0.0% | \$1,103,120 | \$2,777,594 | 0.6 % | 1.7% | 1.1% | \$16,877 | \$1,016,613 | |
| 23.7% | Professional Services | 25.4 % | 25.4% | 0.0% | \$19,007 | \$74,773 | 0.3 % | 0.4% | 0.1% | \$208 | \$55,570 | |
| 26.0% | Other Services | 13.8 % | 13.8% | 0.0% | \$503,654 | \$3,644,842 | 7.9 % | 12.6% | 4.7% | \$506,685 | \$4,012,712 | |
| 21.1% | Commodities | 54.5 % | 54.5% | 0.0% | \$3,813,927 | \$6,996,580 | 49.3 % | 49.3% | 0.0% | \$2,836,804 | \$5,758,832 | |
| | Total Expenditures | | 39.9% | | \$5,455,665 | \$13,665,004 | | 30.5% | | \$3,360,574 | \$11,009,097 | |

B. Assessment of Fiscal Year 2014 - 2015 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded three (3) of the five (5) goals, or 39.9% of the applicable statewide HUB procurement goals in fiscal year 2014. The agency attained or exceeded one (1) of the five (5) goals or 42% of the applicable statewide HUB procurement goals in fiscal year 2015.

Applicability:

The "Heavy Construction" category does not apply to agency operations in fiscal year 2014 or 2015. The University of Houston System does not do any heavy construction.

Factors Affecting Attainment:

Three (3) of the five (5) goals were met in 2014 due to special trade construction, professional services and commodities. The agency had a lot of HUB participation in the special trade category. In 2015 the agency met only one (1) of the five goals. It was due to little special trade construction and hardly any professional services were used this year.

"Good-Faith" Efforts:

Agency sponsors annual HUB Fair to promote HUBS to University departments & the community. Included in University Procurement training is information regarding how to find HUBS, contact them for a quote & use when possible. Exhibit at HUB procurement expo sponsored by the Houston Minority Supplier Development Council (HMSDC). Attend Procurement Connection Seminar & Expo sponsored by Statewide Historically Underutilized Business (HUB) Program. Attend HUB discussion workgroup meetings & Texas Universities HUB Coordinator Association (TUHCA) meetings to keep up with the rules & regulations regarding the HUB program. Have one on one sessions with potential HUB vendors & help get them certified through the state. The University was ranked second for agencies spending more than \$5 million, with largest percentages spent with HUBs 39.9% in FY2014 & was ranked twelfth in FY2015 spending 30.5% with HUBs.

University of Houston-Clear Lake (759)

6.H. Estimated Total of Agency Funds Inside and Outside the Institution's Bill Pattern

| | 2016 - 2017 Biennium | | | | 2018 - 2019 Biennium | | | |
|---|----------------------------|----------------------------|---------------------------|-----------------------------|----------------------------|----------------------------|---------------------------|-----------------------------|
| | <u>FY 2016 Revenue</u> | <u>FY 2017 Revenue</u> | <u>Biennium Total</u> | <u>Percent of Total</u> | <u>FY 2018 Revenue</u> | <u>FY 2019 Revenue</u> | <u>Biennium Total</u> | <u>Percent of Total</u> |
| APPROPRIATED SOURCES INSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (excluding HEGI and State Paid Benefits) | \$ 29,037,109 | \$ 28,977,616 | \$ 58,014,725 | | \$ 28,977,616 | \$ 28,977,616 | \$ 57,955,232 | |
| Tuition and Fees (net of Discounts and Allowances) | 18,847,693 | 19,397,887 | 38,245,580 | | 19,397,887 | 19,397,887 | 38,795,774 | |
| Endowment and Interest Income | 22,625 | 30,447 | 53,072 | | 30,447 | 30,447 | 60,894 | |
| Sales and Services of Educational Activities (net) | 23,000 | 18,600 | 41,600 | | 18,600 | 18,600 | 37,200 | |
| Sales and Services of Hospitals (net) | - | - | - | | - | - | - | |
| Other Income | - | - | - | | - | - | - | |
| Total | <u>47,930,427</u> | <u>48,424,550</u> | <u>96,354,977</u> | <u>35.6%</u> | <u>48,424,550</u> | <u>48,424,550</u> | <u>96,849,100</u> | <u>34.9%</u> |
| APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (including HEGI and State Paid Benefits) | 7,714,629 | 7,978,101 | 15,692,730 | | 7,978,101 | 7,978,101 | 15,956,202 | |
| Higher Education Assistance Funds | 5,336,744 | 8,005,116 | 13,341,860 | | 8,005,116 | 8,005,116 | 16,010,232 | |
| Available University Fund | - | - | - | | - | - | - | |
| State Grants and Contracts | - | - | - | | - | - | - | |
| Total | <u>13,051,373</u> | <u>15,983,217</u> | <u>29,034,590</u> | <u>10.7%</u> | <u>15,983,217</u> | <u>15,983,217</u> | <u>31,966,434</u> | <u>11.5%</u> |
| NON-APPROPRIATED SOURCES | | | | | | | | |
| Tuition and Fees (net of Discounts and Allowances) | 52,238,313 | 55,136,540 | 107,374,853 | | 55,136,540 | 55,136,540 | 110,273,080 | |
| Federal Grants and Contracts | 14,950,350 | 15,211,553 | 30,161,903 | | 15,211,553 | 15,211,553 | 30,423,106 | |
| Local Government Grants and Contracts | - | - | - | | - | - | - | |
| Private Gifts and Grants | 220,368 | 228,349 | 448,717 | | 228,349 | 228,349 | 456,698 | |
| Endowment and Interest Income | 993,985 | 1,034,177 | 2,028,162 | | 1,034,177 | 1,034,177 | 2,068,354 | |
| Sales and Services of Educational Activities (net) | 949,835 | 969,205 | 1,919,040 | | 969,205 | 969,205 | 1,938,410 | |
| Sales and Services of Hospitals (net) | - | - | - | | - | - | - | |
| Professional Fees (net) | - | - | - | | - | - | - | |
| Auxiliary Enterprises (net) | 1,458,489 | 1,505,819 | 2,964,308 | | 1,505,819 | 1,505,819 | 3,011,638 | |
| Other Income | 230,264 | 219,850 | 450,114 | | 219,850 | 219,850 | 439,700 | |
| Total | <u>71,041,604</u> | <u>74,305,493</u> | <u>145,347,097</u> | <u>53.7%</u> | <u>74,305,493</u> | <u>74,305,493</u> | <u>148,610,986</u> | <u>53.6%</u> |
| TOTAL SOURCES | <u>\$ 132,023,404</u> | <u>\$ 138,713,260</u> | <u>\$ 270,736,664</u> | <u>100.0%</u> | <u>\$ 138,713,260</u> | <u>\$ 138,713,260</u> | <u>\$ 277,426,520</u> | <u>100.0%</u> |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2016

