

Legislative Appropriations

Request for Fiscal Years

2020 and 2021

Submitted to the
Office of the Governor, Budget Division
and the Legislative Budget Board

by

University of Houston-Clear Lake

Date of Submission
October, 2018

**University of Houston-Clear Lake
Legislative Appropriations Request 2020 and 2021**

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Schedules Not Included

Agency Code:	Agency Name:	Date:
759	University of Houston-Clear Lake	October, 2018
<p>For the schedules identified below, the University of Houston-Clear Lake either has no information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the University of Houston-Clear Lake Legislative Appropriations Request 2020-21 biennium.</p>		
Number	Name	
2.C.1	Operating Cost Detail Base Report	
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UNIVERSITY OVERVIEW:

University of Houston-Clear Lake (UHCL) was authorized in 1971 by House Bill 199 in the 62nd Texas Legislature to provide upper-level and graduate programs in the Houston-Galveston metropolitan area, which includes the Gulf Coast Community College Consortium consisting of Alvin Community College, Brazosport College, College of the Mainland, Galveston College, Houston Community College System, Lee College, Lone Star College System, San Jacinto College District, and Wharton County Junior College. UHCL is the second university created in the University of Houston System to address student demand and regional need. UH-Clear Lake is situated on 524 acres midway between downtown Houston and the historic port of Galveston. The campus is adjacent to the Lyndon B. Johnson Space Center and Armand Bayou Nature Center. UHCL serves an advanced technology economy by providing undergraduate, graduate, and professional programs for over 8,500 students. Ninety percent of UHCL alumni live in the greater Houston area. UHCL currently offers 44 Bachelor's, 48 Master's, and 3 doctoral degrees. Since its opening in 1974, UHCL has awarded over 68,000 undergraduate and graduate degrees, with over 2,290 awarded in 2017-2018. Sixty-one freshmen from our inaugural cohort who matriculated in 2014 were awarded undergraduate degrees four years later in May, 2018.

All universities within the University of Houston System have been designated as a Hispanic Serving Institution (HSI). UHCL became a Hispanic Serving Institution in 2010. This designation recognizes that the universities serve a greater number of underrepresented students who are Hispanic, making the institutions eligible for federal funds with the purpose of increasing college enrollment and completion. Approximately one in three students enrolled are of Hispanic origin. As a public institution, we monitor our service region for demographic shifts as they relate to education and career opportunities as they relate to workforce projections and respond accordingly. In the 2011 Texas Legislative session, UHCL was authorized to become a four-year university. The inaugural cohort of first-year students matriculated in 2014 and was more diverse than the total student enrollment for that year with the first-time in college average of 50% Hispanic/Asian ethnicity. The subsequent demographic composition of first-year cohorts reflects an increasing proportion of underrepresented students. This indicates UHCL is meeting diverse student demand as well as the regional need for a broader college-educated citizenry.

Fiscal Year 2018-19 and beyond. Changes, Progress and Accomplishments

- The transition from an upper-level to a comprehensive, four-year university is the most significant transformation in the university's forty-four-year history. UHCL prepared for this transition and continues to take steps to ensure that first year, second year and transfer students receive a comprehensive education experience supportive of the life and career success needed within the Galveston-Houston area.
- As a four-year university, UHCL works closely with both area school districts and community colleges to enhance the K-16 pipeline to increase the college-going and college graduation rates in Houston-Galveston metropolitan area. Significant effort went into planning for a strong partnership with Alvin Community College (ACC) in providing lower-division academic courses at UHCL Pearland Campus enabling a smooth transition to UHCL to complete bachelor's degrees.
- Of central importance to student success are quality of instruction with supportive co-curricular learning, and extra-curricular experiences. Preparing students to be competent, competitive and confident in their pursuit of employment and advanced study is vital. As an upper-level master's comprehensive institution, UHCL faculty, with professional ties to businesses and industries, have educated and mentored many distinguished alumni in energy, business, healthcare and education. By becoming a four-year university, UHCL faculty understood that the educational model must be reviewed and, as a result, they initiated a 2-year major curriculum revision project with the goal of better equipping students "with the proper skills to succeed in a rapidly changing environment with the ability to reason and adapt to new information." The resulting Quality Enhancement Plan (QEP) is called Applied Critical Thinking and Lifelong Learning and Adaptability (ACT). Key critical-thinking skills, concepts and activities have been integrated into undergraduate courses and form the common framework for all curricular redesign in the university. Six assessment tools are used to collect data for evaluating skill development. To support faculty with teaching strategies and the assessment of ACT skills, professional development opportunities such as workshops and conventions are supported by the university.

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- To support the workforce needs of our region, UHCL developed, and was approved to deliver several high need bachelor's degree programs in Mechanical Engineering, Industrial Hygiene and Safety, Mental Health Counseling, and a master's degree in Industrial Hygiene starting in fall 2018.
- As recognition of the quality of instruction and overall educational experience, UHCL has been ranked by U.S. News and World Report as one of the Best Regional Universities in the West for three consecutive years. In 2018, UHCL is ranked 63rd Best Regional Universities in the West.
- In addition to hiring faculty, professional advisors and other staff to ensure that first- and second-year students received quality instruction, developmentally appropriate advising and support services, UHCL has undertaken an unprecedented \$150 million expansion, with four new buildings opening in the near future. The buildings will provide modern facilities and equipment to better prepare students for careers and advanced study.
- With the approval of a tuition revenue bond of \$54,000,000 in 2016, UHCL will open a brand new 121,575 gross square feet STEM and Classroom Building to provide adequate and up-to-date facilities for the curriculum requirements of our students in the STEM fields including the new undergraduate Mechanical Engineering program in fall 2018. This first phase of our STEM/Classroom facility has teaching and research laboratories for the Natural and Life Science classes; open computer laboratory with 30 stations; faculty offices for instructors charged with conducting the STEM curriculum; additional space to support research and academic excellence in the STEM fields, which includes the professional development of teachers as well as increasing the number of new science and math educators; 100 seat tiered classroom and 48 seat active learning classroom to accommodate larger lower-level lectures and eventually the capability to build-out a multifunctional planetarium supporting diverse fields of education to stimulate and elevate interest, understanding and participation in STEM education and enhance community engagement through partnerships.
- UHCL will also have a brand new Health Sciences and Classroom facility at UHCL Pearland Campus that is 69,539 square feet, which will open in spring 2019, expanding the allied health science and nursing program to Pearland and neighboring cities. The facility will have a suite for the satellite operation of the UHCL Center for Autism and Developmental Disabilities (CADD) program; mid-to-large-sized classrooms with seat ranging from 42-seats to 100-seats; a Biology laboratory, Chemistry laboratory, academic computing laboratory, and simulation and skills stations to enable the university to prepare students for the careers that are most important for the prosperity of our region and state.
- With the approval of Recreation and Wellness Center fee of \$110 through UHCL student referendum, UHCL will open a 81,709-square-foot brand new Recreation and Wellness Center (\$38,200,000) in fall 2018 with multiple purpose usage – academic and recreational facility to serve student body, faculty and the community. The building will have an academic wing with a biomechanics laboratory, Exercise physiology laboratory, Motor control laboratory, 50-seat classrooms, faculty suites and offices.

MISSION STATEMENT:

The University of Houston-Clear Lake is a student-centered, community-minded, partnership-oriented university that offers bachelor's, master's and selected doctoral programs to enhance the educational, economic and cultural environment of the Houston-Galveston metropolitan region. UH-Clear Lake serves a diverse student body with special emphasis on undergraduate transfer, graduate and international students. The university offers the highest quality instruction and nationally accredited academic programs designed to develop the critical thinking, creative, quantitative, leadership and communication skills of students. The university conducts applied and basic research and engages in community and professional service that support both the economic development and the quality of life of the area. The university is committed to community engagement through partnerships with educational institutions, businesses, government agencies and nonprofit organizations.

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FUNDING REQUESTS FOR FISCAL YEARS 2020 AND 2021:

-Formula Funding

The ability of the state's universities to fund their operations (particularly instruction), maintain affordability, increase student success, and provide the highly skilled workforce Texas needs to succeed depends upon the Legislature's commitment to fund higher education through base formula funding. UHCL, as a first priority, requests an increase in the current level of funding in order to improve formula funding for core instructional and academic support operations. Our request is for the legislature to restore funding for the instruction and operations formula to the 2010-2011 level when the base weighted semester credit hour rate was 62.19. These funds would assist the university in increasing the college-going and college-graduation rates for the region served by UHCL. The funds are especially critical given the changing demographics of the region and the number of first-generation college students.

-Hazelwood Exemptions

UHCL is dedicated to supporting veterans and their families by expanding opportunities for them to earn a college degree. The Hazelwood Act is a crucial part of this equation. For UHCL, Hazelwood exemptions increased from \$992,533 in fiscal year 2014 to \$1,431,325 in fiscal year 2018. Absent full state coverage for Hazelwood, UH-Clear Lake must fund these exemptions through other institutional resources, including tuition paid by other students. We recommend that the state appropriate resources to cover all Hazelwood exemptions.

EXCEPTIONAL ITEMS

In the absence of the formula-driven support necessary to maintain and foster instruction and operations, UHCL is compelled to continue to request Exceptional Items for the purposes of strategic research investment and development in Center for Autism and Developmental Disabilities (CADD) with long term positive impact to underserved rural areas of Texas; Environmental studies partnership educating and empowering communities on natural resource conservation, environmental issue resolution, pollution prevention and with technical tools to increase resiliency to disasters and the High Technology Laboratory research enhancing education and new technology to the Texas economy. UHCL requests that funding for all of the exceptional items be restored to its original funding as in biennium 2016-2017 and respectfully submits requests for Exceptional Item funding for Tuition Revenue Bond Authority and Hurricane Harvey Disaster Relief in addition to continued non-formula support for CADD, EIH, and HTL.

1) Restore Non-Formula Support

- Downward Expansion: The authority for downward expansion is a key component to expanding access to higher education and enhancing student success, which are key goals in the state's higher education strategic plan. As with other Texas universities that transitioned from two to four year campuses, additional funds are necessary to provide access and meet new student service needs. Since UHCL is now a four-year university with residential housing in fall 2019, our focus continues to be on students who have been historically underrepresented in higher education, including Hispanics, first-generation students, and students from schools with low rates of college recruitment and participation. The campus continues to improve existing student support services and provide new ones to make the experience at UHCL a positive one that will encourage completion of the full four years. UHCL is requesting full restoration of the 34% reduction in downward expansion funding that occurred in the 2018-2019 biennium to the original funding of \$6,500,000 per biennia.

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- Institutional Enhancement: This non-formula item supports innovative education, research and academic programs not otherwise supported by formula funding. Over the many years institutional enhancement funding has been adjusted numerous times and has become operating dollars for state academic universities. Any cut will lead to reduced programming for students and researchers. Institutional Enhancement funding plays a significant role in financing the core mission of UHCL by providing a base level of funding for services and programs. Loss of this special item will have a significant negative impact on academic programs, new initiatives, faculty support, and student access and success. Coupled with previously absorbed reductions in the prior biennium, it negatively impacts the level of programs and service we are able to deliver to our students. UHCL is requesting to reinstate the institutional enhancement funding that was reduced by 35% in 2018-2019 biennium to the previous amount of \$4,554,966 per biennia.

- Center for Autism and Developmental Disabilities (CADD): The Centers for Disease Control and Prevention released its newest estimate of autism prevalence among the nation's children with findings that 1 in 59 children have autism, increasing from 1 in 150 children in 2007. Funding this special item has made a long term positive impact to underserved rural areas of Texas with individualized intervention and treatment services to children diagnosed with autism disorder. State funding has enabled us to develop and launch new programs and to expand our current ones. Restoration of this special item to its original funding will result in increased care and services to children with autism disorder, assessment and treatment services to individuals, train current and future professionals, support research on autism academic programs, and initiate services at the Pearland satellite clinic what will service low-income families near downtown Houston and Texas Medical Center. UHCL is requesting to reinstate the 31% reduction made in 2018-2019 biennium to the original funding of \$400,000 per biennia.

- Houston Partnership for Environmental Studies: The mission of this program is to advance the understanding of the environment through interdisciplinary research, education, and outreach. Non-formula funding for this item has been supplemented by additional external grants, contracts and donations. Restoration of 31% reduced funding from 2018-2019 will enable Environmental Institute of Houston to expand testing labs which local agencies and partners had requested, reestablish several pending contracts that amount from \$300,000 to \$500,000 and secure additional external funding to provide financial support to students. UHCL is requesting restoration of the 31% reduction in the 2018-19 biennium to the previous funding of \$604,736 per biennia.

- High Technologies Laboratory (HTL): Instituted in 1984 to support, in partnership and collaboration with NASA, computing information systems research. As a result of the most recent 2018-19 non-formula reduction to HTL, the support to the operation of UHCL's Cyber Security Institute (CSI) was reduced, resulting in staffing reduction by 1.5 FTEs. This reduction resulted in a decrease in our ability to deliver cybersecurity related education and training courses to regional workforce. Additionally, CSI is losing its ability to maintain the training facility which in turn limits our ability to secure external funding and new opportunities to CSI clientele. UHCL is requesting to reinstate the 31% reduction in the 2018-19 biennium to the previous funding of \$83,728 per biennia to support cyber security education and training to students, community, and local industries in the Galveston-Houston area.

2) Center for Autism and Developmental Disabilities

In April 2018, the Centers for Disease Control and Prevention (CDC) released its newest estimate of autism prevalence among the nation's children with findings that 1 in 59 children have autism. In 2007, at the time of the first CDC prevalence report, the finding was 1 in 150 children. Overall, less than half the children identified with autism (43 percent) had received comprehensive developmental evaluations by age 3. The report also found that African-American and Hispanic children continue to receive developmental evaluations later than white children and continue to be diagnosed with autism at lower rates.

The Center for Autism and Developmental Disabilities (CADD) at the University of Houston-Clear Lake (UHCL) was established in March 2008. CADD currently provides a variety of services including (1) individualized intervention services to children between the ages of 3 and 15 who are diagnosed with autism spectrum disorder, (2)

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assessment and treatment services to individuals of all ages and diagnoses who engage in severe problem behavior, (3) individualized services, in both Spanish and English, to individuals of all ages and diagnoses who have language or social skills deficits, (4) vocational assessments and short-term intervention services for individuals diagnosed with developmental disabilities ages 16 years and older who have difficulty obtaining or maintaining employment, (5) behavioral consultation services to children and teachers in area school districts, (6) telehealth services for families in rural areas or those who are unable to travel to CADD, (7) specialized supports for college students with autism and related needs, (8) diagnostic assessments for children suspected of having a developmental disability, and (9) family needs assessment and therapy services for parents and family members of children with autism spectrum disorder. For many of these services, CADD has significant waiting lists for families seeking assistance. With the special item funding and additional resources leveraged because of this funding, we have increased the number served by 236%. Nonetheless, more than 600 families are waiting for intervention services from our center.

We propose to use state funds to address the 600 families that are currently on our wait list. Additionally, we will also serve more families at our UHCL location, expand our telehealth services to the Rio Grande Valley, and initiate services at the new CADD satellite clinic at the Pearland campus that would enable us to serve more low-income families near downtown Houston and the Texas Medical Center. We would also like to expand our services to other underserved areas, including Galveston Island. Expansion of our services will require funding for board-certified behavior analysts, graduate assistants, limited support staff, and computers/laptops.

3) Houston Partnership for Environmental Studies

The Environmental Institute of Houston, which is located at the University of Houston-Clear Lake, addresses regional issues of environmental concern. EIH conducts research in a variety of areas including water resources, wetlands, fisheries, and wildlife conservation and management.

EIH research and technical services, provided to the agencies and citizens of Texas, directly meets the goals of many federal and state environmental regulatory programs and policies such as the Galveston Bay Plan, Texas Coastal Zone Management and the Clean Water Act. EIH has an established reputation of scientific credibility and objectivity in research on and resolution of environmental issues.

EIH has developed partnerships with 3 universities, 13 agencies and municipalities, private companies, non-profits, and over 20 school districts. Over the last 10 years UH system researchers have leveraged more than 290 small seed grants from EIH to secure over \$11.0 million in external funding. EIH staff trains more teachers (over 900) in environmental education curricula than any other Texas university. EIH has provided camps on fish and wildlife for more than 1,000 K-12 students and programs to over 1,200 high school students which provides college scholarships. EIH has provided financial support for at least 15 graduate and 10 undergraduate students per year. EIH supports stakeholder efforts on significant issues in Texas including pollution, hurricanes, flooding, and freshwater protection. EIH works with funding from agencies to provide citizens critical monitoring of local watersheds and schools. EIH has also been funded by various agencies, municipalities and organizations to provide essential research and educational programs that have attracted more than \$510K in donations which support more than 25 educational initiatives. EIH has won several awards from various professional societies for excellence in research and education. Attainment of NELAC certification is required for practically all environmental contracts and grants administered by EPA and TCEQ that involve the collection of environmental data. Currently, EIH cannot pursue these funding opportunities and support the applied research needs of the local community due to the lack of appropriate approved instrumentation, methodology, and quality control. The end result is that EIH must contract a significant portion of this work to expensive commercial labs.