Time: 4:27:18PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |

1 High Technologies Laboratories

Category: Programs - Service Reductions (Contracted)

Item Comment: Reduction to operating expenses that are used for supporting new research activities. A reduction could impede the continued development of the joint research among NASA, UHCL and area aerospace contractors.

Strategy: 3-2-1 High Technologies Laboratory

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|----------------|----------------|----------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$4,019 | \$4,019 | \$8,038 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$4,019 | \$4,019 | \$8,038 |
| Item Total | \$0 | \$0 | \$0 | \$4,019 | \$4,019 | \$8,038 |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

2 Workers Compensation Insurance

Category: Administrative - Operating Expenses

Item Comment: Reductions in coverage in the university's comprehensive insurance program.

Strategy: 1-1-4 Workers' Compensation Insurance

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$18,572 | \$18,571 | \$37,143 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$18,572 | \$18,571 | \$37,143 |
| Item Total | \$0 | \$0 | \$0 | \$18,572 | \$18,571 | \$37,143 |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

3 Houston Partnership for Environmental Studies

Category: Programs - Service Reductions (Other)

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2016

Time: 4:27:18PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |

Item Comment: The ability to compete for external funding would be compromised. Teachers and K-12 students would not be provided essential STEM environment education skills and training.

Strategy: 3-2-2 Houston Partnership for Environmental Studies

General Revenue Funds

| | | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$29,028 | \$29,027 | \$58,055 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$29,028 | \$29,027 | \$58,055 | |
| Item Total | \$0 | \$0 | \$0 | \$29,028 | \$29,027 | \$58,055 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

4 Center for Autism

Category: Programs - Service Reductions (Other)

Item Comment: Reduction of assessment, treatment, intervention and behavioral consultation services.

Strategy: 3-2-3 Center for Autism and Developmental Disabilities

General Revenue Funds

| | | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|-----------------|--|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$19,200 | \$19,200 | \$38,400 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$19,200 | \$19,200 | \$38,400 | |
| Item Total | \$0 | \$0 | \$0 | \$19,200 | \$19,200 | \$38,400 | |

FTE Reductions (From FY 2018 and FY 2019 Base Request)

5 Institutional Enhancement

Category: Programs - Service Reductions (Other)

Item Comment: This funding has been critical to maintain core operations. Loss of funding would have a negative impact on academic programs, delivery of instruction, and student access and success. Loss of funding would also impact UHCL's continuing efforts to increase retention and graduation rates for those who are first-time-in-college students, as well as transfer students from area community colleges.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2016

Time: 4:27:18PM

Agency code: 759 Agency name: University of Houston - Clear Lake

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------------|----------------|------------------|------------------|--------------------|--------------------|
| | 2018 | 2019 | Biennial Total | 2018 | 2019 | Biennial Total | |
| Strategy: 3-4-1 Institutional Enhancement | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$343,400 | \$343,400 | \$686,800 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$343,400 | \$343,400 | \$686,800 | |
| Item Total | \$0 | \$0 | \$0 | \$343,400 | \$343,400 | \$686,800 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | | | |
| 6 Downward Expansion | | | | | | | |
| Category: Programs - Service Reductions (Other) | | | | | | | |
| Item Comment: UHCL only recently, in Fall 2014, transitioned from an upper-level to a 4-year university via downward expansion. Any reduction would hinder student access and success. | | | | | | | |
| Strategy: 3-1-1 Downward Expansion | | | | | | | |
| <u>General Revenue Funds</u> | | | | | | | |
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$187,000 | \$187,000 | \$374,000 | |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$187,000 | \$187,000 | \$374,000 | |
| Item Total | \$0 | \$0 | \$0 | \$187,000 | \$187,000 | \$374,000 | |
| FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | | | |
| AGENCY TOTALS | | | | | | | |
| General Revenue Total | | | | \$601,219 | \$601,217 | \$1,202,436 | \$1,202,436 |
| Agency Grand Total | \$0 | \$0 | \$0 | \$601,219 | \$601,217 | \$1,202,436 | \$1,202,436 |
| Difference, Options Total Less Target | | | | | | | |
| Agency FTE Reductions (From FY 2018 and FY 2019 Base Request) | | | | | | | |

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| 759 University of Houston - Clear Lake | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| Gross Tuition | | | | | |
| Gross Resident Tuition | 9,414,501 | 9,666,157 | 9,510,050 | 9,702,184 | 9,900,081 |
| Gross Non-Resident Tuition | 13,453,957 | 14,639,611 | 12,973,194 | 12,973,194 | 12,973,194 |
| Gross Tuition | 22,868,458 | 24,305,768 | 22,483,244 | 22,675,378 | 22,873,275 |
| Less: Resident Waivers and Exemptions (excludes Hazlewood) | (61,618) | (38,813) | (38,876) | (46,369) | (54,087) |
| Less: Non-Resident Waivers and Exemptions | (3,775,996) | (4,526,490) | (4,026,887) | (4,026,887) | (4,026,887) |
| Less: Hazlewood Exemptions | (274,609) | (324,254) | (325,000) | (325,000) | (325,000) |
| Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008) | (2,015,176) | (1,764,321) | (1,545,683) | (1,545,683) | (1,545,683) |
| Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012) | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595) | (553,807) | (575,142) | (470,000) | (470,000) | (470,000) |
| Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065) | 0 | 0 | 0 | 0 | 0 |
| Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013) | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014) | (106,633) | (94,172) | (100,000) | (100,000) | (100,000) |
| Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307) | 0 | 0 | 0 | 0 | 0 |
| Subtotal | 16,080,619 | 16,982,576 | 15,976,798 | 16,161,439 | 16,351,618 |
| Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d) | (1,275,934) | (1,382,066) | (1,363,155) | (1,390,851) | (1,419,378) |
| Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical Schools) | 0 | 0 | 0 | 0 | 0 |
| Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095) | 0 | 0 | 0 | 0 | 0 |
| Less: Other Authorized Deduction | | | | | |
| Net Tuition | 14,804,685 | 15,600,510 | 14,613,643 | 14,770,588 | 14,932,240 |

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| 759 University of Houston - Clear Lake | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| Student Teaching Fees | 0 | 0 | 0 | 0 | 0 |
| Special Course Fees | 0 | 0 | 0 | 0 | 0 |
| Laboratory Fees | 0 | 0 | 0 | 0 | 0 |
| Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions) | 14,804,685 | 15,600,510 | 14,613,643 | 14,770,588 | 14,932,240 |
| OTHER INCOME | | | | | |
| Interest on General Funds: | | | | | |
| Local Funds in State Treasury | 32,786 | 57,592 | 30,447 | 30,447 | 30,447 |
| Funds in Local Depositories, e.g., local amounts | 0 | 0 | 0 | 0 | 0 |
| Other Income (Itemize) | | | | | |
| Miscellaneous Revenue | 40,107 | 45 | 0 | 0 | 0 |
| Subtotal, Other Income | 72,893 | 57,637 | 30,447 | 30,447 | 30,447 |
| Subtotal, Other Educational and General Income | 14,877,578 | 15,658,147 | 14,644,090 | 14,801,035 | 14,962,687 |
| Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls | (869,874) | (928,658) | (937,750) | (951,816) | (966,093) |
| Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds | (775,521) | (867,233) | (901,922) | (915,451) | (929,183) |
| Less: Staff Group Insurance Premiums | (1,750,979) | (2,473,315) | (1,867,802) | (1,877,141) | (1,886,527) |
| Total, Other Educational and General Income (Formula Amounts for General Academic Institutions) | 11,481,204 | 11,388,941 | 10,936,616 | 11,056,627 | 11,180,884 |
| Reconciliation to Summary of Request for FY 2015-2017: | | | | | |
| Plus: Transfer of Funds for Texas Public Education Grants Program and Physician Loans | 1,275,934 | 1,382,066 | 1,363,155 | 1,390,851 | 1,419,378 |
| Plus: Transfer of Funds 2% for Physician/Dental Loans (Medical Schools) | 0 | 0 | 0 | 0 | 0 |
| Plus: Transfer of Funds for Cancellation of Student Loans of Physicians | 0 | 0 | 0 | 0 | 0 |
| Plus: Organized Activities | 0 | 0 | 0 | 0 | 0 |
| Plus: Staff Group Insurance Premiums | 1,750,979 | 2,473,315 | 1,867,802 | 1,877,141 | 1,886,527 |
| Plus: Board-authorized Tuition Income | 2,015,176 | 1,764,321 | 1,545,683 | 1,545,683 | 1,545,683 |
| Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100 | 0 | 0 | 0 | 0 | 0 |

Schedule 1A: Other Educational and General Income

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 Automated Budget and Evaluation System of Texas (ABEST)

| 759 University of Houston - Clear Lake | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
| Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595) | 553,807 | 575,142 | 470,000 | 470,000 | 470,000 |
| Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065) | 0 | 0 | 0 | 0 | 0 |
| Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014) | 106,633 | 94,172 | 100,000 | 100,000 | 100,000 |
| Less: Tuition Waived for Students 55 Years or Older | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition Waived for Texas Grant Recipients | 0 | 0 | 0 | 0 | 0 |
| Total, Other Educational and General Income Reported on Summary of Request | 17,183,733 | 17,677,957 | 16,283,256 | 16,440,302 | 16,602,472 |