Increased funding for the Environmental Institute of Houston would be used to hire a lead lab manager and analyst to coordinate application for NELAC certification. These individuals would supervise the operation and maintenance of the analytical laboratory, develop continuing external technical education programs for industry and government, and develop and provide an internal training program for staff and students. Requests to EIH for such services have already been received from the Houston Galveston Area Council, the Galveston Bay Estuary Program, and the Galveston County Health Department. The funding of this request is expected to increase grants

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and contracts to EIH, up to \$300,000 per year at the minimum. This investment will also increase the capability of EIH to compete for much larger regional and national grants.

4) High Technologies Laboratory

Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats.

The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region. From the beginning, the intention has been to develop the institute with input from major industries including aerospace, healthcare, energy, transportation/shipping, and government. UHCL's CSI has worked closely with the Bay Area Houston Economic Partnership and Bay Tech to successfully implement the Wagner-Peyser workforce development grant in cybersecurity.

We propose to use state funds to expand cybersecurity professional training in other areas to include; Certified Ethical Hacker (CEH) (certification provided by Electronic Commerce (EC)-Council), Certified Cloud Security Professional (CCSP) (certification is provided by Information Security Certifications- Information Security Audit and Control Association (ISC2)), and Computer Hacking and Forensic Investigator (CHFI) (certification is offered by EC Council) as well as deliver a number of programs and services to individuals and organizations in the greater Houston region.

4) Tuition Revenue Bonds

UHCL requests tuition revenue bonds to complete Phase II of the STEM/Classrooms building. The opening the first STEM/Classroom building scheduled for this fall will revolutionize the student-centered teaching and research opportunities at UHCL. But even with this new building opening, a critical need exists to continue to build on the growing number of students interested in the STEM as well as to meet the teaching pedagogies of Generation Z students by providing more scale-up and video/distance capable classrooms. The Phase II STEM/Classroom building would also enable several key reorganizations of university services. The Biology and Environmental Science faculty and laboratories (including labs in General Biology, Anatomy and Physiology, Microbiology and Genetics, Biochemistry and Cell Biology, and Environmental Biology and Ecology) currently located in Bayou would be upgraded and housed in the Phase II STEM/Classroom Building. Biology and the related fields are areas of undergraduate growth at the UHCL and our graduates will take a learner-focused training into health care jobs or into prospective pre-health professional students. Currently, 25% of our first-time-in-college (FTIC) students enroll as Biological Sciences majors. This building would also enable the University to better centralize its service units (e.g. Student Services, Finance, etc.) to enhance efficiencies. We will be able to explore child-care services on campus for both students and employees and develop innovative educational training in the Pre-K area. Additionally, the creation of a Phase II STEM/Classroom building would enable a reorganization of existing buildings on campus so that the Delta building, located near the main campus entrance, would become the university's Welcome Center.

5) Hurricane Harvey

UHCL requests funding for Hurricane Harvey Disaster Relief. Hurricane Harvey moved rapidly from a tropical depression to a major hurricane in 40 hours, and made landfall in south-central Texas on August 25, 2017 as a Category 4 with 130 mph winds and heavy rain. Hurricane Harvey stalled over south Texas for days as a weakening hurricane and tropical storm, creating catastrophic flooding with more than 50 inches of rain in the Houston area in less than one week. UHCL incurred

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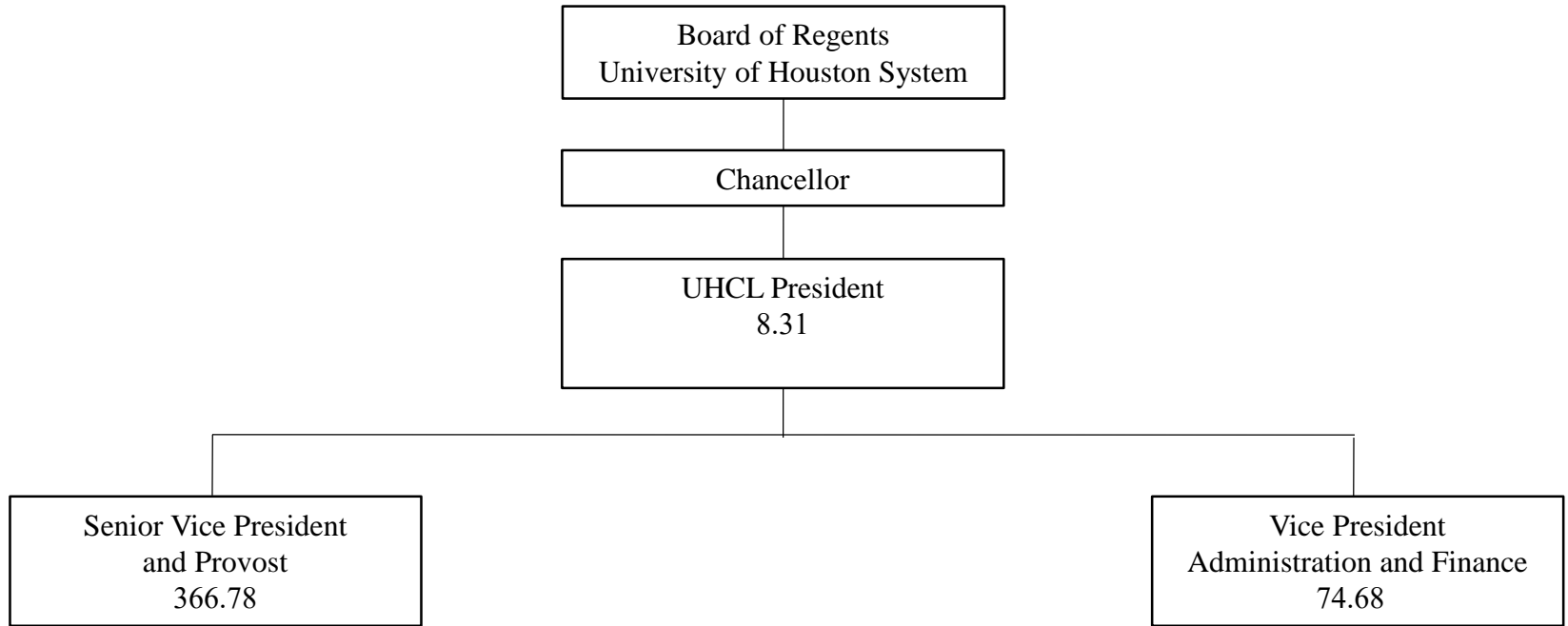
damages at 4 of 16 buildings on campus. Damages were incurred from flooding, rain, and wind, rendering many classroom, administrative, and student spaces both unsafe and unusable, and requiring substantial remediation and restoration to resume and continue normal business operations at Pearland and Clear Lake campus. The university was able to resume operations within one week, but the costs of debris removal, cleaning, and repairs was by necessity taken from funding sources intended for other purposes, including student success.

Funds expended for recovery were existing funds that were diverted from the university's mission to deliver academic programs and help to ensure student success. Four of sixteen buildings on campus were impacted, and without repair the university would not be able to fulfill its mission as effectively. Insurance and federal funding are not expected to cover all costs of repair, recovery, and ongoing administrative requirements that have been or will be incurred. In addition, the recovery of losses from FEMA, in particular, often takes an extended period of time to recover. Without restoring the funds used for remediation and restoration more expediently, funding for university student success initiatives are strained. If the university receives support from the state in the form of this exceptional item request, and we subsequently receive funding from FEMA, we will reconcile and ensure no duplication of benefits has occurred and return any difference to the state.

CONCLUSION

University of Houston-Clear Lake greatly appreciates the State's support and respectfully request restoration of all exceptional items to its original funding. UH-Clear Lake is committed to increasing the college-going and college-graduation rates for the Houston-Galveston region and the State of Texas. Due to changing demographics, UHCL will focus increased attention on first-generation college students whose families may have little, if any, experience with higher education. Extra-special attention will continue to be given to FTIC and transfer students from area community colleges. As a partnership-oriented and community-minded university, UHCL will continue to form strategic partnerships with businesses, government agencies, and non-profit organizations as well as area school districts, community colleges, and universities to develop the educational resources to meet the needs of area employers and our community.

University of Houston-Clear Lake Organizational Chart



Budget Overview - Biennial Amounts
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Appropriation Years: 2020-21

	GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS	
	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2020-21	
Goal: 1. Provide Instructional and Operations Support												
1.1.1. Operations Support	50,647,855		17,346,610						67,994,465			
1.1.3. Staff Group Insurance Premiums			5,493,669	5,715,612					5,493,669	5,715,612		
1.1.4. Workers' Compensation Insurance	172,600	386,908	53,177						225,777	386,908		
1.1.6. Texas Public Education Grants			2,587,432	2,868,194					2,587,432	2,868,194		
1.1.8. Hold Harmless		7,271,972								7,271,972		
Total, Goal	50,820,455	7,658,880	25,480,888	8,583,806					76,301,343	16,242,686		
Goal: 2. Provide Infrastructure Support												
2.1.1. E&G Space Support	486,989		1,812,330						2,299,319			
2.1.2. Tuition Revenue Bond Retirement												9,764,670
Total, Goal	486,989		1,812,330						2,299,319			9,764,670
Goal: 3. Provide Non-formula Support												
3.1.1. Downward Expansion		4,275,332									4,275,332	2,224,668
3.2.1. High Technologies Laboratory	58,131	58,131							58,131	58,131		25,598
3.2.2. Environmental Studies Partnership	419,860	419,860							419,860	419,860		184,876
3.2.3. Center For Autism	277,714	277,714							277,714	277,714		122,286
3.4.1. Institutional Enhancement		2,959,107					5,034	5,034	5,034	2,964,141		1,590,825
3.5.1. Exceptional Item Request												83,668
Total, Goal	755,705	7,990,144					5,034	5,034	760,739	7,995,178		4,231,921
Goal: 6. Research Funds												
6.3.1. Comprehensive Research Fund	144,569								144,569			65,188
Total, Goal	144,569								144,569			65,188
Total, Agency	52,207,718	15,649,024	27,293,218	8,583,806			5,034	5,034	79,505,970	24,237,864		14,061,779
Total FTEs									449.8	476.3		17.5

2.A. Summary of Base Request by Strategy

10/19/2018 9:29:03PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
1 Provide Instructional and Operations Support					
1 <i>Provide Instructional and Operations Support</i>					
1 OPERATIONS SUPPORT (1)	39,139,296	34,064,309	33,930,156	0	0
3 STAFF GROUP INSURANCE PREMIUMS	2,993,124	2,719,638	2,774,031	2,829,511	2,886,101
4 WORKERS' COMPENSATION INSURANCE	69,205	110,777	115,000	193,454	193,454
6 TEXAS PUBLIC EDUCATION GRANTS	1,196,788	1,189,523	1,397,909	1,463,367	1,404,827
8 HOLD HARMLESS	0	0	0	3,635,986	3,635,986
TOTAL, GOAL 1	\$43,398,413	\$38,084,247	\$38,217,096	\$8,122,318	\$8,120,368
2 Provide Infrastructure Support					
1 <i>Provide Operation and Maintenance of E&G Space</i>					
1 E&G SPACE SUPPORT (1)	2,553,717	671,134	1,628,185	0	0
2 TUITION REVENUE BOND RETIREMENT	0	0	0	0	0

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

2.A. Summary of Base Request by Strategy

10/19/2018 9:29:03PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

Goal / Objective / STRATEGY		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
TOTAL, GOAL	2	\$2,553,717	\$671,134	\$1,628,185	\$0	\$0
3 Provide Non-formula Support						
1 INSTRUCTIONAL SUPPORT						
1 DOWNWARD EXPANSION		0	0	0	2,137,666	2,137,666
2 Research						
1 HIGH TECHNOLOGIES LABORATORY		25,124	29,066	29,065	29,066	29,065
2 ENVIRONMENTAL STUDIES PARTNERSHIP		304,901	209,930	209,930	209,930	209,930
3 CENTER FOR AUTISM		199,517	138,857	138,857	138,857	138,857
4 INSTITUTIONAL SUPPORT						
1 INSTITUTIONAL ENHANCEMENT		1,269	2,517	2,517	1,482,071	1,482,070
5 EXCEPTIONAL ITEM REQUEST						
1 EXCEPTIONAL ITEM REQUEST		0	0	0	0	0
TOTAL, GOAL	3	\$530,811	\$380,370	\$380,369	\$3,997,590	\$3,997,588

2.A. Summary of Base Request by Strategy

10/19/2018 9:29:03PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
6 Research Funds					
3 Comprehensive Research Fund					
1 COMPREHENSIVE RESEARCH FUND	158,148	72,285	72,284	0	0
TOTAL, GOAL 6	\$158,148	\$72,285	\$72,284	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956

2.A. Summary of Base Request by Strategy

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	29,030,885	26,165,241	26,042,477	7,824,513	7,824,511
SUBTOTAL	\$29,030,885	\$26,165,241	\$26,042,477	\$7,824,513	\$7,824,511
General Revenue Dedicated Funds:					
704 Est Bd Authorized Tuition Inc	1,783,189	1,800,900	1,887,760	0	0
770 Est. Other Educational & General	15,825,746	11,239,378	12,365,180	4,292,878	4,290,928
SUBTOTAL	\$17,608,935	\$13,040,278	\$14,252,940	\$4,292,878	\$4,290,928
Other Funds:					
802 Lic Plate Trust Fund No. 0802, est	1,269	2,517	2,517	2,517	2,517
SUBTOTAL	\$1,269	\$2,517	\$2,517	\$2,517	\$2,517
TOTAL, METHOD OF FINANCING	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/19/2018 9:29:04PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>						
<u>1</u> General Revenue Fund						
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2016-17 GAA)						
		\$28,977,616	\$0	\$0	\$0	\$0
Comments: The amounts in FY2017 include the hiring freeze dollars that were retained with authorization from the Governor's Office on September 29th, 2017.						
Regular Appropriations from MOF Table (2018-19 GAA)						
		\$0	\$26,165,241	\$26,042,477	\$7,824,513	\$7,824,511
<i>RIDER APPROPRIATION</i>						
Art. III, Special Provisions, Section 61.2, Comprehensive Research Fund (2016-17 GAA)						
		\$53,269	\$0	\$0	\$0	\$0
TOTAL,	General Revenue Fund	\$29,030,885	\$26,165,241	\$26,042,477	\$7,824,513	\$7,824,511
TOTAL, ALL	GENERAL REVENUE	\$29,030,885	\$26,165,241	\$26,042,477	\$7,824,513	\$7,824,511

GENERAL REVENUE FUND - DEDICATED

704 GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704
REGULAR APPROPRIATIONS

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/19/2018 9:29:04PM

Agency code: 759		Agency name: University of Houston - Clear Lake				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE FUND - DEDICATED</u>						
Regular Appropriations from MOF Table (2016-17 GAA)		\$2,049,150	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2018-19 GAA)		\$0	\$1,545,683	\$1,545,683	\$0	\$0
<i>BASE ADJUSTMENT</i>						
Revised Revenue Receipts		\$(265,961)	\$255,217	\$342,077	\$0	\$0
Comments: Decline in foreign graduate students.						
TOTAL,	GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704	\$1,783,189	\$1,800,900	\$1,887,760	\$0	\$0
<u>770</u>	GR Dedicated - Estimated Other Educational and General Income Account No. 770					
<i>REGULAR APPROPRIATIONS</i>						
Regular Appropriations from MOF Table (2016-17 GAA)		\$14,114,160	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2018-19 GAA)		\$0	\$15,704,545	\$15,958,729	\$4,292,878	\$4,290,928

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/19/2018 9:29:04PM

Agency code:	759	Agency name:	University of Houston - Clear Lake			
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE FUND - DEDICATED</u>						
<i>BASE ADJUSTMENT</i>						
Revised Revenue Receipts		\$ (88,133)	\$ (3,614,423)	\$ (4,366,006)	\$ 0	\$ 0
Comments: Decline in foreign graduate students.						
Adjust to Actuals		\$ 1,799,719	\$ (850,744)	\$ 772,457	\$ 0	\$ 0
Comments: 2017 & 2019 use of fund reserves. 2018 expend less than anticipated revenue receipts.						
TOTAL,	GR Dedicated - Estimated Other Educational and General Income Account No. 770	\$15,825,746	\$11,239,378	\$12,365,180	\$4,292,878	\$4,290,928
TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770						
		\$17,608,935	\$13,040,278	\$14,252,940	\$4,292,878	\$4,290,928
TOTAL, ALL	GENERAL REVENUE FUND - DEDICATED	\$17,608,935	\$13,040,278	\$14,252,940	\$4,292,878	\$4,290,928
TOTAL,	GR & GR-DEDICATED FUNDS	\$46,639,820	\$39,205,519	\$40,295,417	\$12,117,391	\$12,115,439