Schedule 2: Selected Educational, General and Other Funds

10/17/2016 4:27:24PM

85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

| | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|
| General Revenue Transfers | | | | | |
| Transfer from Coordinating Board for Advanced Research Program | 0 | 0 | 0 | 0 | 0 |
| Transfer from Coordinating Board for Texas College Work Study Program (2015, 2016, 2017) | 58,343 | 39,348 | 0 | 0 | 0 |
| Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program | 0 | 0 | 0 | 0 | 0 |
| Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only) | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to Other Institutions | 0 | 0 | 0 | 0 | 0 |
| Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2015, 2016, 2017) | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| Other: Fifth Year Accounting Scholarship | 0 | 0 | 0 | 0 | 0 |
| Texas Grants | 1,595,216 | 1,228,558 | 1,200,000 | 0 | 0 |
| B-on-Time Program | 110,381 | 0 | 0 | 0 | 0 |
| Less: Transfer to System Administration | 0 | 0 | 0 | 0 | 0 |
| Subtotal, General Revenue Transfers | 1,763,940 | 1,267,906 | 1,200,000 | 0 | 0 |
| General Revenue HEF for Operating Expenses | 5,214,167 | 5,336,744 | 8,005,116 | 8,005,116 | 8,005,116 |
| Transfer from Available University Funds (UT, A&M and Prairie View A&M Only) | 0 | 0 | 0 | 0 | 0 |
| Other Additions (Itemize) | | | | | |
| Increase Capital Projects - Educational and General Funds | 0 | 0 | 0 | 0 | 0 |
| Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2015, 2016, 2017) | 0 | 0 | 0 | 0 | 0 |
| Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize) | 0 | 0 | 0 | 0 | 0 |
| Transfer from Coordinating Board for Incentive Funding | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| Gross Designated Tuition (Sec. 54.0513) | 32,649,259 | 36,741,493 | 36,110,458 | 38,615,646 | 39,244,177 |
| Indirect Cost Recovery (Sec. 145.001(d)) | 246,515 | 210,264 | 214,850 | 214,850 | 214,850 |
| Correctional Managed Care Contracts | 0 | 0 | 0 | 0 | 0 |

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 85th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/17/2016 4:27:25PM

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| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|----------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| GR & GR-D Percentages | | | | | |
| GR % | | 67.07% | | | |
| GR-D/Other % | | 32.93% | | | |
| Total Percentage | | 100.00% | | | |
| FULL TIME ACTIVES | | | | | |
| 1a Employee Only | 310 | 208 | 102 | 310 | 223 |
| 2a Employee and Children | 70 | 47 | 23 | 70 | 47 |
| 3a Employee and Spouse | 61 | 41 | 20 | 61 | 21 |
| 4a Employee and Family | 78 | 52 | 26 | 78 | 28 |
| 5a Eligible, Opt Out | 6 | 4 | 2 | 6 | 4 |
| 6a Eligible, Not Enrolled | 17 | 11 | 6 | 17 | 17 |
| Total for This Section | 542 | 363 | 179 | 542 | 340 |
| PART TIME ACTIVES | | | | | |
| 1b Employee Only | 1 | 1 | 0 | 1 | 6 |
| 2b Employee and Children | 2 | 1 | 1 | 2 | 1 |
| 3b Employee and Spouse | 4 | 3 | 1 | 4 | 1 |
| 4b Employee and Family | 3 | 2 | 1 | 3 | 1 |
| 5b Eligible, Opt Out | 0 | 0 | 0 | 0 | 1 |
| 6b Eligible, Not Enrolled | 1 | 1 | 0 | 1 | 5 |
| Total for This Section | 11 | 8 | 3 | 11 | 15 |
| Total Active Enrollment | 553 | 371 | 182 | 553 | 355 |

759 University of Houston - Clear Lake

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-----------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| FULL TIME RETIREES by ERS | | | | | |
| 1c Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2c Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3c Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4c Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5c Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6c Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| PART TIME RETIREES by ERS | | | | | |
| 1d Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2d Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3d Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4d Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5d Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6d Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| Total Retirees Enrollment | 0 | 0 | 0 | 0 | 0 |
| TOTAL FULL TIME ENROLLMENT | | | | | |
| 1e Employee Only | 310 | 208 | 102 | 310 | 223 |
| 2e Employee and Children | 70 | 47 | 23 | 70 | 47 |
| 3e Employee and Spouse | 61 | 41 | 20 | 61 | 21 |
| 4e Employee and Family | 78 | 52 | 26 | 78 | 28 |
| 5e Eligible, Opt Out | 6 | 4 | 2 | 6 | 4 |
| 6e Eligible, Not Enrolled | 17 | 11 | 6 | 17 | 17 |
| Total for This Section | 542 | 363 | 179 | 542 | 340 |

759 University of Houston - Clear Lake

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| TOTAL ENROLLMENT | | | | | |
| 1f Employee Only | 311 | 209 | 102 | 311 | 229 |
| 2f Employee and Children | 72 | 48 | 24 | 72 | 48 |
| 3f Employee and Spouse | 65 | 44 | 21 | 65 | 22 |
| 4f Employee and Family | 81 | 54 | 27 | 81 | 29 |
| 5f Eligible, Opt Out | 6 | 4 | 2 | 6 | 5 |
| 6f Eligible, Not Enrolled | 18 | 12 | 6 | 18 | 22 |
| Total for This Section | 553 | 371 | 182 | 553 | 355 |

Schedule 4: Computation of OASI
85th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency 759 University of Houston - Clear Lake

| Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2 | 2015 | | 2016 | | 2017 | | 2018 | | 2019 | |
|---|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|
| | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> |
| General Revenue (% to Total) | 65.2773 | \$1,635,330 | 67.0700 | \$1,891,440 | 67.0700 | \$1,909,957 | 67.0700 | \$1,938,607 | 67.0700 | \$1,967,686 |
| Other Educational and General Funds (% to Total) | 34.7227 | \$869,874 | 32.9300 | \$928,658 | 32.9300 | \$937,750 | 32.9300 | \$951,816 | 32.9300 | \$966,093 |
| Health-Related Institutions Patient Income (% to Total) | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 |
| Grand Total, OASI (100%) | 100.0000 | \$2,505,204 | 100.0000 | \$2,820,098 | 100.0000 | \$2,847,707 | 100.0000 | \$2,890,423 | 100.0000 | \$2,933,779 |

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

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759 University of Houston - Clear Lake

| Description | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|--|------------|------------|------------|------------|------------|
| Proportionality Amounts | | | | | |
| Gross Educational and General Payroll - Subject To TRS Retirement | 14,635,108 | 17,408,544 | 18,104,886 | 18,376,459 | 18,652,106 |
| Employer Contribution to TRS Retirement Programs | 995,015 | 1,183,781 | 1,231,132 | 1,249,599 | 1,268,343 |
| Gross Educational and General Payroll - Subject To ORP Retirement | 20,640,929 | 21,966,409 | 22,845,065 | 23,187,741 | 23,535,558 |
| Employer Contribution to ORP Retirement Programs | 1,238,456 | 1,449,783 | 1,507,774 | 1,530,391 | 1,553,347 |
| Proportionality Percentage | | | | | |
| General Revenue | 65.2773 % | 67.0700 % | 67.0700 % | 67.0700 % | 67.0700 % |
| Other Educational and General Income | 34.7227 % | 32.9300 % | 32.9300 % | 32.9300 % | 32.9300 % |
| Health-related Institutions Patient Income | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % |
| Proportional Contribution | | | | | |
| Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs) | 775,521 | 867,233 | 901,922 | 915,451 | 929,183 |
| HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs) | 0 | 0 | 0 | 0 | 0 |
| Differential | | | | | |
| Differential Percentage | 1.9000 % | 1.9000 % | 1.9000 % | 1.9000 % | 1.9000 % |
| Gross Payroll Subject to Differential - Optional Retirement Program | 7,072,423 | 7,426,044 | 7,723,086 | 7,838,932 | 7,956,516 |
| Total Differential | 134,376 | 141,095 | 146,739 | 148,940 | 151,174 |

Schedule 6: Constitutional Capital Funding
 85th Regular Session, Agency Submission, Version 1
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10/17/2016 4:27:26PM

759 University of Houston - Clear Lake

| Activity | Act 2015 | Act 2016 | Bud 2017 | Est 2018 | Est 2019 |
|---------------------------------------|-----------------|-----------------|-----------------|-----------------|-----------------|
| A. PUF Bond Proceeds Allocation | 0 | 0 | 0 | 0 | 0 |
| Project Allocation | | | | | |
| Library Acquisitions | 0 | 0 | 0 | 0 | 0 |
| Construction, Repairs and Renovations | 0 | 0 | 0 | 0 | 0 |
| Furnishings & Equipment | 0 | 0 | 0 | 0 | 0 |
| Computer Equipment & Infrastructure | 0 | 0 | 0 | 0 | 0 |
| Reserve for Future Consideration | 0 | 0 | 0 | 0 | 0 |
| Other (Itemize) | | | | | |
| B. HEF General Revenue Allocation | 4,382,508 | 6,199,925 | 8,005,116 | 8,005,116 | 8,005,116 |
| Project Allocation | | | | | |
| Library Acquisitions | 1,332,935 | 1,319,138 | 1,425,283 | 1,425,283 | 1,425,283 |
| Construction, Repairs and Renovations | 597,033 | 2,336,090 | 2,252,588 | 2,252,588 | 2,252,588 |
| Furnishings & Equipment | 93,629 | 107,704 | 190,000 | 190,000 | 190,000 |
| Computer Equipment & Infrastructure | 2,358,911 | 2,436,993 | 2,802,207 | 2,802,207 | 2,802,207 |
| Reserve for Future Consideration | 0 | 0 | 0 | 0 | 0 |
| HEF for Debt Service | 0 | 0 | 1,335,038 | 1,335,038 | 1,335,038 |
| Other (Itemize) | | | | | |

Schedule 7: Personnel
 85th Regular Session, Agency Submission, Version 1
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Date: 10/17/2016
 Time: 4:27:27PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

| | Actual 2015 | Actual 2016 | Budgeted 2017 | Estimated 2018 | Estimated 2019 |
|---|----------------|----------------|------------------|-------------------|-------------------|
| Part A. | | | | | |
| FTE Postions | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 209.1 | 246.3 | 262.5 | 262.5 | 262.5 |
| Educational and General Funds Non-Faculty Employees | 278.7 | 326.1 | 333.8 | 333.8 | 333.8 |
| Subtotal, Directly Appropriated Funds | 487.8 | 572.4 | 596.3 | 596.3 | 596.3 |
| Non Appropriated Funds Employees | 532.4 | 621.2 | 642.1 | 642.1 | 642.1 |
| Subtotal, Other Funds & Non-Appropriated | 532.4 | 621.2 | 642.1 | 642.1 | 642.1 |
| GRAND TOTAL | 1,020.2 | 1,193.6 | 1,238.4 | 1,238.4 | 1,238.4 |
| Part B. | | | | | |
| Personnel Headcount | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 261.0 | 307.0 | 327.0 | 327.0 | 327.0 |
| Educational and General Funds Non-Faculty Employees | 310.0 | 363.0 | 371.0 | 371.0 | 371.0 |
| Subtotal, Directly Appropriated Funds | 571.0 | 670.0 | 698.0 | 698.0 | 698.0 |
| Non Appropriated Funds Employees | 924.0 | 1,078.0 | 1,115.0 | 1,115.0 | 1,115.0 |
| Subtotal, Non-Appropriated | 924.0 | 1,078.0 | 1,115.0 | 1,115.0 | 1,115.0 |
| GRAND TOTAL | 1,495.0 | 1,748.0 | 1,813.0 | 1,813.0 | 1,813.0 |