OTHER FUNDS

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/19/2018 9:29:04PM

Agency code: 759		Agency name: University of Houston - Clear Lake				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>OTHER FUNDS</u>						
802	License Plate Trust Fund Account No. 0802					
	<i>REGULAR APPROPRIATIONS</i>					
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$2,517	\$2,517	\$2,517	\$2,517
	<i>RIDER APPROPRIATION</i>					
	Art III, Spec. Pro. Higher Ed., Sec 60, Texas Collegiate License Plate Scholarships (2016-2017 GAA)	\$2,517	\$0	\$0	\$0	\$0
	<i>BASE ADJUSTMENT</i>					
	Revised Revenue Receipts	\$(1,237)	\$0	\$0	\$0	\$0
	Adjust to actuals	\$(11)	\$0	\$0	\$0	\$0
TOTAL,	License Plate Trust Fund Account No. 0802	\$1,269	\$2,517	\$2,517	\$2,517	\$2,517
TOTAL, ALL	OTHER FUNDS	\$1,269	\$2,517	\$2,517	\$2,517	\$2,517

2.B. Summary of Base Request by Method of Finance
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

10/19/2018 9:29:04PM

Agency code: 759	Agency name: University of Houston - Clear Lake				
METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
GRAND TOTAL	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956

FULL-TIME-EQUIVALENT POSITIONS

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-17 GAA)	489.0	0.0	0.0	0.0	0.0
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Regular Appropriations from MOF Table (2018-19 GAA)	0.0	540.8	540.8	476.3	476.3
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UNAUTHORIZED NUMBER OVER (BELOW) CAP

Unauthorized Amount over(below) cap	27.4	(66.3)	(91.0)	0.0	0.0
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TOTAL, ADJUSTED FTES	516.4	474.5	449.8	476.3	476.3
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**NUMBER OF 100% FEDERALLY FUNDED
 FTEs**

2.C. Summary of Base Request by Object of Expense
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/19/2018 9:29:04PM

759 University of Houston - Clear Lake

OBJECT OF EXPENSE	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1001 SALARIES AND WAGES	\$15,618,264	\$12,311,846	\$11,606,367	\$853,983	\$853,982
1002 OTHER PERSONNEL COSTS	\$615,102	\$522,196	\$0	\$0	\$0
1005 FACULTY SALARIES	\$24,605,978	\$21,600,973	\$22,452,035	\$2,326,060	\$2,326,060
2001 PROFESSIONAL FEES AND SERVICES	\$0	\$18,896	\$0	\$0	\$0
2003 CONSUMABLE SUPPLIES	\$13,846	\$26,532	\$0	\$0	\$0
2004 UTILITIES	\$1,069,575	\$598,969	\$1,548,109	\$0	\$0
2005 TRAVEL	\$0	\$0	\$0	\$0	\$0
2007 RENT - MACHINE AND OTHER	\$48,289	\$3,246	\$0	\$0	\$0
2008 DEBT SERVICE	\$0	\$0	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$4,648,612	\$4,110,147	\$4,691,423	\$8,939,865	\$8,937,914
5000 CAPITAL EXPENDITURES	\$21,423	\$15,231	\$0	\$0	\$0
OOE Total (Excluding Riders)	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956
OOE Total (Riders)					
Grand Total	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956

2.D. Summary of Base Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/19/2018 9:29:04PM

759 University of Houston - Clear Lake

Goal/ Objective / Outcome	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
16 Percent of Semester Credit Hours Completed	94.90%	94.40%	94.50%	94.50%	94.50%
KEY 17 Certification Rate of Teacher Education Graduates	100.00%	97.20%	97.20%	97.20%	97.20%
KEY 21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	43.00%	45.60%	39.90%	39.90%	39.90%
KEY 30 Dollar Value of External or Sponsored Research Funds (in Millions)	1.03	0.86	0.89	0.93	0.97
32 External Research Funds as Percentage Appropriated for Research	404.00%	461.00%	475.00%	489.00%	504.00%
KEY 33 Percent of Transfer Students Who Graduate within 4 Years	73.60%	72.40%	72.40%	72.40%	72.40%
34 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 4 Yrs	76.90%	74.40%	74.40%	74.40%	74.40%
35 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 4 Yrs	69.70%	72.70%	72.70%	72.70%	72.70%
36 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 4 Yrs	58.70%	60.20%	60.20%	60.20%	60.20%
37 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 4 Yrs	78.30%	67.40%	67.40%	67.40%	67.40%
KEY 38 Percent of Transfer Students Who Graduate within 2 Years	19.10%	22.70%	22.70%	22.70%	22.70%
39 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 2 Yrs	21.00%	24.90%	24.90%	24.90%	24.90%

2.D. Summary of Base Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

10/19/2018 9:29:04PM

759 University of Houston - Clear Lake

<i>Goal/ Objective / Outcome</i>	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
40 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 2 Yrs	19.40%	20.70%	20.70%	20.70%	20.70%
41 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 2 Yrs	16.70%	19.40%	19.40%	19.40%	19.40%
42 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 2 Yrs	14.10%	22.70%	22.70%	22.70%	22.70%
KEY 43 Persistence Rate-1st/Full-Time, Degree-Seeking Transfers after 1 Year	82.60%	84.30%	84.30%	84.30%	84.30%
44 Persistence-1st/Full-Time, Degree-seeking White Transfers after 1Yr	83.20%	84.90%	84.90%	84.90%	84.90%
45 Persistence-1st/Full-Time, Degree-seeking Hisp Transfers after 1 Year	83.50%	85.80%	85.80%	85.80%	85.80%
46 Persistence - 1st/Full-Time, Degree-seeking Black Transfers after 1Yr	77.80%	77.50%	77.50%	77.50%	77.50%
47 Persistence - 1st/Full-Time, Degree-seeking Other Transfers after 1Yr	81.70%	83.70%	83.70%	83.70%	83.70%

2.E. Summary of Exceptional Items Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME : 9:29:04PM

Agency code: 759

Agency name: University of Houston - Clear Lake

Priority	Item	2020			2021			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Restore Non-Formula Support	\$2,106,721	\$2,106,721	17.5	\$2,106,720	\$2,106,720	17.5	\$4,213,441	\$4,213,441
2	Tuition Revenue Bond	\$4,882,335	\$4,882,335		\$4,882,335	\$4,882,335		\$9,764,670	\$9,764,670
3	Hurricane Harvey Relief	\$79,485	\$79,485		\$4,183	\$4,183		\$83,668	\$83,668
Total, Exceptional Items Request		\$7,068,541	\$7,068,541	17.5	\$6,993,238	\$6,993,238	17.5	\$14,061,779	\$14,061,779

Method of Financing

General Revenue	\$7,068,541	\$7,068,541		\$6,993,238	\$6,993,238		\$14,061,779	\$14,061,779
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$7,068,541	\$7,068,541		\$6,993,238	\$6,993,238		\$14,061,779	\$14,061,779

Full Time Equivalent Positions

17.5

17.5

Number of 100% Federally Funded FTEs

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/19/2018
 TIME : 9:29:04PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
1 Provide Instructional and Operations Support						
<i>1 Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
3 STAFF GROUP INSURANCE PREMIUMS	2,829,511	2,886,101	0	0	2,829,511	2,886,101
4 WORKERS' COMPENSATION INSURANCE	193,454	193,454	0	0	193,454	193,454
6 TEXAS PUBLIC EDUCATION GRANTS	1,463,367	1,404,827	0	0	1,463,367	1,404,827
8 HOLD HARMLESS	3,635,986	3,635,986	0	0	3,635,986	3,635,986
TOTAL, GOAL 1	\$8,122,318	\$8,120,368	\$0	\$0	\$8,122,318	\$8,120,368
2 Provide Infrastructure Support						
<i>1 Provide Operation and Maintenance of E&G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	0	0	4,882,335	4,882,335	4,882,335	4,882,335
TOTAL, GOAL 2	\$0	\$0	\$4,882,335	\$4,882,335	\$4,882,335	\$4,882,335

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/19/2018
 TIME : 9:29:04PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
3 Provide Non-formula Support						
1 <i>INSTRUCTIONAL SUPPORT</i>						
1 DOWNWARD EXPANSION	\$2,137,666	\$2,137,666	\$1,112,334	\$1,112,334	\$3,250,000	\$3,250,000
2 <i>Research</i>						
1 HIGH TECHNOLOGIES LABORATORY	29,066	29,065	12,799	12,799	41,865	41,864
2 ENVIRONMENTAL STUDIES PARTNERSHIP	209,930	209,930	92,438	92,438	302,368	302,368
3 CENTER FOR AUTISM	138,857	138,857	61,143	61,143	200,000	200,000
4 <i>INSTITUTIONAL SUPPORT</i>						
1 INSTITUTIONAL ENHANCEMENT	1,482,071	1,482,070	795,413	795,412	2,277,484	2,277,482
5 <i>EXCEPTONAL ITEM REQUEST</i>						
1 EXCEPTIONAL ITEM REQUEST	0	0	79,485	4,183	79,485	4,183
TOTAL, GOAL 3	\$3,997,590	\$3,997,588	\$2,153,612	\$2,078,309	\$6,151,202	\$6,075,897

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/19/2018
 TIME : 9:29:04PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
6 Research Funds						
3 <i>Comprehensive Research Fund</i>						
1 COMPREHENSIVE RESEARCH FUND	\$0	\$0	\$32,594	\$32,594	\$32,594	\$32,594
TOTAL, GOAL 6	\$0	\$0	\$32,594	\$32,594	\$32,594	\$32,594
TOTAL, AGENCY STRATEGY REQUEST	\$12,119,908	\$12,117,956	\$7,068,541	\$6,993,238	\$19,188,449	\$19,111,194
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$12,119,908	\$12,117,956	\$7,068,541	\$6,993,238	\$19,188,449	\$19,111,194

2.F. Summary of Total Request by Strategy
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/19/2018
 TIME : 9:29:04PM

Agency code: 759		Agency name: University of Houston - Clear Lake				
<i>Goal/Objective/STRATEGY</i>	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
General Revenue Funds:						
1 General Revenue Fund	\$7,824,513	\$7,824,511	\$7,068,541	\$6,993,238	\$14,893,054	\$14,817,749
	\$7,824,513	\$7,824,511	\$7,068,541	\$6,993,238	\$14,893,054	\$14,817,749
General Revenue Dedicated Funds:						
704 Est Bd Authorized Tuition Inc	0	0	0	0	0	0
770 Est. Other Educational & General	4,292,878	4,290,928	0	0	4,292,878	4,290,928
	\$4,292,878	\$4,290,928	\$0	\$0	\$4,292,878	\$4,290,928
Other Funds:						
802 Lic Plate Trust Fund No. 0802, est	2,517	2,517	0	0	2,517	2,517
	\$2,517	\$2,517	\$0	\$0	\$2,517	\$2,517
TOTAL, METHOD OF FINANCING	\$12,119,908	\$12,117,956	\$7,068,541	\$6,993,238	\$19,188,449	\$19,111,194
FULL TIME EQUIVALENT POSITIONS	476.3	476.3	17.5	17.5	493.8	493.8

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/19/2018

Time: 9:29:05PM

Agency code: 759

Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

		BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
1	Provide Instructional and Operations Support						
1	<i>Provide Instructional and Operations Support</i>						
	16 Percent of Semester Credit Hours Completed						
		94.50%	94.50%			94.50%	94.50%
KEY	17 Certification Rate of Teacher Education Graduates						
		97.20%	97.20%			97.20%	97.20%
KEY	21 % of Baccalaureate Graduates Who Are 1st Generation College Graduates						
		39.90%	39.90%			39.90%	39.90%
KEY	30 Dollar Value of External or Sponsored Research Funds (in Millions)						
		0.93	0.97			0.93	0.97
	32 External Research Funds as Percentage Appropriated for Research						
		489.00%	504.00%			489.00%	504.00%
KEY	33 Percent of Transfer Students Who Graduate within 4 Years						
		72.40%	72.40%			72.40%	72.40%
	34 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 4 Yrs						
		74.40%	74.40%			74.40%	74.40%
	35 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 4 Yrs						
		72.70%	72.70%			72.70%	72.70%

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/19/2018

Time: 9:29:05PM

Agency code: 759

Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

	BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
36 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 4 Yrs	60.20%	60.20%			60.20%	60.20%
37 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 4 Yrs	67.40%	67.40%			67.40%	67.40%
KEY 38 Percent of Transfer Students Who Graduate within 2 Years	22.70%	22.70%			22.70%	22.70%
39 Graduation Rate-1st/Full-Time, Degree-Seeking White Transfers in 2 Yrs	24.90%	24.90%			24.90%	24.90%
40 Graduation Rate-1st/Full-Time, Degree-Seeking Hisp Transfers in 2 Yrs	20.70%	20.70%			20.70%	20.70%
41 Graduation Rate-1st/Full-Time, Degree-Seeking Black Transfers in 2 Yrs	19.40%	19.40%			19.40%	19.40%
42 Graduation Rate-1st/Full-Time, Degree-seeking Other Transfers in 2 Yrs	22.70%	22.70%			22.70%	22.70%
KEY 43 Persistence Rate-1st/Full-Time, Degree-Seeking Transfers after 1 Year	84.30%	84.30%			84.30%	84.30%
44 Persistence-1st/Full-Time, Degree-seeking White Transfers after 1Yr	84.90%	84.90%			84.90%	84.90%

2.G. Summary of Total Request Objective Outcomes
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/19/2018

Time: 9:29:05PM

Agency code: 759

Agency name: University of Houston - Clear Lake

Goal/ Objective / Outcome

	BL 2020	BL 2021	Excp 2020	Excp 2021	Total Request 2020	Total Request 2021
45 Persistence-1st/Full-Time, Degree-seeking Hisp Transfers after 1 Year	85.80%	85.80%			85.80%	85.80%
46 Persistence - 1st/Full-Time, Degree-seeking Black Transfers after 1Yr	77.50%	77.50%			77.50%	77.50%
47 Persistence - 1st/Full-Time, Degree-seeking Other Transfers after 1Yr	83.70%	83.70%			83.70%	83.70%

3.A. Strategy Request

10/19/2018 9:29:05PM

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
Output Measures:						
1	Number of Undergraduate Degrees Awarded	1,323.00	1,323.00	1,342.00	1,359.00	1,375.00
2	Number of Minority Graduates	808.00	829.00	855.00	881.00	907.00
6	Number of Two-Year College Transfers Who Graduate	869.00	825.00	863.00	869.00	875.00
Efficiency Measures:						
KEY 1	Administrative Cost As a Percent of Operating Budget	13.18 %	11.69 %	12.23 %	12.23 %	12.39 %
KEY 2	Avg Cost of Resident Undergraduate Tuition and Fees for 15 SCH	3,965.00	4,094.00	4,279.00	4,471.00	4,471.00
Explanatory/Input Measures:						
1	Student/Faculty Ratio	15.40	15.40	15.30	15.30	15.30
2	Number of Minority Students Enrolled	3,339.00	3,546.00	3,668.00	3,805.00	3,942.00
3	Number of Community College Transfers Enrolled	3,754.00	3,828.00	3,982.00	4,078.00	4,175.00
4	Number of Semester Credit Hours Completed	75,891.00	76,214.00	76,976.00	77,746.00	78,523.00
5	Number of Semester Credit Hours	79,690.00	78,772.00	79,560.00	80,355.00	81,159.00
6	Number of Students Enrolled as of the Twelfth Class Day	8,669.00	8,542.00	8,627.00	8,715.00	8,801.00
7	Average Student Loan Debt	23,609.00	23,511.00	0.00	0.00	0.00
8	Percent of Students with Student Loan Debt	31.25 %	9.30 %	0.00 %	0.00 %	0.00 %
KEY 9	Average Financial Aid Award Per Full-Time Student	9,854.00	10,353.00	10,353.00	10,353.00	10,353.00

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
KEY 10	Percent of Full-Time Students Receiving Financial Aid	72.46 %	73.57 %	74.00 %	74.00 %	74.00 %
Objects of Expense:						
1001	SALARIES AND WAGES	\$13,513,395	\$11,827,176	\$11,183,915	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$599,683	\$522,196	\$0	\$0	\$0
1005	FACULTY SALARIES	\$24,605,978	\$21,600,973	\$22,452,035	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$18,896	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$9,240	\$805	\$0	\$0	\$0
2004	UTILITIES	\$9,231	\$8,462	\$0	\$0	\$0
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$44,148	\$3,246	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$336,198	\$82,555	\$294,206	\$0	\$0
5000	CAPITAL EXPENDITURES	\$21,423	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$39,139,296	\$34,064,309	\$33,930,156	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$27,395,425	\$25,141,814	\$25,506,041	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$27,395,425	\$25,141,814	\$25,506,041	\$0	\$0

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request

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86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
Method of Financing:						
704	Est Bd Authorized Tuition Inc	\$1,783,189	\$1,800,900	\$1,887,760	\$0	\$0
770	Est. Other Educational & General	\$9,960,682	\$7,121,595	\$6,536,355	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$11,743,871	\$8,922,495	\$8,424,115	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$39,139,296	\$34,064,309	\$33,930,156	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		467.4	462.3	437.6	437.6	437.6

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Instruction and Operations Formula provides funding for faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support. The funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour is established by the Legislature each biennium.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$67,994,465	\$0	\$(67,994,465)	\$(67,994,465)	Formula funded strategies are not requested in 2020-2021 because amounts are not determined by institutions.
			<u>\$(67,994,465)</u>	Total of Explanation of Biennial Change

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$2,993,124	\$2,719,638	\$2,774,031	\$2,829,511	\$2,886,101
TOTAL, OBJECT OF EXPENSE		\$2,993,124	\$2,719,638	\$2,774,031	\$2,829,511	\$2,886,101
Method of Financing:						
770	Est. Other Educational & General	\$2,993,124	\$2,719,638	\$2,774,031	\$2,829,511	\$2,886,101
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$2,993,124	\$2,719,638	\$2,774,031	\$2,829,511	\$2,886,101
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,829,511	\$2,886,101
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,993,124	\$2,719,638	\$2,774,031	\$2,829,511	\$2,886,101

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy is to provide proportional share of staff group insurance premiums paid from Other Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 3 Staff Group Insurance Premiums

Service Categories:

Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$5,493,669	\$5,715,612	\$221,943	\$221,943	Change in costs
			<u>\$221,943</u>	Total of Explanation of Biennial Change

3.A. Strategy Request

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Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 4 Workers' Compensation Insurance

Service Categories:

Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$69,205	\$110,777	\$115,000	\$193,454	\$193,454
TOTAL, OBJECT OF EXPENSE		\$69,205	\$110,777	\$115,000	\$193,454	\$193,454
Method of Financing:						
1	General Revenue Fund	\$46,834	\$86,300	\$86,300	\$193,454	\$193,454
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$46,834	\$86,300	\$86,300	\$193,454	\$193,454
Method of Financing:						
770	Est. Other Educational & General	\$22,371	\$24,477	\$28,700	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$22,371	\$24,477	\$28,700	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$193,454	\$193,454
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$69,205	\$110,777	\$115,000	\$193,454	\$193,454
FULL TIME EQUIVALENT POSITIONS:						

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 4 Workers' Compensation Insurance Service: 06 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The strategy funds the Worker's Compensation payments related to Educational and General funds.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$225,777	\$386,908	\$161,131	\$161,131	Variance in claims vs. appropriation
			\$161,131	Total of Explanation of Biennial Change

3.A. Strategy Request

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:

Service: 20 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$1,196,788	\$1,189,523	\$1,397,909	\$1,463,367	\$1,404,827
TOTAL, OBJECT OF EXPENSE		\$1,196,788	\$1,189,523	\$1,397,909	\$1,463,367	\$1,404,827
Method of Financing:						
770	Est. Other Educational & General	\$1,196,788	\$1,189,523	\$1,397,909	\$1,463,367	\$1,404,827
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,196,788	\$1,189,523	\$1,397,909	\$1,463,367	\$1,404,827
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,463,367	\$1,404,827
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,196,788	\$1,189,523	\$1,397,909	\$1,463,367	\$1,404,827

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy represents tuition set aside for the Texas Public Education Grants program as required by Section 56.033 of the Texas Education Code.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 6 Texas Public Education Grants

Service Categories:

Service: 20 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$2,587,432	\$2,868,194	\$280,762	\$280,762	Due to changes in tuition revenue.
			\$280,762	Total of Explanation of Biennial Change

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 8 Hold Harmless Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$150,000	\$150,000
1005	FACULTY SALARIES	\$0	\$0	\$0	\$550,000	\$550,000
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$2,935,986	\$2,935,986
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$3,635,986	\$3,635,986
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$3,635,986	\$3,635,986
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$3,635,986	\$3,635,986
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$3,635,986	\$3,635,986
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$3,635,986	\$3,635,986
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	9.0	9.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

UHCL received 3,365,986 each year of the 2018-2019 biennium to hold UHCL at the average of all other institutions of higher education. This funding is to minimize the effect of reduced formula and non-formula funding and that is intended for faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support.

759 University of Houston - Clear Lake

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 8 Hold Harmless

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$0	\$7,271,972	\$7,271,972	\$7,271,972	Expenditures for FY2018-2019 are reported in Operations Support.
			\$7,271,972	Total of Explanation of Biennial Change

3.A. Strategy Request

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86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

Service Categories:

STRATEGY: 1 Educational and General Space Support

Service: 10

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
Efficiency Measures:						
1	Space Utilization Rate of Classrooms	41.00	35.00	35.40	35.70	36.10
2	Space Utilization Rate of Labs	25.00	26.00	26.30	26.50	26.80
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,479,375	\$80,076	\$80,076	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$10,991	\$0	\$0	\$0	\$0
2004	UTILITIES	\$1,059,694	\$590,098	\$1,548,109	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$3,657	\$960	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$2,553,717	\$671,134	\$1,628,185	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$900,936	\$486,989	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$900,936	\$486,989	\$0	\$0	\$0
Method of Financing:						
770	Est. Other Educational & General	\$1,652,781	\$184,145	\$1,628,185	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,652,781	\$184,145	\$1,628,185	\$0	\$0

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

STRATEGY: 1 Educational and General Space Support

Service Categories:

Service: 10

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,553,717	\$671,134	\$1,628,185	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		34.0	1.0	1.0	18.5	18.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Infrastructure Support formula distributes funding associated with plant-related formulas and utilities. This formula is driven by the predicted square feet for universities' educational and general activities produced by the Coordinating Board Space Projection Model. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

3.A. Strategy Request

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

Service Categories:

STRATEGY: 1 Educational and General Space Support

Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020 ⁽¹⁾	BL 2021 ⁽¹⁾
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL CHANGE	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)		\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,299,319	\$0	\$(2,299,319)	\$(2,299,319)	Formula funded strategies are not requested in FY2020-2021 because amounts are not determined by institutions.
			<u>\$(2,299,319)</u>	Total of Explanation of Biennial Change

(1) - Formula funded strategies are not requested in 2020-21 because amounts are not determined by institutions.

759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
 STRATEGY: 2 Tuition Revenue Bond Retirement

Service Categories:
 Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2008	DEBT SERVICE	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Beginning FY12, University of Houston System Administration reports debt service for the Student Services Classroom Building and Arbor Building at University of Houston-Clear Lake.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 2 Provide Infrastructure Support
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$0	\$0	\$0	\$0	No Biennial Change
			\$0	Total of Explanation of Biennial Change

759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 1 INSTRUCTIONAL SUPPORT
 STRATEGY: 1 Downward Expansion

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$361,606	\$361,606
1005	FACULTY SALARIES	\$0	\$0	\$0	\$1,776,060	\$1,776,060
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$2,137,666	\$2,137,666
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$2,137,666	\$2,137,666
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$2,137,666	\$2,137,666
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,137,666	\$2,137,666
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$2,137,666	\$2,137,666
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	0.0	0.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
OBJECTIVE: 1 INSTRUCTIONAL SUPPORT
STRATEGY: 1 Downward Expansion

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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During the 82nd Legislature (2011), UHCL received authority to offer lower division courses to freshman and sophomore students. This authority for downward expansion is a key element to expanding access to higher education, enhancing student success, serving underrepresented student populations, and developing new programs quickly in response to local workforce needs which are key goals in the state's higher education plan 60 by 30 Tex. UHCL joined the UH System institutions, starting in fall 2015, by providing an additional entry point for access to higher education. As a system of institutions we are better able to meet the needs of our students in the greater Houston region and recruit students from the local high schools and offer scholarship programs to attract high caliber students. Universities throughout the state that have expanded to 4 year found it necessary to seek additional state operating funds to provide this new access point to students within their regions. Upper level institutions do not have the structure in place to provide the support for this endeavor which starts with a small number of students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Non-Formula information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$0	\$4,275,332	\$4,275,332	\$4,275,332	Expenditures for FY2018-2019 are reported in Operations Support.
			<u>\$4,275,332</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 1 High Technologies Laboratory

Service Categories:

Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$25,107	\$29,066	\$29,065	\$29,066	\$29,065
2009	OTHER OPERATING EXPENSE	\$17	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$25,124	\$29,066	\$29,065	\$29,066	\$29,065
Method of Financing:						
1	General Revenue Fund	\$25,124	\$29,066	\$29,065	\$29,066	\$29,065
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$25,124	\$29,066	\$29,065	\$29,066	\$29,065
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$29,066	\$29,065
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$25,124	\$29,066	\$29,065	\$29,066	\$29,065
FULL TIME EQUIVALENT POSITIONS:		1.0	0.5	0.5	0.5	0.5
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research Service Categories:
 STRATEGY: 1 High Technologies Laboratory Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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The lab was created in FY83 as a project of the university and funded in FY84 as a special item. The mission is to integrate research and development activities in computer technology. Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly, in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats. The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Non-Formula information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$58,131	\$58,131	\$0	\$0	Total of Explanation of Biennial Change

759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 2 Houston Partnership for Environmental Studies

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$299,525	\$194,699	\$209,930	\$209,930	\$209,930
2007	RENT - MACHINE AND OTHER	\$4,141	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$1,235	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$15,231	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$304,901	\$209,930	\$209,930	\$209,930	\$209,930
Method of Financing:						
1	General Revenue Fund	\$304,901	\$209,930	\$209,930	\$209,930	\$209,930
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$304,901	\$209,930	\$209,930	\$209,930	\$209,930
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$209,930	\$209,930
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$304,901	\$209,930	\$209,930	\$209,930	\$209,930
FULL TIME EQUIVALENT POSITIONS:		5.8	3.8	3.8	3.8	3.8
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research Service Categories:
 STRATEGY: 2 Houston Partnership for Environmental Studies Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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The Houston Partnership for Environmental Studies funds the Environmental Institute of Houston (EIH). The mission of EIH is to advance understanding of the environment through interdisciplinary research, education, and outreach. EIH addresses regional environmental issues and has become a leader in building partnerships in research, education and outreach. EIH conducts research on environmental issues with an emphasis on creating real-world solutions that incorporate community resiliency, waste minimization, energy conservation, and natural resource conservation. EIH research is focused on urban and rural land use, freshwater resources, flood control, water quality, fish and wildlife conservation, and public health. EIH provides training for scientists and managers by incorporating student researchers and promotes multi-disciplinary collaboration through partnerships with agencies, companies, and non-profits. EIH technical services meets the research and information needs of multiple state environmental programs. EIH provides technical and professional development for educators and professionals. Educator workshops and credit courses are offered for area public and private schools, higher education, nature centers, parks, and other education providers. EIH provides technical training through workshops to citizens and professional audiences. EIH is guided by a Community Advisory Board consisting of members from industry, schools, agencies, non-profits and municipalities.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Non-Formula Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$419,860	\$419,860	\$0		
			\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 3 Center for Autism and Developmental Disabilities

Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$184,407	\$134,271	\$103,381	\$103,381	\$103,381
1002	OTHER PERSONNEL COSTS	\$4,428	\$0	\$0	\$0	\$0
2004	UTILITIES	\$650	\$409	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$10,032	\$4,177	\$35,476	\$35,476	\$35,476
TOTAL, OBJECT OF EXPENSE		\$199,517	\$138,857	\$138,857	\$138,857	\$138,857
Method of Financing:						
1	General Revenue Fund	\$199,517	\$138,857	\$138,857	\$138,857	\$138,857
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$199,517	\$138,857	\$138,857	\$138,857	\$138,857
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$138,857	\$138,857
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$199,517	\$138,857	\$138,857	\$138,857	\$138,857
FULL TIME EQUIVALENT POSITIONS:		5.1	5.1	5.1	5.1	5.1
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research Service Categories:
 STRATEGY: 3 Center for Autism and Developmental Disabilities Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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The UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Behavior Analysis, School Psychology, and Family Therapy graduate programs. CADD’s goals are to support research on autism and developmental disabilities, train current and future professionals, and provide services to individuals and their families through partnerships with school districts, community organizations, and hospitals. Persons with behavioral, educational, social, verbal and vocational disabilities are eligible to receive services from CADD on a sliding fee scale or at no cost.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

This year, the Centers for Disease Control and Prevention (CDC) released its newest estimate of autism prevalence among the nation’s children with findings that 1 in 59 children have autism, making it the fast-growing developmental disability in our nation. Overall, less than half the children identified with autism (43 percent) had received comprehensive developmental evaluations by age 3. The report also found that African-American and Hispanic children continue to receive developmental evaluations later than white children and continue to be diagnosed with autism at lower rates. On the state level, a recent study by the Texas Health and Human Services Commission estimates that almost 400,000 residents have an ASD diagnosis. Caring for a child with ASD is estimated to cost at least \$60,000 per year for families. As such, many families cannot afford evidence-based, medically necessary interventions for their children.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$277,714	\$277,714	\$0		
			\$0	Total of Explanation of Biennial Change

759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 4 INSTITUTIONAL SUPPORT
 STRATEGY: 1 Institutional Enhancement

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$1,269	\$2,517	\$2,517	\$1,482,071	\$1,482,070
TOTAL, OBJECT OF EXPENSE		\$1,269	\$2,517	\$2,517	\$1,482,071	\$1,482,070
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$1,479,554	\$1,479,553
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$1,479,554	\$1,479,553
Method of Financing:						
802	Lic Plate Trust Fund No. 0802, est	\$1,269	\$2,517	\$2,517	\$2,517	\$2,517
SUBTOTAL, MOF (OTHER FUNDS)		\$1,269	\$2,517	\$2,517	\$2,517	\$2,517
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,482,071	\$1,482,070
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,269	\$2,517	\$2,517	\$1,482,071	\$1,482,070
FULL TIME EQUIVALENT POSITIONS:						
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 4 INSTITUTIONAL SUPPORT Service Categories:
 STRATEGY: 1 Institutional Enhancement Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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This item was first created by the 76th Legislature and has been adjusted numerous times over the years. Institutional Enhancement funds have become operating dollars for state universities, comparable in terms of usage to what is received through the Operations Support formula and support academic programs and instruction.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Additional information for this strategy is available in Schedule 9, Non-Formula Information.

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$5,034	\$2,964,141	\$2,959,107	\$2,959,107	Expenditures for FY2018-2019 are reported in Operations Support.
			<u>\$2,959,107</u>	Total of Explanation of Biennial Change

759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 5 EXCEPTIONAL ITEM REQUEST
 STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:						
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

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759 University of Houston - Clear Lake

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 5 EXCEPTIONAL ITEM REQUEST Service Categories:
 STRATEGY: 1 Exceptional Item Request Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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In the absence of the formula-driven support necessary to maintain and foster instruction and operations formula to the 2010-2011 level when the base weighted semester credit hour rate was 62.19, UHCL is compelled to continue to request Exceptional Items for the purposes of strategic research investment and development in Center for Autism and Developmental Disabilities (CADD) with long term positive impact to underserved rural areas of Texas; Environmental studies partnership educating and empowering communities on natural resource conservation, environmental issue resolution, pollution prevention and with technical tools to increase resiliency to disasters and the High Technology Laboratory research enhancing education and new technology to the Texas economy. UHCL requests that our current funding for all of the exceptional items be restored to its original funding as in biennium 2016-2017. UHCL respectfully submits three requests for Exceptional Item funding which include Restore Non-Formula Support, Tuition Revenue Bond, and Hurricane Harvey Disaster Relief.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>	<u>CHANGE</u>	<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$0	\$0	\$0		
			\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
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759 University of Houston - Clear Lake

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund
 STRATEGY: 1 Comprehensive Research Fund

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$116,455	\$46,558	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$4,606	\$25,727	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$37,087	\$0	\$72,284	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$158,148	\$72,285	\$72,284	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$158,148	\$72,285	\$72,284	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$158,148	\$72,285	\$72,284	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$158,148	\$72,285	\$72,284	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		3.1	1.8	1.8	1.8	1.8
STRATEGY DESCRIPTION AND JUSTIFICATION:						

3.A. Strategy Request

86th Regular Session, Agency Submission, Version 1
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759 University of Houston - Clear Lake

GOAL: 6 Research Funds
 OBJECTIVE: 3 Comprehensive Research Fund Service Categories:
 STRATEGY: 1 Comprehensive Research Fund Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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The Texas Comprehensive Research Fund provides funding to promote increased research capacity at eligible general academic teaching institutions including those other than The University of Texas at Austin, Texas A&M University or any institution designated as an emerging research university under the Higher Education Coordinating Board's (THECB) accountability system. Funding is to be expended for the support and maintenance of educational and general activities, including research and student services, that promote increased research capacity. A legislatively determined amount of funding is allocated based on the average amount of restricted research funds expended by each institution per year for the three preceding state fiscal years as reported to THECB.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		<u>BIENNIAL CHANGE</u>	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
<u>Base Spending (Est 2018 + Bud 2019)</u>	<u>Baseline Request (BL 2020 + BL 2021)</u>		<u>\$ Amount</u>	<u>Explanation(s) of Amount (must specify MOFs and FTEs)</u>
\$144,569	\$0	\$(144,569)	\$(144,569)	Funding is not requested for FY2020-2021 because amounts are not determined by institutions.
			<u>\$(144,569)</u>	Total of Explanation of Biennial Change