Schedule 7: Personnel
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Date: 10/17/2016
 Time: 4:27:27PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

| | Actual 2015 | Actual 2016 | Budgeted 2017 | Estimated 2018 | Estimated 2019 |
|---|-----------------------|-----------------------|-------------------------|--------------------------|--------------------------|
| PART C. | | | | | |
| Salaries | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | \$20,815,582 | \$24,188,537 | \$24,437,859 | \$25,480,342 | \$25,862,548 |
| Educational and General Funds Non-Faculty Employees | \$13,680,833 | \$16,199,432 | \$17,606,633 | \$17,870,732 | \$18,138,793 |
| Subtotal, Directly Appropriated Funds | \$34,496,415 | \$40,387,969 | \$42,044,492 | \$43,351,074 | \$44,001,341 |
| Non Appropriated Funds Employees | \$23,025,805 | \$24,014,741 | \$26,437,564 | \$26,834,127 | \$27,236,639 |
| Subtotal, Non-Appropriated | \$23,025,805 | \$24,014,741 | \$26,437,564 | \$26,834,127 | \$27,236,639 |
| GRAND TOTAL | \$57,522,220 | \$64,402,710 | \$68,482,056 | \$70,185,201 | \$71,237,980 |

Schedule 8B: Tuition Revenue Bond Issuance History

10/17/2016 4:27:27PM

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759 University of Houston - Clear Lake

| Authorization Date | Authorization Amount | Issuance Date | Issuance Amount | Authorized Amount Outstanding as of 08/31/2016 | Proposed Issuance Date for Outstanding Authorization | Proposed Issuance Amount for Outstanding Authorization |
|--------------------|----------------------|-----------------|-----------------|--|--|--|
| 2001 | \$30,918,750 | Oct 9 2002 | \$30,918,000 | | | |
| | | <i>Subtotal</i> | \$30,918,000 | \$750 | | |
| 2006 | \$10,604,808 | Feb 4 2009 | \$10,604,808 | | | |
| | | <i>Subtotal</i> | \$10,604,808 | \$0 | | |
| 2016 | \$78,624,000 | Feb 8 2016 | \$54,000,000 | | | |
| | | Jan 31 2017 | \$24,624,000 | | | |
| | | <i>Subtotal</i> | \$78,624,000 | \$0 | | |

759 University of Houston - Clear Lake

Special Item: 1 **Institutional Enhancement**

(1) Year Special Item: 2000
Original Appropriations: \$1,009,369

(2) Mission of Special Item:

This item was first created by the 76th Legislature and has been adjusted numerous times over the years. Institutional Enhancement funds have become operating dollars for state universities, comparable in terms of use to what is received through the Operations Support formula and support academic programs and instruction.

(3) (a) Major Accomplishments to Date:

The funding of this special item has allowed UHCL to establish new academic program initiatives and faculty to support the programs.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continued funding would provide the ability to maintain and enhance the initiatives established in FY2000.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

N/A

(9) Consequences of Not Funding:

This funding has been critical to maintain core operations. Loss of funding would have a negative impact on academic programs, delivery of instruction, and student access and success. Loss of funding would also impact UHCL's continuing efforts to increase retention and graduation rates for those who are first-time-in-college students as well as transfer students from area community colleges.

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Special Item: 2 **Operations Support-Downward Expansion**

(1) Year Special Item: 2016
Original Appropriations: \$3,250,000

(2) Mission of Special Item:

During the 82nd Legislature (2011), UHCL received authority to offer lower division courses to freshman and sophomore students. This authority for downward expansion was a key component to expanding access to higher education and enhancing student success, which are key goals in the state's higher education plan Closing the Gaps by 2015. UHCL joined our UH System sister institutions, starting in fall 2014, by providing an additional entry point for access to higher education. As a system of institutions we will be better able to meet the needs of our students in the greater Houston region and recruit students from the local high schools and offer scholarship programs to attract high caliber students.

Universities throughout the state that have expanded to 4 year found it necessary to seek additional state operating funds to provide this new access point to students within their regions. Upper level institutions do not have the structure in place to provide the support for this new endeavor which starts with a small number of students.

(3) (a) Major Accomplishments to Date:

UHCL has admitted freshmen and sophomores since Fall 2014. UHCL has hired additional full-time and part-time faculty (47.5 FTE) to teach core courses and the First Year Experience course and additional staff (14 FTE) to provide support. Key support areas have been established to enhance student success. These include Student Success Center, Math Center, Placement Testing Center, Academic Advising Office, and a Veteran's Service Office.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

UHCL will continue to work closely with both area school districts and community colleges to enhance the K-16 pipeline to increase the college-going and college graduation rates in our region. Special attention will be focused on first-time in college students as well as under-represented groups. UHCL will work collaboratively with other institutions in the University of Houston System to enhance student access and success.

(4) Funding Source Prior to Receiving Special Item Funding:

University and departmental reserves, gift income, and student paid tuition and fees starting in FY15.

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

Y

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(8) Non-general Revenue Sources of Funding:

University funds.

(9) Consequences of Not Funding:

The University must plan for steady growth in First Time in College (FTIC) enrollment and lower division transfers. Once support areas have been established, continued growth is contingent on resources needed to hire faculty to provide course offerings to meet the needs of the growing student body. Without additional resources, expanding access to higher education and enhancing student success will not be possible.

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Special Item: 3 **Center for Autism and Developmental Disabilities**

(1) Year Special Item: 2016
Original Appropriations: \$200,000

(2) Mission of Special Item:

The UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Behavior Analysis, School Psychology, and Family Therapy graduate programs. CADD's goals are to support research on autism and developmental disabilities, train current and future professionals, and provide services to children and their families through partnerships with school districts and community organizations.