3.A. Strategy Request
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SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956
METHODS OF FINANCE (INCLUDING RIDERS):				\$12,119,908	\$12,117,956
METHODS OF FINANCE (EXCLUDING RIDERS):	\$46,641,089	\$39,208,036	\$40,297,934	\$12,119,908	\$12,117,956
FULL TIME EQUIVALENT POSITIONS:	516.4	474.5	449.8	476.3	476.3

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
86th Regular Session, Agency Submission, Version 2

Agency Code: 759		Agency: University of Houston-Clear Lake				Prepared By: Deja Sero						
Date: October, 2018						18-19	Base	Requested 2020	Requested 2021	Biennial Total 20-21	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name						\$	%
A	Instruction/Operations	A.1.1.	Operations Support		Formula Funding - Instruction and Operations Support	\$67,566,137		\$0	\$0	\$0	(\$67,566,137)	-100.0%
A	Instruction/Operations	A.1.2.	Teaching Experience Supplement		Formula Funding - Teaching Experience Supplement	\$1,373,644		\$0	\$0	\$0	(\$1,373,644)	-100.0%
A	Instruction/Operations	A.1.3.	Staff Group Insurance Premiums		Staff Group Insurance Premiums	\$3,915,783		\$2,829,511	\$2,886,101	\$5,715,613	\$1,799,830	46.0%
A	Instruction/Operations	A.1.4.	Workers' Compensation Insurance		Workers' Compensation Insurance	\$230,000		\$193,454	\$193,454	\$386,908	\$156,908	68.2%
A	Instruction/Operations	A.1.5.	Texas Public Education Grants		Texas Public Education Grants	\$2,801,921		\$1,463,367	\$1,404,827	\$2,868,194	\$66,273	2.4%
A	Instruction/Operations	A.1.6	Hold Harmless		Hold Harmless	\$7,271,972		\$3,635,986	\$3,635,986	\$7,271,972	\$0	
										\$0	\$0	
B	Infrastructure Support	B.1.1.	E & G Space Support		Formula Funding - Educational and General Support	\$4,636,320		\$0	\$0	\$0	(\$4,636,320)	-100.0%
B	Infrastructure Support	B.1.2.	Small Institution Supplement		Formula Funding - Small Institution Supplement	\$399,300		\$0	\$0	\$0	(\$399,300)	-100.0%
										\$0	\$0	
C	Special Item Support	C.1.1.	Downward Expansion		Downward Expansion	\$4,275,332		\$3,250,000	\$3,250,000	\$6,500,000	\$2,224,668	52.0%
C	Special Item Support	C.2.1.	High Technologies Laboratory		High Technologies Laboratory	\$58,131		\$41,864	\$41,864	\$83,728	\$25,597	44.0%
C	Special Item Support	C.2.2.	Environmental Studies Partnership		Environmental Studies Partnership	\$419,860		\$302,368	\$302,368	\$604,736	\$184,876	44.0%
C	Special Item Support	C.2.3.	Center for Autism		Center for Autism and Developmental Disabilities	\$277,714		\$200,000	\$200,000	\$400,000	\$122,286	44.0%
C	Special Item Support	C.3.1.	Institutional Enhancement		Institutional Enhancement							
					Operations Support	\$2,964,141		\$2,277,483	\$2,277,483	\$4,554,966	\$1,590,825	53.7%
					License Plate Scholarships	\$5,034		\$2,517	\$2,517	\$5,034	\$0	0.0%
D	Research Funds	D.1.1.	Comprehensive Research Fund		Comprehensive Research Fund	\$144,569		\$104,878	\$104,878	\$209,756	\$65,187	45.1%

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME: 9:29:39PM

Agency code: 759 Agency name: University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Restore Non-Formula Support Reductions Item Priority: 1 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies:		
	03-01-01 Downward Expansion		
	03-02-01 High Technologies Laboratory		
	03-02-02 Houston Partnership for Environmental Studies		
	03-02-03 Center for Autism and Developmental Disabilities		
	03-04-01 Institutional Enhancement		
	06-03-01 Comprehensive Research Fund		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	338,951	338,951
1005	FACULTY SALARIES	924,093	924,093
2009	OTHER OPERATING EXPENSE	843,677	843,676
TOTAL, OBJECT OF EXPENSE		\$2,106,721	\$2,106,720
METHOD OF FINANCING:			
1	General Revenue Fund	2,106,721	2,106,720
TOTAL, METHOD OF FINANCING		\$2,106,721	\$2,106,720
FULL-TIME EQUIVALENT POSITIONS (FTE):		17.50	17.50

DESCRIPTION / JUSTIFICATION:

As with other Texas universities that transitioned from two to four year campuses, downward expansion funding provides access and the ability to meet new student service needs. The campus continues to improve existing student support services and provide new ones to make the experience at UHCL a positive one that will encourage completion of the full four years. Institutional Enhancement special item supports innovative education, research and academic programs not otherwise supported by formula funding as well as the comprehensive research fund. Funding for CADD has made a long term positive impact to underserved rural areas of Texas with individualized intervention and treatment services to children diagnosed with autism disorder. Non-Formula Support funding has enabled us to develop and launch new programs and to expand our current ones. EIH has developed partnerships with 3 universities, 13 agencies and municipalities, private companies, non-profits, and > 20 school districts. Over the last 10 years UH system researchers have leveraged > 290 small seed grants from EIH to secure > \$11.0 million in external funding. Since it was established, the Cyber Security Institute has hosted seminars and workshops to raise public awareness of cyber threats and related issues, including the Cybersecurity for Business Leaders and the Industry Advisory seminars. UHCL requests that our current funding for all of the exceptional items be restored to its original funding as in biennium 2016-2017 to continue to provide adequate access to higher education and to meet the continued demand for student support services.

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2018**
 TIME: **9:29:39PM**

Agency code: **759** Agency name: **University of Houston - Clear Lake**

CODE	DESCRIPTION	Excp 2020	Excp 2021
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EXTERNAL/INTERNAL FACTORS:

In the absence of the formula-driven support necessary to maintain and foster instruction and operations formula to the 2010-2011 level when the base weighted semester credit hour rate was 62.19, UHCL is compelled to continue to request Exceptional Items for the purposes of strategic research investment and development in Center for Autism and Developmental Disabilities (CADD) with long term positive impact to underserved rural areas of Texas; Environmental studies partnership educating and empowering communities on natural resource conservation, environmental issue resolution, pollution prevention and with technical tools to increase resiliency to disasters and the High Technology Laboratory research enhancing education and new technology to the Texas economy.

PCLS TRACKING KEY:

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

To cover costs associated with each non-formula supported item.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

	2022	2023	2024
	\$6,176,593	\$6,176,593	\$6,176,593

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME: 9:29:39PM

Agency code: 759 Agency name: University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Tuition Revenue Bond Retirement Item Priority: 2 IT Component: No Anticipated Out-year Costs: Yes Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement		

OBJECTS OF EXPENSE:

2008	DEBT SERVICE	4,882,335	4,882,335
TOTAL, OBJECT OF EXPENSE		\$4,882,335	\$4,882,335

METHOD OF FINANCING:

1	General Revenue Fund	4,882,335	4,882,335
TOTAL, METHOD OF FINANCING		\$4,882,335	\$4,882,335

DESCRIPTION / JUSTIFICATION:

The University of Houston-Clear Lake requests tuition revenue bonds to complete Phase II of the STEM/Classrooms building. The opening the first STEM/Classroom building scheduled for this fall will revolutionize the student-centered teaching and research opportunities at UHCL. Even with this new building opening, a critical need exists to continue to build on the growing number of students interested in the STEM as well as to meet the teaching pedagogies of Generation Z students by providing more scale-up and video/distance capable classrooms. The Phase II STEM/Classroom building would also enable several key reorganizations of university services. The Biology and Environmental Science faculty and laboratories (including labs in General Biology, Anatomy and Physiology, Microbiology and Genetics, Biochemistry and Cell Biology, and Environmental Biology and Ecology) currently located in Bayou would be upgraded and housed in the Phase II STEM/Classroom Building. Biology and the related fields are areas of undergraduate growth at UHCL and our graduates will take a learner-focused training into the health care workforce or into prospective pre-health professional students. Currently, 25% of our first-time-in-college (FTIC) students enroll as Biological Sciences majors. This building would also enable the University to better centralize its service units (e.g. Student Services, Finance, etc.) to enhance efficiencies. We will be able to explore child-care services on campus for both students and employees and develop innovative educational training in the Pre-K area. Additionally the creation of a Phase II STEM/Classroom building would enable a reorganization of existing buildings on campus so that the Delta building, located near the main campus entrance, would become the university's Welcome Center.

EXTERNAL/INTERNAL FACTORS:

Interest rate assumptions used for debt service is estimated at 6% for 20 years as provided by Texas Public Finance Authority.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2018**
TIME: **9:29:39PM**

Agency code: **759** Agency name:
University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2020	Excp 2021
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DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Debt obligation payments (principal and interest)

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2022	2023	2024
\$4,882,335	\$4,882,335	\$4,882,335

4.A. Exceptional Item Request Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME: 9:29:39PM

Agency code: 759 Agency name: University of Houston - Clear Lake

CODE	DESCRIPTION	Excp 2020	Excp 2021
	Item Name: Hurricane Harvey Disaster Relief Funding Item Priority: 3 IT Component: No Anticipated Out-year Costs: No Involve Contracts > \$50,000: No Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request		
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	28,393	1,494
2001	PROFESSIONAL FEES AND SERVICES	7,869	414
5000	CAPITAL EXPENDITURES	43,223	2,275
TOTAL, OBJECT OF EXPENSE		\$79,485	\$4,183
METHOD OF FINANCING:			
1	General Revenue Fund	79,485	4,183
TOTAL, METHOD OF FINANCING		\$79,485	\$4,183

DESCRIPTION / JUSTIFICATION:

The University of Houston-Clear Lake requests funding for Hurricane Harvey Disaster Relief. Hurricane Harvey moved rapidly from a tropical depression to a major hurricane in 40 hours, and made landfall in south-central Texas on August 25, 2017 as a Category 4 with 130 mph winds and heavy rain. Hurricane Harvey stalled over south Texas for days as a weakening hurricane and tropical storm, creating catastrophic flooding with more than 50 inches of rain in the Houston area in less than one week. UHCL incurred damages at 4 of 16 buildings on campus. Damages were incurred from flooding, rain, and wind, rendering many classroom, administrative, and student spaces both unsafe and unusable, and requiring substantial remediation and restoration to resume and continue normal business operations at Pearland and Clear Lake Campus. The University was able to resume operations within one week, but the costs of debris removal, cleaning, and repairs was taken from funding sources intended for other purposes, including student success.

EXTERNAL/INTERNAL FACTORS:

Funds expended for recovery were existing funds that were diverted from the University's mission to deliver academic programs and help to ensure student success. 4 of 16 owned buildings on campus were impacted, and without repair the University would not be able to fulfill its mission as effectively. Insurance and federal funding are not expected to cover all costs of repair, recovery, and ongoing administrative requirements that have been or will be incurred. In addition, the recovery of losses from FEMA, in particular, often takes an extended period of time to recover. Without restoring the funds used for remediation and restoration more expediently, funding for University student success initiatives are strained. If the University receives support from the state in the form of this exceptional item request, and we subsequently receive funding from FEMA, we will reconcile and ensure no duplication of benefits has occurred and return any difference to the state.

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2018**
TIME: **9:29:39PM**

Agency code: **759**

Agency name:
University of Houston - Clear Lake

CODE DESCRIPTION

Excp 2020

Excp 2021

PCLS TRACKING KEY:

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME: 9:29:39PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Code	Description	Excp 2020	Excp 2021
Item Name: Restore Non-Formula Support Reductions			
Allocation to Strategy: 3-1-1 Downward Expansion			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	188,241	188,241
1005	FACULTY SALARIES	924,093	924,093
TOTAL, OBJECT OF EXPENSE		\$1,112,334	\$1,112,334
METHOD OF FINANCING:			
1	General Revenue Fund	1,112,334	1,112,334
TOTAL, METHOD OF FINANCING		\$1,112,334	\$1,112,334
FULL-TIME EQUIVALENT POSITIONS (FTE):		14.0	14.0

4.B. Exceptional Items Strategy Allocation Schedule

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018

TIME: 9:29:39PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Code	Description	Excp 2020	Excp 2021
Item Name: Restore Non-Formula Support Reductions			
Allocation to Strategy: 3-2-1 High Technologies Laboratory			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	12,799	12,799
TOTAL, OBJECT OF EXPENSE		\$12,799	\$12,799
METHOD OF FINANCING:			
1	General Revenue Fund	12,799	12,799
TOTAL, METHOD OF FINANCING		\$12,799	\$12,799
FULL-TIME EQUIVALENT POSITIONS (FTE):		0.5	0.5

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2018**
 TIME: **9:29:39PM**

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2020	Excp 2021
Item Name: Restore Non-Formula Support Reductions			
Allocation to Strategy: 3-2-2 Houston Partnership for Environmental Studies			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	92,438	92,438
TOTAL, OBJECT OF EXPENSE		\$92,438	\$92,438
METHOD OF FINANCING:			
1	General Revenue Fund	92,438	92,438
TOTAL, METHOD OF FINANCING		\$92,438	\$92,438
FULL-TIME EQUIVALENT POSITIONS (FTE):		2.0	2.0

4.B. Exceptional Items Strategy Allocation Schedule

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018

TIME: 9:29:39PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2020	Excp 2021
Item Name:		Restore Non-Formula Support Reductions	
Allocation to Strategy:		3-2-3 Center for Autism and Developmental Disabilities	
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	45,473	45,473
2009	OTHER OPERATING EXPENSE	15,670	15,670
TOTAL, OBJECT OF EXPENSE		\$61,143	\$61,143
METHOD OF FINANCING:			
1	General Revenue Fund	61,143	61,143
TOTAL, METHOD OF FINANCING		\$61,143	\$61,143
FULL-TIME EQUIVALENT POSITIONS (FTE):		1.0	1.0

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2018**
 TIME: **9:29:39PM**

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2020	Excp 2021
Item Name: Restore Non-Formula Support Reductions			
Allocation to Strategy: 3-4-1 Institutional Enhancement			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	795,413	795,412
TOTAL, OBJECT OF EXPENSE		\$795,413	\$795,412
METHOD OF FINANCING:			
1	General Revenue Fund	795,413	795,412
TOTAL, METHOD OF FINANCING		\$795,413	\$795,412

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2018**
 TIME: **9:29:39PM**

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2020	Excp 2021
Item Name: Restore Non-Formula Support Reductions			
Allocation to Strategy: 6-3-1 Comprehensive Research Fund			
OBJECTS OF EXPENSE:			
2009	OTHER OPERATING EXPENSE	32,594	32,594
TOTAL, OBJECT OF EXPENSE		\$32,594	\$32,594
METHOD OF FINANCING:			
1	General Revenue Fund	32,594	32,594
TOTAL, METHOD OF FINANCING		\$32,594	\$32,594

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME: 9:29:39PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Code	Description	Excp 2020	Excp 2021
Item Name: Tuition Revenue Bond Retirement			
Allocation to Strategy: 2-1-2 Tuition Revenue Bond Retirement			
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	4,882,335	4,882,335
TOTAL, OBJECT OF EXPENSE		\$4,882,335	\$4,882,335
METHOD OF FINANCING:			
1	General Revenue Fund	4,882,335	4,882,335
TOTAL, METHOD OF FINANCING		\$4,882,335	\$4,882,335

4.B. Exceptional Items Strategy Allocation Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
 TIME: 9:29:39PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

Code	Description	Excp 2020	Excp 2021
Item Name: Hurricane Harvey Disaster Relief Funding			
Allocation to Strategy: 3-5-1 Exceptional Item Request			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	28,393	1,494
2001	PROFESSIONAL FEES AND SERVICES	7,869	414
5000	CAPITAL EXPENDITURES	43,223	2,275
TOTAL, OBJECT OF EXPENSE		\$79,485	\$4,183
METHOD OF FINANCING:			
1	General Revenue Fund	79,485	4,183
TOTAL, METHOD OF FINANCING		\$79,485	\$4,183

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 2 Provide Infrastructure Support

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

Service Categories:

STRATEGY: 2 Tuition Revenue Bond Retirement

Service: 10 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
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OBJECTS OF EXPENSE:

2008 DEBT SERVICE	4,882,335	4,882,335
Total, Objects of Expense	\$4,882,335	\$4,882,335

METHOD OF FINANCING:

1 General Revenue Fund	4,882,335	4,882,335
Total, Method of Finance	\$4,882,335	\$4,882,335

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Tuition Revenue Bond Retirement

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 1 INSTRUCTIONAL SUPPORT
 STRATEGY: 1 Downward Expansion

Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	188,241	188,241
1005 FACULTY SALARIES	924,093	924,093
Total, Objects of Expense	\$1,112,334	\$1,112,334

METHOD OF FINANCING:

1 General Revenue Fund	1,112,334	1,112,334
Total, Method of Finance	\$1,112,334	\$1,112,334

FULL-TIME EQUIVALENT POSITIONS (FTE): 14.0 14.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restore Non-Formula Support Reductions

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 2 Research
 STRATEGY: 1 High Technologies Laboratory

Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	12,799	12,799
Total, Objects of Expense	\$12,799	\$12,799

METHOD OF FINANCING:

1 General Revenue Fund	12,799	12,799
Total, Method of Finance	\$12,799	\$12,799

FULL-TIME EQUIVALENT POSITIONS (FTE): 0.5 0.5

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restore Non-Formula Support Reductions

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Non-formula Support

OBJECTIVE: 2 Research

STRATEGY: 2 Houston Partnership for Environmental Studies

Service Categories:

Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	92,438	92,438
Total, Objects of Expense	\$92,438	\$92,438

METHOD OF FINANCING:

1 General Revenue Fund	92,438	92,438
Total, Method of Finance	\$92,438	\$92,438

FULL-TIME EQUIVALENT POSITIONS (FTE): 2.0 2.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restore Non-Formula Support Reductions

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Non-formula Support

OBJECTIVE: 2 Research

STRATEGY: 3 Center for Autism and Developmental Disabilities

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	45,473	45,473
2009 OTHER OPERATING EXPENSE	15,670	15,670
Total, Objects of Expense	\$61,143	\$61,143

METHOD OF FINANCING:

1 General Revenue Fund	61,143	61,143
Total, Method of Finance	\$61,143	\$61,143

FULL-TIME EQUIVALENT POSITIONS (FTE):

1.0	1.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restore Non-Formula Support Reductions

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Non-formula Support
 OBJECTIVE: 4 INSTITUTIONAL SUPPORT
 STRATEGY: 1 Institutional Enhancement

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
-------------------------	------------------	------------------

OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	795,413	795,412
Total, Objects of Expense	\$795,413	\$795,412

METHOD OF FINANCING:

1 General Revenue Fund	795,413	795,412
Total, Method of Finance	\$795,413	\$795,412

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restore Non-Formula Support Reductions

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 3 Provide Non-formula Support

OBJECTIVE: 5 EXCEPTIONAL ITEM REQUEST

STRATEGY: 1 Exceptional Item Request

Service Categories:

Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Exp 2020	Exp 2021
-------------------------	-----------------	-----------------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	28,393	1,494
2001 PROFESSIONAL FEES AND SERVICES	7,869	414
5000 CAPITAL EXPENDITURES	43,223	2,275
Total, Objects of Expense	\$79,485	\$4,183

METHOD OF FINANCING:

1 General Revenue Fund	79,485	4,183
Total, Method of Finance	\$79,485	\$4,183

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Hurricane Harvey Disaster Relief Funding

4.C. Exceptional Items Strategy Request
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2018
TIME: 9:29:40PM

Agency Code: **759** Agency name: **University of Houston - Clear Lake**

GOAL: 6 Research Funds

OBJECTIVE: 3 Comprehensive Research Fund

STRATEGY: 1 Comprehensive Research Fund

Service Categories:

Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2020	Excp 2021
-------------------------	------------------	------------------

OBJECTS OF EXPENSE:

2009 OTHER OPERATING EXPENSE	32,594	32,594
Total, Objects of Expense	\$32,594	\$32,594

METHOD OF FINANCING:

1 General Revenue Fund	32,594	32,594
Total, Method of Finance	\$32,594	\$32,594

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restore Non-Formula Support Reductions

6.A. Historically Underutilized Business Supporting Schedule
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/19/2018**
 Time: **9:29:40PM**

Agency Code: **759** Agency: **University of Houston - Clear Lake**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2016 - 2017 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2016			Total Expenditures FY 2016		HUB Expenditures FY 2017			Total Expenditures FY 2017
			% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	FY 2017	
21.1%	Building Construction	5.5 %	5.5%	0.0%	\$18,700	\$341,414	11.5 %	11.5%	0.0%	\$5,096,454	\$44,251,661
32.9%	Special Trade	10.5 %	10.5%	0.0%	\$222,377	\$2,119,960	4.2 %	4.2%	0.0%	\$47,460	\$1,135,223
23.7%	Professional Services	2.7 %	2.7%	0.0%	\$5,000	\$188,372	0.0 %	0.0%	0.0%	\$0	\$217,406
26.0%	Other Services	19.8 %	19.8%	0.0%	\$772,162	\$3,891,286	15.5 %	15.5%	0.0%	\$1,282,288	\$8,260,752
21.1%	Commodities	49.3 %	54.0%	4.7%	\$3,394,388	\$6,280,559	50.5 %	50.5%	0.0%	\$4,710,405	\$9,324,387
	Total Expenditures		34.4%		\$4,412,627	\$12,821,591		17.6%		\$11,136,607	\$63,189,429

B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals

Attainment:

The agency attained or exceeded one (1) of the five (5) goals, or 34.4% of the applicable statewide HUB procurement goals in fiscal year 2016. The agency attained or exceeded one (1) of the five (5) goals or 17.6% of the applicable statewide HUB procurement goals in fiscal year 2017.

Applicability:

The "Heavy Construction" category does not apply to agency operations in fiscal year 2016 or 2017. The University of Houston Systems does not do any heavy construction.

Factors Affecting Attainment:

One (1) of the five (5) goals were met in each year, 2016 and 2017, due to commodities. In 2016 the agency had a lot of dollar spend in the other services category without much HUB participation. In 2017 the agency met only one (1) of the five goals. It was due to little HUB participation in the Building category and a large dollar spend. Also special trade and professional services had low HUB participation

"Good-Faith" Efforts:

Agency sponsors annual HUB Fair to promote HUBs to the University departments and the community. Included in University Procurement training is information regarding how to find HUBs, contact them for a quote and use when possible. Exhibit at HUB procurement expo sponsored by the Houston Minority Supplier Development Council (HMSDC) and attend Procurement Connection Seminar & Expo sponsored by Statewide Historically Underutilized Business (HUB) Program. Attend Texas Universities HUB Coordinator Association (TUHCA) meetings to keep up with the rules and regulations regarding the HUB program. The University was ranked eleventh (11th) for agencies spending more than \$5 million, with largest percentages spent with HUBs 34.4% in FY2016.

University of Houston-Clear Lake (759)

6.H. Estimated Total of Agency Funds Inside and Outside the Institution's Bill Pattern

	2018-19 Biennium				2020-21 Biennium			
	<u>FY 2018</u> <u>Revenue</u>	<u>FY 2019</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>	<u>FY 2020</u> <u>Revenue</u>	<u>FY 2021</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGI & State Paid Fringes)	\$ 32,592,285	\$ 32,469,521	\$ 65,061,806		\$ 32,794,216	\$ 33,122,158	\$ 65,916,375	
Tuition and Fees (net of Discounts and Allowances)	12,753,128	12,880,659	25,633,787		13,009,466	13,139,561	26,149,026	
Endowment and Interest Income	-	-	\$ -		-	-	-	
Sales and Services of Educational Activities (net)	-	-	\$ -		-	-	-	
Sales and Services of Hospitals (net)	-	-	\$ -		-	-	-	
Other Income	-	-	\$ -		-	-	-	
Total	45,345,413	45,350,180	90,695,593	34.1%	45,803,682	46,261,719	92,065,401	34.0%
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (HEGI & State Paid Fringes)	\$ 9,128,221	\$ 9,450,519	\$ 18,578,740		\$ 9,545,024	\$ 9,640,474	\$ 19,185,499	
Higher Education Assistance Funds	8,005,116	8,005,116	\$ 16,010,232		8,085,167	8,166,019	16,251,186	
Available University Fund	-	-	\$ -		-	-	-	
State Grants and Contracts	-	-	\$ -		-	-	-	
Total	17,133,337	17,455,635	34,588,972	13.0%	17,630,191	17,806,493	35,436,685	13.1%
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)	47,537,399	48,012,773	\$ 95,550,172		48,492,901	48,977,830	\$ 97,470,730	
Federal Grants and Contracts	14,010,009	14,150,109	\$ 28,160,118		14,291,610	14,434,526	28,726,136	
State Grants and Contracts	3,088,344	3,119,227	\$ 6,207,571		3,150,420	3,181,924	6,332,344	
Local Government Grants and Contracts	279,510	282,305	\$ 561,815		285,128	287,979	573,108	
Private Gifts and Grants	490,558	495,464	\$ 986,022		500,418	505,422	1,005,841	
Endowment and Interest Income	1,886,749	1,905,616	\$ 3,792,365		1,924,673	1,943,919	3,868,592	
Sales and Services of Educational Activities (net)	1,085,605	1,096,461	\$ 2,182,066		1,107,426	1,118,500	2,225,926	
Sales and Services of Hospitals (net)	-	-	\$ -		-	-	-	
Professional Fees (net)	-	-	\$ -		-	-	-	
Auxiliary Enterprises (net)	1,577,815	1,593,593	\$ 3,171,408		1,609,529	1,625,624	3,235,153	
Other Income	17,584	17,760	35,344		17,937	18,117	36,054	
Total	69,973,573	70,673,309	140,646,882	52.9%	71,380,042	72,093,842	143,473,884	52.9%
TOTAL SOURCES	\$ 132,452,323	\$ 133,479,124	\$ 265,931,447	100.0%	\$ 134,813,915	\$ 136,162,054	\$ 270,975,970	100.0%

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/19/2018

Time: 9:29:40PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

1 Workers' Compensation Insurance

Category: Administrative - Operating Expenses

Item Comment: Reduction in coverage to the university's comprehensive insurance program.

Strategy: 1-1-4 Workers' Compensation Insurance

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$18,862	\$18,862	\$37,724			
General Revenue Funds Total	\$0	\$0	\$0	\$18,862	\$18,862	\$37,724			
Item Total	\$0	\$0	\$0	\$18,862	\$18,862	\$37,724			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

2 Institutional Enhancement

Category: Programs - Service Reductions (Other)

Item Comment: This funding has been critical to maintain core operations. Loss of funding would impact delivery of program initiatives aligned to meet the state's strategic plan for completion and retention as well as new initiatives for academic excellence. Additionally, a reduction would have a negative impact on academic programs, delivery of instruction, and student access and success. Loss of funding would also impact UHCL's continuing efforts to increase retention and graduation rates for those who are first-time-in-college students, as well as transfer students from area community colleges.

Strategy: 3-4-1 Institutional Enhancement

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Date: 10/19/2018

Time: 9:29:40PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
1 General Revenue Fund	\$0	\$0	\$0	\$73,177	\$73,177	\$146,354			
General Revenue Funds Total	\$0	\$0	\$0	\$73,177	\$73,177	\$146,354			
Item Total	\$0	\$0	\$0	\$73,177	\$73,177	\$146,354			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

3 Downward Expansion

Category: Programs - Service Reductions (Contracted)

Item Comment: With reduced funding it would be difficult to maintain steady growth in First-Time-In-College enrollment and lower division transfers, expanding access to higher education and enhancing student success and to meet the demands of the student body.

Strategy: 3-1-1 Downward Expansion

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$105,547	\$105,547	\$211,094			
General Revenue Funds Total	\$0	\$0	\$0	\$105,547	\$105,547	\$211,094			
Item Total	\$0	\$0	\$0	\$105,547	\$105,547	\$211,094			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

4 Hold Harmless

Category: Programs - Service Reductions (Other)

Item Comment: Reduced funding would severely affect the support hold harmless has provided faculty salaries, departmental operating expense, library, instructional administration, research enhancement, student services and institutional support. Loss of funding would also have a negative impact on UHCL's ability to provide adequate support services for our students and respond to the demands of the growing undergraduate student body.

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

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Date: 10/19/2018

Time: 9:29:40PM

Agency code: 759 Agency name: University of Houston - Clear Lake

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total
Strategy: 1-1-8 Hold Harmless									
<u>General Revenue Funds</u>									
1 General Revenue Fund	\$0	\$0	\$0	\$584,865	\$584,865	\$1,169,730			
General Revenue Funds Total	\$0	\$0	\$0	\$584,865	\$584,865	\$1,169,730			
Item Total	\$0	\$0	\$0	\$584,865	\$584,865	\$1,169,730			
FTE Reductions (From FY 2020 and FY 2021 Base Request)									
AGENCY TOTALS									
General Revenue Total				\$782,451	\$782,451	\$1,564,902			\$1,564,902
Agency Grand Total	\$0	\$0	\$0	\$782,451	\$782,451	\$1,564,902			\$1,564,902
Difference, Options Total Less Target									
Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)									
Article Total				\$782,451	\$782,451	\$1,564,902			
Statewide Total				\$782,451	\$782,451	\$1,564,902			

Schedule 1A: Other Educational and General Income
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759 University of Houston - Clear Lake					
	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
Gross Tuition					
Gross Resident Tuition	9,754,838	10,306,013	11,164,450	11,617,650	12,280,509
Gross Non-Resident Tuition	11,478,121	7,661,136	5,781,480	5,839,060	5,839,060
Gross Tuition	21,232,959	17,967,149	16,945,930	17,456,710	18,119,569
Less: Resident Waivers and Exemptions (excludes Hazlewood)	(43,341)	(49,026)	(53,110)	(55,265)	(58,419)
Less: Non-Resident Waivers and Exemptions	(3,577,650)	(2,475,800)	(1,788,232)	(1,805,320)	(1,805,320)
Less: Hazlewood Exemptions	(324,438)	(359,292)	(389,219)	(405,018)	(428,127)
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(1,783,189)	(1,800,900)	(1,887,760)	(1,897,490)	(2,000,483)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	0	0	0	0	0
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	0	0	0	0	0
Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	(694,393)	(563,351)	(570,000)	(570,000)	(570,000)
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	14,809,948	12,718,780	12,257,609	12,723,617	13,257,220
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(1,196,788)	(1,189,523)	(1,397,909)	(1,463,367)	(1,404,827)
Less: Transfer of Funds (2%) for Physician/Dental Loans (Medical Schools)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	0	0	0	0	0
Less: Other Authorized Deduction					
Net Tuition	13,613,160	11,529,257	10,859,700	11,260,250	11,852,393

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	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
Student Teaching Fees	0	0	0	0	0
Special Course Fees	0	0	0	0	0
Laboratory Fees	0	0	0	0	0
Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions)	13,613,160	11,529,257	10,859,700	11,260,250	11,852,393
OTHER INCOME					
Interest on General Funds:					
Local Funds in State Treasury	91,517	110,647	90,000	90,000	90,000
Funds in Local Depositories, e.g., local amounts	0	0	0	0	0
Other Income (Itemize)					
Subtotal, Other Income	91,517	110,647	90,000	90,000	90,000
Subtotal, Other Educational and General Income	13,704,677	11,639,904	10,949,700	11,350,250	11,942,393
Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls	(819,694)	(680,208)	(717,958)	(761,035)	(791,477)
Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds	(750,138)	(622,448)	(606,928)	(625,136)	(643,890)
Less: Staff Group Insurance Premiums	(2,993,124)	(2,719,638)	(2,774,031)	(2,829,511)	(2,886,101)
Total, Other Educational and General Income (Formula Amounts for General Academic Institutions)	9,141,721	7,617,610	6,850,783	7,134,568	7,620,925
Reconciliation to Summary of Request for FY 2017-2019					
Plus: Transfer of Funds for Texas Public Education Grants Program and Physician Loans	1,196,788	1,189,523	1,397,909	1,463,367	1,404,827
Plus: Transfer of Funds 2% for Physician/Dental Loans (Medical Schools)	0	0	0	0	0
Plus: Transfer of Funds for Cancellation of Student Loans of Physicians	0	0	0	0	0
Plus: Organized Activities	0	0	0	0	0
Plus: Staff Group Insurance Premiums	2,993,124	2,719,638	2,774,031	2,829,511	2,886,101
Plus: Board-authorized Tuition Income	1,783,189	1,800,900	1,887,760	1,897,490	2,000,483
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0

Schedule 1A: Other Educational and General Income

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	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Plus: Tuition rebates for certain undergraduates (TX Educ.Code Ann. Sec. 54.0065)	0	0	0	0	0
Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	694,393	563,351	570,000	570,000	570,000
Less: Tuition Waived for Students 55 Years or Older	0	0	0	0	0
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Total, Other Educational and General Income Reported on Summary of Request	15,809,215	13,891,022	13,480,483	13,894,936	14,482,336

Schedule 2: Selected Educational, General and Other Funds

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	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
General Revenue Transfers					
Transfer from Coordinating Board for Texas College Work Study Program (2017, 2018, 2019)	40,239	41,582	0	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2017, 2018, 2019)	0	0	0	0	0
Other (Itemize)					
Other: Fifth Year Accounting Scholarship	0	0	0	0	0
Texas Grants	1,926,036	2,052,841	2,052,000	0	0
B-on-Time Program	0	0	0	0	0
Texas Research Incentive Program	0	0	0	0	0
Less: Transfer to System Administration	0	0	0	0	0
GME Expansion	0	0	0	0	0
Subtotal, General Revenue Transfers	1,966,275	2,094,423	2,052,000	0	0
General Revenue HEF for Operating Expenses	6,078,613	8,264,818	8,005,116	8,005,116	8,005,116
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2017, 2018, 2019)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Other (Itemize)					
Gross Designated Tuition (Sec. 54.0513)	35,516,626	36,124,957	39,403,119	43,907,376	46,231,953
Indirect Cost Recovery (Sec. 145.001(d))	248,335	225,756	308,159	308,159	308,159
Correctional Managed Care Contracts	0	0	0	0	0

Schedule 3A: Staff Group Insurance Data Elements (ERS)
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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	71.61%				
GR-D/Other %	28.39%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	241	173	68	241	240
2a Employee and Children	63	45	18	63	55
3a Employee and Spouse	43	31	12	43	23
4a Employee and Family	77	55	22	77	38
5a Eligible, Opt Out	7	5	2	7	3
6a Eligible, Not Enrolled	8	6	2	8	31
Total for This Section	439	315	124	439	390
PART TIME ACTIVES					
1b Employee Only	2	1	1	2	3
2b Employee and Children	1	1	0	1	1
3b Employee and Spouse	4	3	1	4	1
4b Employee and Family	1	1	0	1	1
5b Eligible, Opt Out	0	0	0	0	1
6b Eligible, Not Enrolled	2	1	1	2	3
Total for This Section	10	7	3	10	10
Total Active Enrollment	449	322	127	449	400

759 University of Houston - Clear Lake

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	241	173	68	241	240
2e Employee and Children	63	45	18	63	55
3e Employee and Spouse	43	31	12	43	23
4e Employee and Family	77	55	22	77	38
5e Eligible, Opt Out	7	5	2	7	3
6e Eligible, Not Enrolled	8	6	2	8	31
Total for This Section	439	315	124	439	390

Schedule 3A: Staff Group Insurance Data Elements (ERS)
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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	243	174	69	243	243
2f Employee and Children	64	46	18	64	56
3f Employee and Spouse	47	34	13	47	24
4f Employee and Family	78	56	22	78	39
5f Eligible, Opt Out	7	5	2	7	4
6f Eligible, Not Enrolled	10	7	3	10	34
Total for This Section	449	322	127	449	400

Schedule 4: Computation of OASI
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Agency 759 University of Houston - Clear Lake

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2017		2018		2019		2020		2021	
	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	71.6111	\$2,067,680	72.1234	\$1,759,860	72.1234	\$1,857,528	72.1234	\$1,968,980	72.1234	\$2,047,739
Other Educational and General Funds (% to Total)	28.3889	\$819,694	27.8766	\$680,208	27.8766	\$717,958	27.8766	\$761,035	27.8766	\$791,477
Health-Related Institutions Patient Income (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Grand Total, OASI (100%)	100.0000	\$2,887,374	100.0000	\$2,440,068	100.0000	\$2,575,486	100.0000	\$2,730,015	100.0000	\$2,839,216

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

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Description	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	18,704,722	15,780,743	15,892,147	16,368,911	16,859,979
Employer Contribution to TRS Retirement Programs	1,271,921	1,073,090	1,080,666	1,113,086	1,146,479
Gross Educational and General Payroll - Subject To ORP Retirement	20,764,257	17,572,394	16,614,091	17,112,514	17,625,889
Employer Contribution to ORP Retirement Programs	1,370,441	1,159,778	1,096,530	1,129,426	1,163,309
Proportionality Percentage					
General Revenue	71.6111 %	72.1234 %	72.1234 %	72.1234 %	72.1234 %
Other Educational and General Income	28.3889 %	27.8766 %	27.8766 %	27.8766 %	27.8766 %
Health-related Institutions Patient Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	750,138	622,448	606,928	625,136	643,890
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Differential Percentage	1.9000 %	1.9000 %	1.9000 %	1.9000 %	1.9000 %
Gross Payroll Subject to Differential - Optional Retirement Program	6,738,344	5,487,418	5,487,421	5,652,044	5,821,605
Total Differential	128,029	104,261	104,261	107,389	110,610

Schedule 6: Constitutional Capital Funding
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Activity	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
A. PUF Bond Proceeds Allocation	0	0	0	0	0
Project Allocation					
Library Acquisitions	0	0	0	0	0
Construction, Repairs and Renovations	0	0	0	0	0
Furnishings & Equipment	0	0	0	0	0
Computer Equipment & Infrastructure	0	0	0	0	0
Reserve for Future Consideration	0	0	0	0	0
Other (Itemize)					
B. HEF General Revenue Allocation	6,078,612	9,733,112	8,005,116	8,005,116	8,005,116
Project Allocation					
Library Acquisitions	1,425,464	1,503,459	1,625,283	1,625,283	1,625,283
Construction, Repairs and Renovations	1,957,684	4,683,333	2,488,324	2,488,324	2,488,324
Furnishings & Equipment	125,482	28,047	190,000	190,000	190,000
Computer Equipment & Infrastructure	2,029,143	2,045,448	2,231,183	2,231,183	2,231,183
Reserve for Future Consideration	0	0	0	0	0
HEF for Debt Service	540,839	1,472,825	1,470,326	1,470,326	1,470,326
Other (Itemize)					

Schedule 7: Personnel
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/19/2018
 Time: 9:29:42PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

	Actual 2017	Actual 2018	Budgeted 2019	Estimated 2020	Estimated 2021
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	217.9	200.3	189.8	201.0	201.0
Educational and General Funds Non-Faculty Employees	298.5	274.2	260.0	275.3	275.3
Subtotal, Directly Appropriated Funds	516.4	474.5	449.8	476.3	476.3
Non Appropriated Funds Employees	571.1	697.5	725.1	725.1	725.1
Subtotal, Other Funds & Non-Appropriated	571.1	697.5	725.1	725.1	725.1
GRAND TOTAL	1,087.5	1,172.0	1,174.9	1,201.4	1,201.4

Part B.
Personnel Headcount

Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	272.0	250.0	236.0	250.0	250.0
Educational and General Funds Non-Faculty Employees	331.0	305.0	289.0	306.0	306.0
Subtotal, Directly Appropriated Funds	603.0	555.0	525.0	556.0	556.0
Non Appropriated Funds Employees	991.0	1,211.0	1,259.0	1,259.0	1,259.0
Subtotal, Non-Appropriated	991.0	1,211.0	1,259.0	1,259.0	1,259.0
GRAND TOTAL	1,594.0	1,766.0	1,784.0	1,815.0	1,815.0

Schedule 7: Personnel
 86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/19/2018
 Time: 9:29:42PM

Agency code: **759** Agency name: **University of Houston - Clear Lake**

	Actual 2017	Actual 2018	Budgeted 2019	Estimated 2020	Estimated 2021
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	\$24,605,978	\$21,600,973	\$22,452,035	\$23,799,157	\$24,751,123
Educational and General Funds Non-Faculty Employees	\$16,228,938	\$12,834,042	\$11,502,986	\$11,887,317	\$12,362,810
Subtotal, Directly Appropriated Funds	\$40,834,916	\$34,435,015	\$33,955,021	\$35,686,474	\$37,113,933
Non Appropriated Funds Employees	\$26,351,226	\$30,601,985	\$29,855,716	\$30,184,573	\$30,486,419
Subtotal, Non-Appropriated	\$26,351,226	\$30,601,985	\$29,855,716	\$30,184,573	\$30,486,419
GRAND TOTAL	\$67,186,142	\$65,037,000	\$63,810,737	\$65,871,047	\$67,600,352

Agency 759 University of Houston - Clear Lake

Project Priority:	Project Code:	Tuition Revenue Bond Request	Total Project Cost	Cost Per Total Gross Square Feet
1	1	\$ 56,000,000	\$ 56,000,000	\$ 700
Name of Proposed Facility:	Project Type:			
STEM and Classroom Building Phase II	New Construction			
Location of Facility:	Type of Facility:			
University of Houston-Clear Lake	E&G			
Project Start Date:	Project Completion Date:			
08/01/2021	08/01/2024			
Gross Square Feet:	Net Assignable Square Feet in Project			
80,000	48,000			

Project Description

In an effort to continue our commitment towards student access and success and academic excellence, a new 80,000 gross sq. ft. facility is needed. This fall the first STEM/Classroom building opened and will revolutionize the student-centered teaching and research opportunities at UHCL. Even with the opening of the new building, a critical need exists to continue to provide access to the growing number of students interested in STEM as well as to meet the teaching pedagogies of Generation Z students by providing more upscale video/distance capable classrooms. The Biology and Environmental Science faculty and laboratories (including labs in General Biology, Anatomy and Physiology, Microbiology and Genetics, Biochemistry and Cell Biology, and Environmental Biology and Ecology) currently located in Bayou would be upgraded and housed in the new facility. Currently, 25% of our first-time-in-college (FTIC) students enroll as Biological Sciences majors.

Schedule 8B: Tuition Revenue Bond Issuance History

10/19/2018 9:29:42PM

86th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

759 University of Houston - Clear Lake

Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2018	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
2001	\$30,918,750	Oct 9 2002	\$30,918,000			
		<i>Subtotal</i>	\$30,918,000	\$750		
2006	\$10,604,808	Feb 4 2009	\$10,604,808			
		<i>Subtotal</i>	\$10,604,808	\$0		
2016	\$78,624,000	Feb 8 2016	\$54,000,000			
		Feb 16 2017	\$24,624,000			
		<i>Subtotal</i>	\$78,624,000	\$0		

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Center for Autism and Developmental Disabilities

(1) Year Non-Formula Support Item First Funded:	2016
Year Non-Formula Support Item Established:	2008
Original Appropriation:	\$200,000

(2) Mission:

The UHCL Center for Autism and Developmental Disabilities (CADD) is staffed by faculty and students in the Behavior Analysis, School Psychology, and Family Therapy graduate programs. CADD's goals are to support research on autism and developmental disabilities, train current and future professionals, and provide services to individuals and their families through partnerships with school districts, community organizations, and hospitals. Persons with behavioral, educational, social, verbal and vocational disabilities are eligible to receive services from CADD on a sliding fee scale or at no cost.

(3) (a) Major Accomplishments to Date:

The Center for Autism and Developmental Disabilities (CADD) currently provides a variety of services including; intervention services to children between the ages of 3 and 15 who are diagnosed with autism spectrum disorder, assessment and treatment services for all ages and diagnoses in severe problem behavior, bilingual services for all ages and diagnoses with language or social skills deficits, vocational assessments and short-term intervention for individuals diagnosed with developmental disabilities ages 16 years and older who have difficulty obtaining or maintaining employment, behavioral consultation for children and teachers in area school districts, telehealth services for families in rural areas or are unable to travel to CADD, specialized support for college students with autism and related needs, diagnostic assessments for suspected developmental disabilities in children, and family needs assessment and therapy services for parents and family members of children with autism spectrum disorder. For many of these services, CADD has significant waiting lists for families seeking assistance. Previous special item funding enabled us to launch two new programs and expand four of our existing ones. With the special item funding and additional resources leveraged because of this funding, we have increased the number served by 236%. Nonetheless, more than 600 families are waiting for intervention services from our center.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

CADD will maintain its new programs and continue to expand its services to families and professionals with the assistance of grants, contracts, and state funds. In particular, we would like to expand our reach even further to underserved areas. In the 2015 session of the Texas Legislature, UHCL received \$24.6 million dollars for the construction of a Health Sciences and Classroom Building at the UHCL Pearland Campus which is the result of a public-public partnership between UHCL and the City of Pearland, Texas. The overall objective for this new Health Sciences and Classroom Building is to expand the number of health-related degree programs and services to the community. With additional funding, we would like to initiate services at a new CADD satellite clinic at the Pearland campus to serve more low-income families near downtown and the Texas Medical Center. In addition, we would like to serve more families at our UHCL location, expand our telehealth services to the Rio Grande Valley, and expand our services to other underserved areas, including Galveston Island. Expansion of our services will require funding for board-certified behavior analysts, graduate assistants, limited support staff, and computers/laptops.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Gifts (~\$30,000 per year), grants (~\$50,000 per year), and contracts (~\$200,000 per year)

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(5) Formula Funding:

CADD does not produce formula funding.

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

2016 – Contracts with private agencies and school districts: \$198,000; Federal grants (NIH): \$128,863; UH-Main: \$14,000; Texas Children’s Hospital: \$31,000; Texas Higher Education Coordinating Board (THECB): \$259,000

2017 – Contracts with private agencies and school districts: \$170,000; Federal grants (NIH): \$116,000; UH-Main: \$14,000; Texas Children’s Hospital: \$31,000; Texas Higher Education Coordinating Board (THECB): \$454,000

2018 – Contracts with private agencies and school districts: \$156,000; Federal grants (NIH): \$128,863; Texas Children’s Hospital: \$93,000; Texas Higher Education Coordinating Board (THECB): \$230,000

2019 – TBD

(9) Impact of Not Funding:

Non-formula item funding has enabled us to develop and launch new programs and to expand our current ones. We have also been able to secure some additional dollars to support these services but not at a level needed to sustain them independently. Initiatives and expanded services launched in FY 16-FY 19, including services to reach underserved populations and special programs for adults with autism, would be reduced or discontinued pending the securement of alternative sources of support. The proposed Pearland Satellite Clinic, which would greatly enhance the accessibility of our services to low-income families residing near downtown and the Texas Medical Center, would be postponed until we could locate alternative sources of support. We would benefit from continued non-formula support as we seek external funding necessary to continue these new and expanded programs. A lengthier record of demonstrated success and outcomes will help attract additional private funding and increase the likelihood of successful large-scale requests to foundations.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

More than 600 families are currently on CADD's wait list. With permanent funding we could serve more families at our UHCL location, expand our telehealth services to the Rio Grande Valley, and to initiate services at a new CADD satellite clinic in Pearland that would enable us to serve more low-income families near downtown and the Texas Medical Center. We would also expand our services to other underserved areas, including Galveston Island. We would benefit from continued non-formula support as we seek external funding necessary to continue these new and expanded programs. A lengthier record of demonstrated success and outcomes will help attract additional private funding and increase the likelihood of successful large-scale requests to foundations. If the item were to be phased out, we would request a minimum of four biennia of additional non-formula support to ensure that our programs will continue.

(11) Non-Formula Support Associated with Time Frame:

\$1,600,000

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(12) Benchmarks:

N/A

(13) Performance Reviews:

Our goal is to maintain or increase the number of programs offered, families served, sources of support received, research projects completed, and students trained each year. In addition to the above items, programs also ask families to complete satisfaction surveys at the conclusion of services and ask students to complete practicum evaluations at the end of each semester.

CADD-affiliated faculty collect the following information and provide it to the CADD Director on an annual basis. The CADD Director summarizes this information in an annual report and distributes this report to the President, Provost, HSH Dean, and CHAS Chair at the end of each fiscal year:

- 1) Description of new and continuing programs
 - 2) Number of families served in each program
 - 3) Number of families on waiting list for each program
 - 4) Number and amount of grants, contracts, and other sources of support for each program
 - 5) Number of undergraduates and graduate students trained in each program
 - 6) Number of research projects initiated and completed in each program
 - 7) Description of other CADD-sponsored activities and numbers served (e.g., workshops, community presentations)
-

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Downward Expansion

(1) Year Non-Formula Support Item First Funded:	2016
Year Non-Formula Support Item Established:	2014
Original Appropriation:	\$3,250,000

(2) Mission:

During the 82nd Legislature (2011), UHCL received authority to offer lower division courses to freshman and sophomore students. This authority for downward expansion was operations Support-Downward Expansion is a key element to expanding access to higher education, enhancing student success, serving underrepresented student populations, and developing new programs quickly in response to local workforce needs which are key goals in the state's higher education plan 60 by 30 Tex. UHCL joined the UH System institutions, starting in fall 2015, by providing an additional entry point for access to higher education. As a system of institutions we are better able to meet the needs of our students in the greater Houston region and recruit students from the local high schools and offer scholarship programs to attract high caliber students. Universities throughout the state that have expanded to 4 year found it necessary to seek additional state operating funds to provide this new access point to students within their regions. Upper level institutions do not have the structure in place to provide the support for this endeavor which starts with a small number of students.

(3) (a) Major Accomplishments to Date:

Fully funded new faculty, adjuncts, and student support services for new ungraduate programs. Key support has been established such as a Student Success Center, Math Center, Placement Testing Center, Academic Advising Office and a Veteran's Service Office. Programs that have been establish: BSN in Nursing (2014),BS Addictions Counseling (2016), BS Information Technology (2017), BS Early Childhood Care and Education (2017), BS Mechanical Engineering (2018), and BS Occupational Safety and Health degree in Industrial Hygiene OR Safety (2018).

(3) (b) Major Accomplishments Expected During the Next 2 Years:

UHCL will continue to work closely with both area school districts and community colleges to enhance the K-16 pipeline to increase the college-going and college graduation rates in our region. Special attention will be focused on first-time in college students as well as under-represented groups. UHCL will work collaboratively with other institutions in the University of Houston System to enhance student access and success.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

University and departmental reserves, gift income and student paid tuition and fees starting in FY15.

(5) Formula Funding:

This does not produce formula funding.