(3) (a) Major Accomplishments to Date:

The Center for Autism and Developmental Disabilities (CADD) at the University of Houston-Clear Lake (UHCL) was established in March 2008. CADD currently provides a variety of services including (1) individualized intervention services to children between the ages of 3 and 15 who are diagnosed with autism spectrum disorder, (2) assessment and treatment services to individuals of all ages who engage in severe problem behavior, (3) individualized services, in both Spanish and English, to individuals of all ages and diagnoses who have language or social skills deficits, (4) vocational assessments and short-term intervention services for individuals diagnosed with autism spectrum disorder ages 16 years and older who have difficulty obtaining or maintaining employment, (5) behavioral consultation services to children and teachers in area school districts and (6) family needs assessment and therapy services for parents and family members of children with autism spectrum disorder. For many of these services, CADD has significant waiting lists for families seeking assistance. FY 16 funding enabled us to expand our services, particularly for individuals aged 16 years and up. Nonetheless, at this, more than 200 families are waiting for intervention services from our center.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

CADD will continue to expand its services to families and professionals with the assistance of grants, contracts, and state funds. In particular, we would like to expand our reach to underserved areas. In the 2015 session of the Texas Legislature, UHCL received \$24.6 million dollars for the construction of a Health Sciences and Classroom Building at the UHCL Pearland Campus which is the result of a public-public partnership between UHCL and the City of Pearland, Texas. The overall objective for this new Health Sciences and Classroom Building is to expand the number of health-related degree programs and services to the community. One option under consideration is to create a UHCL Pearland Campus Satellite Clinic for CADD to serve children and families in Pearland and the surrounding area. In addition, the operation of the clinic would help reduce the backlog or waiting list for families seeking services. This location would also be more convenient for those referred by CADD's partners in the Texas Medical Center including Texas Children's Hospital and UT Health. We propose to use state funds to develop a Satellite Clinic for UHCL's Center for Autism and Developmental Disabilities at the UHCL Pearland Campus. The establishment of the clinic would require start-up funding for a board-certified behavior analyst, graduate assistants, limited support staff, video-audio equipment, and computers/laptops.

(4) Funding Source Prior to Receiving Special Item Funding:

Gifts (~\$30,000 per year), grants (~\$50,000 per year), and contracts (~\$200,000 per year)

(5) Formula Funding:

N

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(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

2016 – Contracts with private agencies and school districts: \$98,000; Federal grants (NIH): \$181,000; UH-Main: \$14,000; Texas Children’s Hospital: \$31,000

2017 – Contracts with private agencies and school districts: \$70,000; Federal grants (NIH): \$181,000; UH-Main: \$14,000; Texas Children’s Hospital: \$31,000

2018 – Federal grants (NIH): \$200,000; other contracts and grants TBD

2019 – Federal grants (NIH): \$210,000; other contracts and grants TBD

(9) Consequences of Not Funding:

Initiatives and expanded services launched in FY 16 and 17, including telehealth services to reach underserved, rural populations and special programs for adults with autism, would be reduced or discontinued pending the securement of alternative sources of support. The proposed Pearland Satellite Clinic, which would greatly enhance the accessibility of our services to low-income families residing near downtown and the Texas Medical Center, would be postponed until we could locate alternative sources of support.

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Special Item: 4 **Houston Partnership for Environmental Studies**

(1) Year Special Item: 1994
Original Appropriations: \$250,000

(2) Mission of Special Item:

The mission of the Environmental Institute of Houston (EIH) is to advance understanding of the environment through interdisciplinary research, education, and outreach. EIH addresses regional issues of environmental concern and has become a leader in building partnerships in research, education and outreach. EIH conducts research on environmental issues with an emphasis on developing and implementing real-world solutions that incorporate community resiliency, waste minimization, energy conservation, and reduces the loss of natural resource services. EIH research activities are focused on urban land use, water resources, flood control, and fish and wildlife conservation, human health and resiliency. EIH provides experience and training for upcoming scientists by incorporating student researchers and promotes multi-disciplinary collaboration through partnerships with a diverse group of agencies, private companies and non-profits. EIH research and technical services provided meets the research and information needs, goals and requirements of multiple environmental regulatory programs. EIH provides technical and professional development for educators emphasizing environmental and science education. Educator workshops and credit courses are offered for area public and private schools, higher education, nature centers, parks, and other education providers. EIH provides technical knowledge through seminars and training workshops to citizens and professional audiences.

(3) (a) Major Accomplishments to Date:

1. EIH has developed significant partnerships among 3 universities, 13 agencies, several private companies and non-profit organisms, and over 20 school districts. UH and UHCL researchers have leveraged over 290 small seed grants into over \$11.0 million in grant and contract funding over the last 10 years. 2. Environmental educators on the EIH staff train more teachers in national Environmental Education (EE) curricula than any other university in Texas. These funds have provided support for over 15 graduate and 10 undergraduate students per year. 3. EIH staff engage and support stakeholder efforts on all significant issues in the Houston and Southeast Texas region: air pollution, water pollution, flooding and freshwater supply. 4. EIH researchers are actively working through interagency contracts with local planning and state agencies to conduct water and air quality monitoring in local watersheds and schools. EIH has also assisted through contracts and grants various state and federal agencies including TCEQ, TPWD, HGAC, City of San Marcos, EPA, GCBO, CBBEP, TRA, BRA, TWDB, USFWS, and Harris County, Galveston Bay Estuary Program, National Wildlife Federation, and Galveston Bay Foundation.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Communities and agencies have identified the need for additional infrastructure to enhance research, technical support and education in the areas of environmental analysis to address critical regional issues including storm disaster preparation, sustainability, resiliency, water quality, and conservation of water and other natural resources. Increased funding for the Environmental Institute of Houston would be used to hire a lead lab manager and analyst to coordinate application for NELAC certification. The individual would supervise the operation and maintenance of the analytical laboratory, develop continuing external technical education programs for industry and government, and develop and provide an internal training program for staff and students. This funding request is expected to increase external grants and contracts to EIH at least five fold and will also increase the capability of EIH to compete for much larger regional and national grants.