(6) Category:

Downward Expansion

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(7) Transitional Funding:

Y

(8) Non-General Revenue Sources of Funding:

University funds

(9) Impact of Not Funding:

The University must plan for steady growth in First Time in College (FTIC) enrollment and lower division transfers. Once support areas have been established, continued growth is contingent on resources needed to hire faculty to provide course offerings to meet the needs of the growing student body . Without additional resources, expanding access to higher education and enhancing student success will not be possible.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

UHCL needs this to be funded at this level for the next eight biennia. This should allow us sufficient time to reach a level of enrollment to sustain our downward expansion initiative. With no funding or reduced funding it would be difficult to maintain steady growth in FTIC enrollment and lower division transfers , expanding access to higher education, enhancing student successes and to meet the demands of the student body.

(11) Non-Formula Support Associated with Time Frame:

\$39,000,000

(12) Benchmarks:

N/A

(13) Performance Reviews:

N/A

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High Technologies Laboratory

(1) Year Non-Formula Support Item First Funded:	1983
Year Non-Formula Support Item Established:	1984
Original Appropriation:	\$150,000

(2) Mission:

The mission is to integrate research and development activities in computer technology. Since the 1990s, widespread adoption of computers and the global computer networks (i.e., the Internet and the World Wide Web) have dramatically changed the nation's critical infrastructures, gradually but consistently encompassing industries across the board, including communications, commerce, education, energy, financial services, healthcare, manufacturing, and transportation. Particularly, in developed countries such as the United States, which have very high Internet penetration rates, cyber threats against the infrastructures have posed serious problems. The State of Texas is one of the leading states in the U.S. in addressing the danger of cyber threats. The University of Houston-Clear Lake (UHCL) established the Cyber Security Institute (CSI) in 2013, mainly in response to NASA Johnson Space Center's request for UHCL to establish such an entity to promote research, education, and collaboration of cybersecurity-related endeavors among academic, government, and industry sectors. The primary mission of UHCL's CSI is to improve the nation's cybersecurity landscape with a focus on the greater Houston region.

(3) (a) Major Accomplishments to Date:

The Cyber Security Institute has developed and delivered professional training workshops in Network Management and Security, which provided advanced training in computer networking fundamentals, information security basics, and advanced networking security. Non-formula support has also been used as leverage for proposing and acquiring external grants such as the Wagner-Peyser Workforce Development/Bay Area Houston Economic Partnership (BAHEP) grants (\$400,000), NSF Cyber Corps grant (\$500,000), and NSA Cybersecurity National Action Plan grant (\$51,000). The Institute also has received local donations, totaling \$17,000. In 2016, over 20 professional workers from the Bay Area have completed the training and earned their certificates, which enhanced the participants' qualifications in securing cybersecurity-related jobs. The institute, in collaboration with BAHEP and Bay Tech, provided scholarships for unemployed workers and veterans returning from the battlefield to obtain free cybersecurity training. Also, the Institute had successfully trained eight students.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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The institute would like to expand cybersecurity professional training in other areas to include Certified Ethical Hacker (CEH) (certification provided by Electronic Commerce (EC)-Council), Certified Cloud Security Professional (CCSP) (certification is provided by Information Security Certifications- Information Security Audit and Control Association (ISC2)), and Computer Hacking and Forensic Investigator (CHFI) (certification is offered by EC Council).

CSI would like to increase delivery of a number of programs and services to individuals and organizations in the greater Houston region . These activities would include:

1. Expand cybersecurity professional training in key areas including cyber ops, network management and security, digital forensics and incident response, and virtualization security.
2. Collaborate with the Bay Area Houston Economic Partnership and the Bay Tech to offer public seminars and workshops to raise awareness of business owners on issues and good practices related to securing the cyber space.
3. Mentor small businesses to help them set up their cybersecurity practices by working with area chambers of commerce and economic development organizations.
4. Develop and offer undergraduate and graduate cybersecurity degree programs.
5. Offer cybersecurity summer camps for students from area school districts.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

1-time university funds were provided for start-up.

(5) Formula Funding:

Does not produce formula funding.

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

(9) Impact of Not Funding:

Loss of funding would result in a decrease in our ability to deliver cybersecurity related education and training courses to the regional workforce. Furthermore, it would significantly impede the continued development of the joint research among NASA, UHCL, and aerospace contractors.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

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According to the Bureau of Labor Statistics, the projected growth in employment for Information Security Analysts between 2016 and 2026 is 28%. Non-formula item funding has enabled us to develop education and training programs for external partners and local industries which has also allowed UHCL to establish community partnerships. Ongoing non-formula support will allow us to further enhance our programs as well as provide increased services to support the exponential growth in the cybersecurity workforce. If the item was to be phased out, the college would request a minimum of four biennia of additional non-formula support to ensure that CSI can modify its operations to become financially self-sustaining and that education and training programs will not be impacted.

(11) Non-Formula Support Associated with Time Frame:

\$334,912

(12) Benchmarks:

N/A

(13) Performance Reviews:

N/A

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Houston Partnership for Environmental Studies

(1) Year Non-Formula Support Item First Funded:	1994
Year Non-Formula Support Item Established:	1991
Original Appropriation:	\$250,000

(2) Mission:

The Houston Partnership for Environmental Studies funds the Environmental Institute of Houston (EIH). The mission of EIH is to advance understanding of the environment through interdisciplinary research, education, and outreach. EIH addresses regional environmental issues and has become a leader in building partnerships in research, education and outreach. EIH conducts research on environmental issues with an emphasis on creating real-world solutions that incorporate community resiliency, waste minimization, energy conservation, and natural resource conservation. EIH research is focused on urban and rural land use, freshwater resources, flood control, water quality, fish and wildlife conservation, and public health. EIH provides training for scientists and managers by incorporating student researchers and promotes multi-disciplinary collaboration through partnerships with agencies, companies, and non-profits. EIH technical services meets the research and information needs of multiple state environmental programs. EIH provides technical and professional development for educators and professionals. Educator workshops and credit courses are offered for area public and private schools, higher education, nature centers, parks, and other education providers. EIH provides technical training through workshops to citizens and professional audiences. EIH is guided by a Community Advisory Board consisting of members from industry, schools, agencies, non-profits and municipalities.

(3) (a) Major Accomplishments to Date:

EIH has developed partnerships with 3 universities, 13 agencies and municipalities, private companies, non-profits, and over 20 school districts. Over the last 10 years UH system researchers have leveraged more than 290 small seed grants from EIH to secure over \$11 million in external funding. EIH staff trains more teachers in environmental education curricula than any other Texas university. EIH has provided camps on fish and wildlife for more than 1,000 K-12 students and programs to over 1,200 high school students that also provides college scholarships. EIH has provided financial support for at least 15 graduate and 10 undergraduate students per year. EIH supports stakeholder efforts on significant issues including pollution, hurricanes, flooding, and freshwater protection. EIH works with funding from agencies to provide citizens critical monitoring of local watersheds and schools. EIH has also been funded by various agencies, municipalities and organizations including the USACE, FWS, EPA, TGLO, TCEQ, GBEP, CBBEP, TPWD, TWDB, Red River Auth., TRA, BRA, HGAC, Harris Co. Flood Cont. Dist., City of San Marcos and Galveston, Harris Co. SWCD, GCBO, NWF, GBF, LyondellBassell, Houston-Endowment, to provide essential research and educational programs that have attracted more than \$510K in donations from 70 different organizations to support more than 25 educational initiatives. EIH has won several awards from various professional societies for excellence in research and education.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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EIH will maintain baseline operations including support for 2 additional students per year, external funding at \$1.5M/year, and state funding for core staff of 4.5 FTEs. EIH will continue working with partners on various research programs. If additional funding were reinstated EIH would be able to meet new community needs. Local partners have identified the need for additional research and education in the area of environmental analysis to address critical regional issues including storm disaster response and preparation, community resiliency, water quality protection, and conservation of freshwater and other natural resources. Increased funding would be used to hire a lab manager and analyst to coordinate application for certification and to initiate creation of an analytical laboratory capable of monitoring pollutants and nutrients. The individual would also operate the laboratory, develop technical education programs for industry and government, and develop academic training programs. This funding request is expected to increase external grants and contracts to EIH at least five fold (\$30M) and will also increase the capability of EIH to compete for much larger external regional and national funding grants that would provide additional support of up to 10 students per year. Cooperative programs would also be developed with NASA to expand the use of satellite based tools to develop effective management of oil and chemical spills, flooding, droughts and hurricanes.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Corporate gifts and recovered indirect costs are the only other source of funding

(5) Formula Funding:

Not applicable

(6) Category:

Research Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

2016 - \$2.0M grants

2017 - \$1.5M grants

2018 - \$1.5M grants

2019 - \$1.5M grants

(9) Impact of Not Funding:

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EIH was funded at \$250K/yr during 1994-95; \$399K/yr during 1996-97; \$500K/yr during 1998-2003; \$437K/yr during 2004- 11; and \$302K during 2012-17. If EIH was not funded at all, the university and community will lose up to \$3M/yr in external funding secured by faculty and research staff. State funds allows EIH to maintain minimum funding for core staff and match funding. EIH conducts many projects that benefit state and local government, public schools, and the community. No other organization provides these programs. Teachers and K-12 students would lack essential STEM skills. State funds provided to EIH generate a 200%+ return in external federal/private funds. Without state funds EIH could not maintain infrastructure to compete for external funding and meet the research needs of local and state government. If additional funding is not restored, laboratory certification required by state agencies for the collection of environmental data will not be attained. Consequently, EIH could not pursue funding opportunities to support the research needs of the state. Non-formula funding is essential for the continued existence of EIH and the critical services it provides local communities and State of Texas. EIH is NOT a university department that provides academic courses to students and is therefore NOT substantially supported by tuition or formula based funding. Without core state funds EIH will not possess the flexibility and necessary match funds to secure external funding.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

The support of state funds enable EIH to maintain a minimum level of funding for key staff and start-up grants. Teachers and K-12 students would not be provided essential STEM environmental education skills and training without these funds. Without the necessary match funds, EIH will not be able to support existing infrastructure to compete for external funding and meet the research needs of local and state communities. Continued funding would allow establishment of a National Environmental Laboratory Accreditation Program (NELAP) certified lab within our Environmental Institute of Houston. This would provide a public service in the study, understanding and use of very fragile state resources. We recommend that this item be funded at \$300,000 per year for the next four biennia.

(11) Non-Formula Support Associated with Time Frame:

\$3,522,000

(12) Benchmarks:

N/A

(13) Performance Reviews:

Annual Performanc Standards

- 1) Amount of external research and educational funding secured per fiscal year – Goal external funding exceeds state allocation. Special item funding is used to attract and leverage external funding.
- 2) Secure external funding from at least 2 outside sources per year
- 3) Number of university students supported – Goal a minimum of 3 students are supported per fiscal year through stipends or research assistantships to conduct research related to EIH projects
- 4) Number of technical publications produced – Goal at least 2-6 per year depending on staffing levels. At least 1-2 are in peer reviewed literature
- 5) Advisory Board meetings – Goal meet at least twice a year; maintain equal representation of community
- 6) Number of public and professional presentations – Goal 3-6 per year depending on staffing levels. At least one is national or regional in scope.
- 7) Provide at least 4 K-12 teacher and 5 K-12 student workshops per year.
- 8) Provide technical training and continuing education to a minimum of 100 adult students per year.

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Hurricane Harvey Disaster Relief Funding

(1) Year Non-Formula Support Item First Funded:	2020
Year Non-Formula Support Item Established:	2017
Original Appropriation:	\$0

(2) Mission:

The University of Houston-Clear Lake requests funding for Hurricane Harvey Disaster Relief. Hurricane Harvey created catastrophic wind damage and flooding with more than 50 inches of rainfall in less than a week. Damages from flooding, rain, and wind were incurred at 4 of 16 owned buildings which rendered many classroom, administrative, and student spaces both unsafe and unusable.

(3) (a) Major Accomplishments to Date:

The University was able to resume operations and begin teaching classes at less than full capacity within one week, by utilizing funding for other priorities that include student success for remediation, restoration, and repair. The University is working to complete all necessary repairs and remediation to allow full utilization of campus buildings. The University is also working with the State Office of Risk Management, the Federal Emergency Management Agency, and the Texas Division of Emergency Management to obtain available recovery for damages.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The University expects complete the restoration of all buildings and grounds within the next two years.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Local University funds

(5) Formula Funding:

Not applicable

(6) Category:

Instructional Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

Local University funds

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(9) Impact of Not Funding:

The University must remediate all water and mold damage and repair all damages to buildings. If funding is not received, the University will be forced to absorb the costs. As a result, funds will by necessity be diverted from critical academic programs and student success initiatives which could have a significant impact on retention and graduation rates.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

One time only

(11) Non-Formula Support Associated with Time Frame:

83,668

(12) Benchmarks:

N/A

(13) Performance Reviews:

N/A

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Institutional Enhancement

(1) Year Non-Formula Support Item First Funded:	2000
Year Non-Formula Support Item Established:	2000
Original Appropriation:	\$1,009,369

(2) Mission:

This item was first created by the 76th Legislature and has been adjusted numerous times over the years. While the basis for this funding ties back to discontinued special items that were rolled up into a single line, the fact is, Institutional Enhancement funds have become de facto operating dollars for state universities, comparable in terms of use to what is received through the Operations Support formula.

(3) (a) Major Accomplishments to Date:

The funding of this special item has allowed UHCL to establish new academic program initiatives and faculty to support the programs.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continued funding would provide the ability to maintain and enhance the initiatives established in FY2000.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

N/A

(5) Formula Funding:

N/A

(6) Category:

Institutional Enhancement

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

N/A

(9) Impact of Not Funding:

This funding has been critical to maintain core operations. Loss of funding would have a negative impact on academic programs, delivery of instruction, and student access and success. Loss of funding would also impact UHCL's continuing efforts to increase retention and graduation rates for those who are first-time-in-college students as well as transfer students from area community colleges.

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(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Yes. This non-formula support has become de facto operating dollars for state universities.

(11) Non-Formula Support Associated with Time Frame:

\$2,277,483 per year permanently

(12) Benchmarks:

N/A

(13) Performance Reviews:

N/A

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Restore Non-Formula Support Reduction

(1) Year Non-Formula Support Item First Funded:	2020
Year Non-Formula Support Item Established:	2020
Original Appropriation:	\$0

(2) Mission:

In the 2018-2019 biennium, UHCL experienced a drastic reduction to all non-formula support items. The effect of this reduction in our funding has limited the extent in which we can serve our students with enhanced services for student retention and completion. This restoration is especially critical as UHCL serves an increasing number of first-time-in-college students due to the authority granted for downward expansion in fall 2014. Increased demands for student support services continue as our undergraduate student population grows, which has a larger percentage of under-represented groups. Furthermore, decreased funding for Comprehensive Research, Center for Autism and Developmental Disabilities, Environmental Institute of Houston, and Cyber Security Institute has resulted in a reduction in workforce which affects the ability to continue to provide the services and research that accompanies each of their missions as well as impacts community partnerships.

(3) (a) Major Accomplishments to Date:

As with other Texas universities that transitioned from two to four year campuses, downward expansion funding provides access and the ability to meet new student service needs. The campus continues to improve existing student support services and provide new ones to make the experience at UHCL a positive one that will encourage completion of the full four years. Institutional Enhancement special item supports innovative education, research and academic programs not otherwise supported by formula funding. Funding for CADD has made a long term positive impact to underserved rural areas of Texas with individualized intervention and treatment services to children diagnosed with autism disorder. Non-Formula Support funding has enabled us to develop and launch new programs and to expand our current ones. EIH has developed partnerships with 3 universities, 13 agencies and municipalities, private companies, non-profits, and > 20 school districts. Over the last 10 years UH system researchers have leveraged > 290 small seed grants from EIH to secure > \$11.0 million in external funding. Since it was established, the Cyber Security Institute has hosted seminars and workshops to raise public awareness of cyber threats and related issues, including the Cybersecurity for Business Leaders and the Industry Advisory seminars.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Restoration will provide support for continued enrollment growth and to meet the increasing demands of our students, thereby improving retention and completion rates.

(4) Funding Source Prior to Receiving Non-Formula Support Funding:

Not applicable

(5) Formula Funding:

Not applicable

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(6) Category:

Instructional Support

(7) Transitional Funding:

N

(8) Non-General Revenue Sources of Funding:

Not applicable

(9) Impact of Not Funding:

In the absence of the formula-driven support necessary to maintain and foster instruction and operations formula to the 2010-2011 level when the base weighted semester credit hour rate was 62.19, UHCL is compelled to continue to request Exceptional Items for the purposes of strategic research investment and development in Center for Autism and Developmental Disabilities (CADD) with long term positive impact to underserved rural areas of Texas; Environmental studies partnership educating and empowering communities on natural resource conservation, environmental issue resolution, pollution prevention and with technical tools to increase resiliency to disasters and the High Technology Laboratory research enhancing education and new technology to the Texas economy. UHCL requests that our current funding for all of the exceptional items including start-up funds for downward expansion and institutional enhancement be restored to its original funding as in biennium 2016-2017.

(10) Non-Formula Support Needed on Permanent Basis/Discontinuu

Please refer to non-formula items in schedule 9 for additional information.

(11) Non-Formula Support Associated with Time Frame:

Please refer to non-formula items in schedule 9 for additional information.

(12) Benchmarks:

N/A

(13) Performance Reviews:

N/A