(4) Funding Source Prior to Receiving Special Item Funding:

Corporate gifts and recovered indirect costs.

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(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

2016 -\$2M

2017 -\$1.5M

2018 -\$1.5M

2019 -\$1.5M

(9) Consequences of Not Funding:

If the institute was not funded, UHCL/UH and the state will likely lose up to \$3M annually in non-general revenue funding secured by various P1 investigators at UH, UHCL and EIH. The support of state funds enable EIH to maintain a minimum level of funding for key staff and start-up grants. EIH is also involved with numerous projects that support local government, schools and the community at large; no other organization provides these educational, outreach and technical support programs. Teachers and K-12 students would not be provided essential STEM environmental education skills and training. Funds provided to EIH generate an average 100%-500% return per fiscal year in terms of additional federal and private grant/contract funds. Without the necessary match funds EIH will not be able to support existing infrastructure (6 vehicles, 6 vessels, 10 computers, and lab equipment) to compete for external funding and meet the research needs of local and state communities. Attainment of NELAC certification is required for most environmental contracts and grants administered by EPA and TCEQ that involve the collection of environmental data. Currently, EIH cannot pursue these funding opportunities and support the applied research needs of the local community due to the lack of appropriate approved instrumentation, methodology, and quality control. The end result is that EIH must contract a significant portion of this work to expensive commercial labs.

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Special Item: 5 **High Technologies Laboratory**

(1) Year Special Item: 1984
Original Appropriations: \$150,000

(2) Mission of Special Item:

The lab was created in FY83 as a project of the university and funded in FY84 as a special item. The mission is to integrate research and development activities in computer technology. Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly, in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats. The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region.

(3) (a) Major Accomplishments to Date:

Since it was established, the Cyber Security Institute has hosted seminars and workshops to raise public awareness of cyber threats and related issues, including the Cybersecurity for Business Leaders and the Industry Advisory seminars. Collaborating with BayTech, University of Houston, and San Jacinto College District, the CSI succeeded in securing the Wagner-Peyser workforce development grant, and has developed a new certificate in Network Management & Security; the certificate is composed of four courses, and has been offered continually three times a year since 2014. From the beginning, the intention has been to develop the institute with input from major industries including aerospace, healthcare, energy, transportation/shipping, and government. UHCL's CSI has worked closely with the Bay Area Houston Economic Partnership and the Bay Tech to successfully implement the Wagner-Peyser workforce development grant in cybersecurity, and to seek other state and federal grants related to enhancing the state's and the nation's cybersecurity capabilities.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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Successful execution of cyber-related legislations hinge on ample supplies of computer and network professionals, especially those with up-to-date cybersecurity knowledge and skills. Difficulty in filling cybersecurity positions is a nation-wide issue facing employers, including those in the State of Texas. The complexity of securing a network system is amplified by challenges including the underlying cyber technologies and the cyber attackers' tactics which continue to evolve, and the threat and mitigation information which may not be effectively shared among organizations and agencies. We propose to use state funds to deliver a number of programs and services to individuals and organizations in the greater Houston region. These activities would include:

1. Expand cybersecurity professional training in key areas including cyber ops, network management and security, digital forensics and incident response, and virtualization security.
2. Collaborate with the Bay Area Houston Economic Partnership and the Bay Tech to offer public seminars and workshops to raise awareness of business owners on issues and good practices related to securing the cyber space.
3. Mentor small businesses to help them set up their cybersecurity practices by working with area chambers of commerce and economic development organizations.
4. Develop and offer undergraduate and graduate cybersecurity degree programs.
5. Offer cybersecurity summer camps for students from area school districts.

(4) Funding Source Prior to Receiving Special Item Funding:

1-time university funds were provided for start-up.

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

N/A

(9) Consequences of Not Funding:

If this item is not funded, it could significantly impede the continued development of the joint research among NASA, UHCL and the area aerospace contractors.

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Special Item: 6 **Restoration of 4% Reduction**

(1) Year Special Item: 2018
Original Appropriations: \$250,508

(2) Mission of Special Item:

In compliance with the Policy Letter, UHCL's baseline request for 2018-2019 reflects a 4% reduction of the approved 2016-2017 biennial General Revenue Funds. The effect of this reduction in our funding will limit the extent we can serve our students with enhanced services for student retention. This funding is especially critical as UHCL serves an increasing number of first-time-in-college students with a large percentage from under-represented groups. These students are often the first person in their families to attend college. UHCL also serves a large number of community college transfer students who often balance school with work. Many of these students attend school part-time; but do so year round including fall, spring, and summer sessions. This reduction in funding will impact our ability to offer a summer course schedule to meet the needs of these students. UH-Clear Lake requests that the 4% reduction, which was \$501,015 for the biennium, be restored in the FY18/FY19 budget.

(3) (a) Major Accomplishments to Date:

Continued funding will allow us to meet the needs of our students.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continue to provide services/courses as needed by our students, thereby improving retention.

(4) Funding Source Prior to Receiving Special Item Funding:

Biennial General Revenue Funds as part of Institutional Enhancement - 2016 - \$250,507; 2017 - \$250,508

(5) Formula Funding:

N

(6) Startup Funding:

N

(7) Transition Funding:

N

(8) Non-general Revenue Sources of Funding:

N/A

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(9) Consequences of Not Funding:

The reduction would impact the services and summer courses we could offer our students, many who are first-time-in-college, from under-represented groups, or balancing part-time education with work.
